LEGISLATURE OF NEBRASKA

ONE HUNDRED NINTH LEGISLATURE

FIRST SESSION

LEGISLATIVE BILL 148

FINAL READING

Introduced by Hansen, 16.

Read first time January 13, 2025

Committee: Health and Human Services

- 1 A BILL FOR AN ACT relating to public health; to amend sections 38-1117 2 and 38-1120, Reissue Revised Statutes of Nebraska, and sections 3 38-131 and 38-1101, Revised Statutes Cumulative Supplement, 2024; to adopt the Dentist and Dental Hygienist Compact; to require certain criminal background checks under the Uniform Credentialing Act; to 5 licensure and reciprocity under the 6 change requirements for 7 Dentistry Practice Act; to harmonize provisions; to provide 8 operative dates; and to repeal the original sections.
- 9 Be it enacted by the people of the State of Nebraska,

1 Section 1. This section shall be known and may be cited as the

- 2 <u>Dentist and Dental Hygienist Compact. The State of Nebraska adopts the</u>
- 3 Dentist and Dental Hygienist Compact in the form substantially as
- 4 follows:
- 5 SECTION 1. TITLE AND PURPOSE
- 6 The purposes of this Compact are to facilitate the interstate
- 7 practice of dentistry and dental hygiene and improve public access to
- 8 dentistry and dental hygiene services by providing Dentists and Dental
- 9 Hygienists licensed in a Participating State the ability to practice in
- 10 Participating States in which they are not licensed. The Compact does
- 11 <u>this by establishing a pathway for Dentists and Dental Hygienists</u>
- 12 <u>licensed in a Participating State to obtain a Compact Privilege that</u>
- 13 <u>authorizes them to practice in another Participating State in which they</u>
- 14 are not licensed. The Compact enables Participating States to protect the
- 15 public health and safety with respect to the practice of such Dentists
- 16 and Dental Hygienists, through the State's authority to regulate the
- 17 practice of dentistry and dental hygiene in the State. The Compact:
- A. Enables Dentists and Dental Hygienists who qualify for a Compact
- 19 Privilege to practice in other Participating States without satisfying
- 20 <u>burdensome</u> and <u>duplicative</u> requirements associated with securing a
- 21 <u>License to practice in those States;</u>
- 22 B. Promotes mobility and addresses workforce shortages through each
- 23 Participating State's acceptance of a Compact Privilege to practice in
- 24 that State;
- 25 C. Increases public access to qualified, licensed Dentists and
- 26 <u>Dental Hygienists by creating a responsible, streamlined pathway for</u>
- 27 Licensees to practice in Participating States;
- 28 <u>D. Enhances the ability of Participating States to protect the</u>
- 29 public's health and safety;
- 30 E. Does not interfere with licensure requirements established by a
- 31 Participating State;

F. Facilitates the sharing of licensure and disciplinary information

- 2 among Participating States;
- 3 G. Requires Dentists and Dental Hygienists who practice in a
- 4 Participating State pursuant to a Compact Privilege to practice within
- 5 <u>the Scope of Practice authorized in that State;</u>
- 6 H. Extends the authority of a Participating State to regulate the
- 7 practice of dentistry and dental hygiene within its borders to Dentists
- 8 and Dental Hygienists who practice in the State through a Compact
- 9 Privilege;
- 10 I. Promotes the cooperation of Participating States in regulating
- 11 the practice of dentistry and dental hygiene within those States; and
- J. Facilitates the relocation of military members and their spouses
- 13 who are licensed to practice dentistry or dental hygiene.
- 14 SECTION 2. DEFINITIONS
- As used in this Compact, unless the context requires otherwise, the
- 16 following definitions shall apply:
- 17 <u>A. "Active Military Member" means any person with full-time duty</u>
- 18 status in the armed forces of the United States, including members of the
- 19 <u>National Guard and Reserve.</u>
- 20 B. "Adverse Action" means disciplinary action or encumbrance imposed
- 21 on a License or Compact Privilege by a State Licensing Authority.
- 22 C. "Alternative Program" means a nondisciplinary monitoring or
- 23 practice remediation process applicable to a Dentist or Dental Hygienist
- 24 approved by a State Licensing Authority of a Participating State in which
- 25 the Dentist or Dental Hygienist is licensed. This includes, but is not
- 26 <u>limited to, programs to which Licensees with substance abuse or addiction</u>
- 27 issues are referred in lieu of Adverse Action.
- D. "Clinical Assessment" means examination or process, required for
- 29 <u>licensure as a Dentist or Dental Hygienist as applicable, that provides</u>
- 30 evidence of clinical competence in dentistry or dental hygiene.
- 31 <u>E. "Commissioner" means the individual appointed by a Participating</u>

1 State to serve as the member of the Commission for that Participating

- 2 State.
- 3 F. "Compact" means this Dentist and Dental Hygienist Compact.
- 4 <u>G. "Compact Privilege" means the authorization granted by a Remote</u>
- 5 State to allow a Licensee from a Participating State to practice as a
- 6 Dentist or Dental Hygienist in a Remote State.
- 7 <u>H. "Continuing Professional Development" means a requirement, as a</u>
- 8 condition of License renewal, to provide evidence of successful
- 9 participation in educational or professional activities relevant to
- 10 practice or area of work.
- 11 <u>I. "Criminal Background Check" means the submission of fingerprints</u>
- 12 or other biometric-based information for a License applicant for the
- 13 purpose of obtaining that applicant's criminal history record
- 14 information, as defined in 28 C.F.R. 20.3(d) from the Federal Bureau of
- 15 Investigation and the State's criminal history record repository as
- 16 defined in 28 C.F.R. 20.3(f).
- 17 <u>J. "Data System" means the Commission's repository of information</u>
- 18 about <u>Licensees</u>, including, but not limited to, examination, licensure,
- 19 <u>investigative</u>, <u>Compact Privilege</u>, <u>Adverse Action</u>, <u>and Alternative</u>
- 20 <u>Program.</u>
- 21 K. "Dental Hygienist" means an individual who is licensed by a State
- 22 Licensing Authority to practice dental hygiene.
- 23 L. "Dentist" means an individual who is licensed by a State
- 24 <u>Licensing Authority to practice dentistry.</u>
- 25 M. "Dentist and Dental Hygienist Compact Commission" or "Commission"
- 26 means a joint government agency established by this Compact comprised of
- 27 <u>each State that has enacted the Compact and a national administrative</u>
- 28 <u>body comprised of a Commissioner from each State that has enacted the</u>
- 29 <u>Compact.</u>
- 30 N. "Encumbered License" means a License that a State Licensing
- 31 Authority has limited in any way other than through an Alternative

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- 1 Program.
- 2 <u>O. "Executive Board" means the Chair, Vice Chair, Secretary, and</u>
- 3 Treasurer and any other Commissioners as may be determined by Commission
- 4 Rule or bylaw.
- 5 <u>P. "Jurisprudence Requirement" means the assessment of an</u>
- 6 individual's knowledge of the laws and Rules governing the practice of
- 7 dentistry or dental hygiene, as applicable, in a State.
- 8 Q. "License" means current authorization by a State, other than
- 9 authorization pursuant to a Compact Privilege, or other privilege, for an
- 10 individual to practice as a Dentist or Dental Hygienist in that State.
- 11 R. "Licensee" means an individual who holds an unrestricted License
- 12 <u>from a Participating State to practice as a Dentist or Dental Hygienist</u>
- 13 <u>in that State.</u>
- 14 <u>S. "Model Compact" means the model for the Dentist and Dental</u>
- 15 Hygienist Compact on file with the Council of State Governments or other
- 16 entity as designated by the Commission.
- 17 T. "Participating State" means a State that has enacted the Compact
- 18 and been admitted to the Commission in accordance with the provisions
- 19 <u>herein and Commission Rules.</u>
- 20 <u>U. "Qualifying License" means a License that is not an Encumbered</u>
- 21 License issued by a Participating State to practice dentistry or dental
- 22 hygiene.
- 23 <u>V. "Remote State" means a Participating State where a Licensee who</u>
- 24 is not licensed as a Dentist or Dental Hygienist is exercising or seeking
- 25 to exercise the Compact Privilege.
- 26 W. "Rule" means a regulation promulgated by an entity that has the
- 27 force of law.
- 28 <u>X. "Scope of Practice" means the procedures, actions, and processes</u>
- 29 a Dentist or Dental Hygienist licensed in a State is permitted to
- 30 undertake in that State and the circumstances under which the Licensee is
- 31 permitted to undertake those procedures, actions, and processes. Such

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- 1 procedures, actions, and processes and the circumstances under which they
- 2 may be undertaken may be established through means, including, but not
- 3 limited to, statute, regulations, case law, and other processes available
- 4 to the State Licensing Authority or other government agency.
- 5 <u>Y. "Significant Investigative Information" means information,</u>
- 6 records, and documents received or generated by a State Licensing
- 7 Authority pursuant to an investigation for which a determination has been
- 8 made that there is probable cause to believe that the Licensee has
- 9 violated a statute or regulation that is considered more than a minor
- 10 <u>infraction for which the State Licensing Authority could pursue Adverse</u>
- 11 <u>Action against the Licensee.</u>
- 12 Z. "State" means any state, commonwealth, district, or territory of
- 13 the United States of America that regulates the practices of dentistry
- 14 <u>and dental hygiene.</u>
- 15 AA. "State Licensing Authority" means an agency or other entity of a
- 16 State that is responsible for the licensing and regulation of Dentists or
- 17 Dental Hygienists.
- 18 SECTION 3. STATE PARTICIPATION IN THE COMPACT
- 19 <u>A. In order to join the Compact and thereafter continue as a</u>
- 20 <u>Participating State, a State must:</u>
- 21 <u>1. Enact a compact that is not materially different from the Model</u>
- 22 Compact as determined in accordance with Commission Rules;
- 23 2. Participate fully in the Commission's Data System;
- 24 3. Have a mechanism in place for receiving and investigating
- 25 complaints about its Licensees and License applicants;
- 26 4. Notify the Commission, in compliance with the terms of the
- 27 <u>Compact and Commission Rules, of any Adverse Action or the availability</u>
- 28 <u>of Significant Investigative Information regarding a Licensee and License</u>
- 29 <u>applicant;</u>
- 30 <u>5. Fully implement a Criminal Background Check requirement, within a</u>
- 31 timeframe established by Commission Rule, by receiving the results of a

- 1 qualifying Criminal Background Check;
- 2 <u>6. Comply with the Commission Rules applicable to a Participating</u>
- 3 State;
- 4 7. Accept the National Board Examinations of the Joint Commission on
- 5 <u>National Dental Examinations or another examination accepted by</u>
- 6 Commission Rule as a licensure examination;
- 7 8. Accept for licensure applicants for a Dentist License that
- 8 graduate from a predoctoral dental education program accredited by the
- 9 Commission on Dental Accreditation, or another accrediting agency
- 10 <u>recognized by the United States Department of Education for the</u>
- 11 <u>accreditation of dentistry and dental hygiene education programs, leading</u>
- 12 <u>to the Doctor of Dental Surgery (D.D.S.) or Doctor of Dental Medicine</u>
- 13 <u>(D.M.D.)</u> degree;
- 14 9. Accept for licensure applicants for a Dental Hygienist License
- 15 that graduate from a dental hygiene education program accredited by the
- 16 <u>Commission on Dental Accreditation or another accrediting agency</u>
- 17 <u>recognized by the United States Department of Education for the</u>
- 18 accreditation of dentistry and dental hygiene education programs;
- 19 10. Require for licensure that applicants successfully complete a
- 20 Clinical Assessment;
- 21 <u>11. Have Continuing Professional Development requirements as a</u>
- 22 condition for License renewal; and
- 23 <u>12. Pay a participation fee to the Commission as established by</u>
- 24 Commission Rule.
- 25 B. Providing alternative pathways for an individual to obtain an
- 26 <u>unrestricted License does not disqualify a State from participating in</u>
- the Compact.
- 28 C. When conducting a Criminal Background Check, the State Licensing
- 29 <u>Authority shall:</u>
- 30 1. Consider that information in making a licensure decision;
- 31 2. Maintain documentation of completion of the Criminal Background

1 Check and background check information to the extent allowed by State and

- 2 federal law; and
- 3 3. Report to the Commission whether it has completed the Criminal
- 4 Background Check and whether the individual was granted or denied a
- 5 License.
- 6 D. A Licensee of a Participating State who has a Qualifying License
- 7 in that State and does not hold an Encumbered License in any other
- 8 Participating State shall be issued a Compact Privilege in a Remote State
- 9 in accordance with the terms of the Compact and Commission Rules. If a
- 10 Remote State has a Jurisprudence Requirement, a Compact Privilege will
- 11 <u>not be issued to the Licensee unless the Licensee has satisfied the</u>
- 12 <u>Jurisprudence Requirement.</u>
- 13 SECTION 4. COMPACT PRIVILEGE
- 14 A. To obtain and exercise the Compact Privilege under the terms and
- 15 provisions of the Compact, the Licensee shall:
- 16 <u>1. Have a Qualifying License as a Dentist or Dental Hygienist in a</u>
- 17 Participating State;
- 18 <u>2. Be eligible for a Compact Privilege in any Remote State in</u>
- 19 accordance with subsections D, G, and H of this section;
- 20 <u>3. Submit to an application process whenever the Licensee is seeking</u>
- 21 <u>a Compact Privilege;</u>
- 22 4. Pay any applicable Commission and Remote State fees for a Compact
- 23 Privilege in the Remote State;
- 24 5. Meet any Jurisprudence Requirement established by a Remote State
- 25 in which the Licensee is seeking a Compact Privilege;
- 26 6. Have passed a National Board Examination of the Joint Commission
- 27 <u>on National Dental Examinations or another examination accepted by</u>
- 28 <u>Commission Rule;</u>
- 29 <u>7. For a Dentist, have graduated from a predoctoral dental education</u>
- 30 program accredited by the Commission on Dental Accreditation, or another
- 31 accrediting agency recognized by the United States Department of

- 1 Education for the accreditation of dentistry and dental hygiene education
- 2 programs, leading to the Doctor of Dental Surgery (D.D.S.) or Doctor of
- 3 <u>Dental Medicine (D.M.D.) degree;</u>
- 4 8. For a Dental Hygienist, have graduated from a dental hygiene
- 5 education program accredited by the Commission on Dental Accreditation or
- 6 another accrediting agency recognized by the United States Department of
- 7 Education for the accreditation of dentistry and dental hygiene education
- 8 programs;
- 9 9. Have successfully completed a Clinical Assessment for licensure;
- 10 10. Report to the Commission Adverse Action taken by any non-
- 11 Participating State when applying for a Compact Privilege and, otherwise,
- 12 within thirty days from the date the Adverse Action is taken;
- 13 <u>11. Report to the Commission when applying for a Compact Privilege</u>
- 14 the address of the Licensee's primary residence and thereafter
- 15 immediately report to the Commission any change in the address of the
- 16 Licensee's primary residence; and
- 17 <u>12. Consent to accept service of process by mail at the Licensee's</u>
- 18 primary residence on record with the Commission with respect to any
- 19 action brought against the Licensee by the Commission or a Participating
- 20 State, and consent to accept service of a subpoena by mail at the
- 21 Licensee's primary residence on record with the Commission with respect
- 22 to any action brought or investigation conducted by the Commission or a
- 23 Participating State.
- 24 B. The Licensee must comply with the requirements of subsection A of
- 25 this section to maintain the Compact Privilege in the Remote State. If
- 26 those requirements are met, the Compact Privilege will continue as long
- 27 <u>as the Licensee maintains a Qualifying License in the State through which</u>
- 28 the Licensee applied for the Compact Privilege and pays any applicable
- 29 <u>Compact Privilege renewal fees.</u>
- 30 <u>C. A Licensee providing dentistry or dental hygiene in a Remote</u>
- 31 State under the Compact Privilege shall function within the Scope of

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1 Practice authorized by the Remote State for a Dentist or Dental Hygienist

- 2 <u>licensed in that State.</u>
- 3 <u>D. A Licensee providing dentistry or dental hygiene pursuant to a</u>
- 4 Compact Privilege in a Remote State is subject to that State's regulatory
- 5 <u>authority</u>. A Remote State may, in accordance with due process and that
- 6 State's laws, by Adverse Action revoke or remove a Licensee's Compact
- 7 Privilege in the Remote State for a specific period of time and impose
- 8 fines or take any other necessary actions to protect the health and
- 9 safety of its citizens. If a Remote State imposes an Adverse Action
- 10 against a Compact Privilege that limits the Compact Privilege, that
- 11 Adverse Action applies to all Compact Privileges in all Remote States. A
- 12 <u>Licensee whose Compact Privilege in a Remote State is removed for a</u>
- 13 specified period of time is not eligible for a Compact Privilege in any
- 14 other Remote State until the specific time for removal of the Compact
- 15 Privilege has passed and all encumbrance requirements are satisfied.
- 16 <u>E. If a License in a Participating State is an Encumbered License,</u>
- 17 the Licensee shall lose the Compact Privilege in a Remote State and shall
- 18 <u>not be eligible for a Compact Privilege in any Remote State until the</u>
- 19 <u>License is no longer encumbered.</u>
- 20 <u>F. Once an Encumbered License in a Participating State is restored</u>
- 21 to good standing, the Licensee must meet the requirements of subsection A
- 22 of this section to obtain a Compact Privilege in a Remote State.
- 23 G. If a Licensee's Compact Privilege in a Remote State is removed by
- 24 the Remote State, the individual shall lose or be ineligible for the
- 25 Compact Privilege in any Remote State until the following occur:
- 26 <u>1. The specific period of time for which the Compact Privilege was</u>
- 27 removed has ended; and
- 28 <u>2. All conditions for removal of the Compact Privilege have been</u>
- 29 <u>satisfied.</u>
- 30 <u>H. Once the requirements of subsection G of this section have been</u>
- 31 met, the Licensee must meet the requirements in subsection A of this

- 1 section to obtain a Compact Privilege in a Remote State.
- 2 SECTION 5. ACTIVE MILITARY MEMBERS AND THEIR SPOUSES
- 3 An Active Military Member and the member's spouse shall not be
- 4 required to pay to the Commission for a Compact Privilege the fee
- 5 otherwise charged by the Commission. If a Remote State chooses to charge
- 6 a fee for a Compact Privilege, it may choose to charge a reduced fee or
- 7 no fee to an Active Military Member and the member's spouse for a Compact
- 8 Privilege.
- 9 SECTION 6. ADVERSE ACTIONS
- 10 A. A Participating State in which a Licensee is licensed shall have
- 11 <u>exclusive authority to impose Adverse Action against the Qualifying</u>
- 12 <u>License issued by that Participating State.</u>
- 13 <u>B. A Participating State may take Adverse Action based on the</u>
- 14 Significant Investigative Information of a Remote State, so long as the
- 15 Participating State follows its own procedures for imposing Adverse
- 16 Action.
- 17 C. Nothing in this Compact shall override a Participating State's
- 18 decision that participation in an Alternative Program may be used in lieu
- 19 of Adverse Action and that such participation shall remain nonpublic if
- 20 required by the Participating State's laws. Participating States must
- 21 require Licensees who enter any Alternative Program in lieu of discipline
- 22 to agree not to practice pursuant to a Compact Privilege in any other
- 23 Participating State during the term of the Alternative Program without
- 24 prior authorization from such other Participating State.
- 25 D. Any Participating State in which a Licensee is applying to
- 26 practice or is practicing pursuant to a Compact Privilege may investigate
- 27 <u>actual or alleged violations of the statutes and regulations authorizing</u>
- 28 the practice of dentistry or dental hygiene in any other Participating
- 29 State in which the Dentist or Dental Hygienist holds a License or Compact
- 30 <u>Privilege.</u>
- 31 E. A Remote State shall have the authority to:

1 <u>1. Take Adverse Actions as set forth in Section 4.D against a</u>

- 2 <u>Licensee's Compact Privilege in the State;</u>
- 3 2. In furtherance of its rights and responsibilities under the
- 4 Compact and the Commission's Rules, issue subpoenas for hearings and
- 5 investigations that require the attendance and testimony of witnesses and
- 6 for the production of evidence. Subpoenas issued by a State Licensing
- 7 Authority in a Participating State for the attendance and testimony of
- 8 witnesses, or the production of evidence from another Participating
- 9 State, shall be enforced in the latter State by any court of competent
- 10 jurisdiction, according to the practice and procedure of that court
- 11 <u>applicable to subpoenas issued in proceedings pending before it. The</u>
- 12 <u>issuing authority shall pay any witness fees, travel expenses, mileage,</u>
- 13 and other fees required by the service statutes of the State where the
- 14 <u>witnesses or evidence are located; and</u>
- 15 <u>3. If otherwise permitted by State law, recover from the Licensee</u>
- 16 the costs of investigations and disposition of cases resulting from any
- 17 Adverse Action taken against that Licensee.
- 18 F. Joint Investigations
- 19 <u>1. In addition to the authority granted to a Participating State by</u>
- 20 <u>its Dentist or Dental Hygienist licensure act or other applicable State</u>
- 21 law, a Participating State may jointly investigate Licensees with other
- 22 Participating States.
- 23 <u>2. Participating States shall share any Significant Investigative</u>
- 24 Information, litigation, or compliance materials in furtherance of any
- 25 joint or individual investigation initiated under the Compact.
- 26 G. Authority to Continue Investigation
- 27 1. After a Licensee's Compact Privilege in a Remote State is
- 28 terminated, the Remote State may continue an investigation of the
- 29 <u>Licensee that began when the Licensee had a Compact Privilege in that</u>
- 30 Remote State.
- 31 2. If the investigation yields what would be Significant

1 Investigative Information had the Licensee continued to have a Compact

- 2 <u>Privilege in that Remote State, the Remote State shall report the</u>
- 3 presence of such information to the Data System as required by Section
- 4 8.B.6 as if it was Significant Investigative Information.
- 5 SECTION 7. ESTABLISHMENT AND OPERATION OF THE COMMISSION.
- 6 A. The Compact Participating States hereby create and establish a
- 7 joint government agency whose membership consists of all Participating
- 8 States that have enacted the Compact. The Commission is an
- 9 instrumentality of the Participating States acting jointly and not an
- 10 instrumentality of any one State. The Commission shall come into
- 11 <u>existence on or after the effective date of the Compact as set forth in</u>
- 12 <u>Section 11.A.</u>
- 13 <u>B. Participation, Voting, and Meetings</u>
- 14 1. Each Participating State shall have and be limited to one
- 15 Commissioner selected by that Participating State's State Licensing
- 16 Authority or, if the State has more than one State Licensing Authority,
- 17 selected collectively by the State Licensing Authorities.
- 18 <u>2. The Commissioner shall be a member or designee of such Authority</u>
- 19 <u>or Authorities.</u>
- 20 <u>3. The Commission may by Rule or bylaw establish a term of office</u>
- 21 for Commissioners and may by Rule or bylaw establish term limits.
- 22 4. The Commission may recommend to a State Licensing Authority or
- 23 Authorities, as applicable, removal or suspension of an individual as the
- 24 State's Commissioner.
- 25 5. A Participating State's State Licensing Authority, or
- 26 Authorities, as applicable, shall fill any vacancy of its Commissioner on
- 27 <u>the Commission within sixty days of the vacancy.</u>
- 28 <u>6. Each Commissioner shall be entitled to one vote on all matters</u>
- 29 <u>that are voted upon by the Commission.</u>
- 30 <u>7. The Commission shall meet at least once during each calendar</u>
- 31 year. Additional meetings may be held as set forth in the bylaws. The

1 Commission may meet by telecommunication, videoconference, or other

- 2 <u>similar electronic means.</u>
- 3 <u>C. The Commission shall have the following powers:</u>
- 4 1. Establish the fiscal year of the Commission;
- 5 2. Establish a code of conduct and conflict of interest policies;
- 6 3. Adopt Rules and bylaws;
- 7 4. Maintain its financial records in accordance with the bylaws;
- 8 <u>5. Meet and take such actions as are consistent with the provisions</u>
- 9 of this Compact, the Commission's Rules, and the bylaws;
- 10 6. Initiate and conclude legal proceedings or actions in the name of
- 11 the Commission, provided that the standing of any State Licensing
- 12 Authority to sue or be sued under applicable law shall not be affected;
- 13 <u>7. Maintain and certify records and information provided to a</u>
- 14 Participating State as the authenticated business records of the
- 15 Commission, and designate a person to do so on the Commission's behalf;
- 16 8. Purchase and maintain insurance and bonds;
- 9. Borrow, accept, or contract for services of personnel, including,
- 18 <u>but not limited to, employees of a Participating State;</u>
- 19 <u>10. Conduct an annual financial review;</u>
- 20 <u>11. Hire employees, elect or appoint officers, fix compensation,</u>
- 21 define duties, grant such individuals appropriate authority to carry out
- 22 the purposes of the Compact, and establish the Commission's personnel
- 23 policies and programs relating to conflicts of interest, qualifications
- 24 <u>of personnel, and other related personnel matters;</u>
- 25 12. As set forth in the Commission Rules, charge a fee to a Licensee
- 26 for the grant of a Compact Privilege in a Remote State and thereafter, as
- 27 may be established by Commission Rule, charge the Licensee a Compact
- 28 Privilege renewal fee for each renewal period in which that Licensee
- 29 <u>exercises or intends to exercise the Compact Privilege in that Remote</u>
- 30 State. Nothing herein shall be construed to prevent a Remote State from
- 31 charging a Licensee a fee for a Compact Privilege or renewals of a

1 Compact Privilege, or a fee for the Jurisprudence Requirement if the

- 2 Remote State imposes such a requirement for the grant of a Compact
- 3 Privilege;
- 4 13. Accept any and all appropriate gifts, donations, grants of
- 5 money, other sources of revenue, equipment, supplies, materials, and
- 6 services, and receive, utilize, and dispose of the same; provided that at
- 7 all times the Commission shall avoid any appearance of impropriety and/or
- 8 conflict of interest;
- 9 14. Lease, purchase, retain, own, hold, improve, or use any
- 10 property, real, personal, or mixed, or any undivided interest therein;
- 11 <u>15. Sell, convey, mortgage, pledge, lease, exchange, abandon, or</u>
- 12 <u>otherwise dispose of any property, real, personal, or mixed;</u>
- 13 <u>16. Establish a budget and make expenditures;</u>
- 14 <u>17. Borrow money;</u>
- 15 <u>18. Appoint committees, including standing committees, which may be</u>
- 16 composed of members, State regulators, State legislators or their
- 17 <u>representatives, and consumer representatives, and such other interested</u>
- 18 persons as may be designated in this Compact and the bylaws;
- 19 <u>19. Provide and receive information from, and cooperate with, law</u>
- 20 <u>enforcement agencies;</u>
- 21 <u>20. Elect a Chair, Vice Chair, Secretary, and Treasurer and such</u>
- 22 other officers of the Commission as provided in the Commission's bylaws;
- 23 21. Establish and elect an Executive Board;
- 24 22. Adopt and provide to the Participating States an annual report;
- 25 23. Determine whether a State's enacted compact is materially
- 26 different from the Model Compact language such that the State would not
- 27 qualify for participation in the Compact; and
- 28 <u>24. Perform such other functions as may be necessary or appropriate</u>
- 29 <u>to achieve the purposes of this Compact.</u>
- 30 <u>D. Meetings of the Commission</u>
- 31 1. All meetings of the Commission that are not closed pursuant to

1 this subsection shall be open to the public. Notice of public meetings

- 2 <u>shall be posted on the Commission's website at least thirty days prior to</u>
- 3 the public meeting.
- 4 2. Notwithstanding subsection D.1 of this section, the Commission
- 5 may convene an emergency public meeting by providing at least twenty-four
- 6 hours prior notice on the Commission's website, and any other means as
- 7 provided in the Commission's Rules, for any of the reasons it may
- 8 dispense with notice of proposed rulemaking under Section 9.L. The
- 9 Commission's legal counsel shall certify that one of the reasons
- 10 justifying an emergency public meeting has been met.
- 11 <u>3. Notice of all Commission meetings shall provide the time, date,</u>
- 12 and location of the meeting, and if the meeting is to be held or
- 13 <u>accessible via telecommunication, videoconference, or other electronic</u>
- 14 means, the notice shall include the mechanism for access to the meeting
- 15 through such means.
- 16 4. The Commission may convene in a closed, nonpublic meeting for the
- 17 Commission to receive legal advice or to discuss:
- 18 <u>a. Noncompliance of a Participating State with its obligations under</u>
- 19 the Compact;
- 20 <u>b. The employment, compensation, discipline, or other matters,</u>
- 21 practices, or procedures related to specific employees or other matters
- 22 related to the Commission's internal personnel practices and procedures;
- 23 c. Current or threatened discipline of a Licensee or Compact
- 24 Privilege holder by the Commission or by a Participating State's
- 25 Licensing Authority;
- 26 <u>d. Current, threatened, or reasonably anticipated litigation;</u>
- 27 <u>e. Negotiation of contracts for the purchase, lease, or sale of</u>
- 28 goods, services, or real estate;
- 29 <u>f. Accusing any person of a crime or formally censuring any person;</u>
- 30 g. Trade secrets or commercial or financial information that is
- 31 privileged or confidential;

h. Information of a personal nature where disclosure would

- 2 <u>constitute a clearly unwarranted invasion of personal privacy;</u>
- 3 i. Investigative records compiled for law enforcement purposes;
- 4 j. Information related to any investigative reports prepared by or
- 5 on behalf of or for use of the Commission or other committee charged with
- 6 responsibility of investigation or determination of compliance issues
- 7 pursuant to the Compact;
- 8 <u>k. Legal advice;</u>
- 9 l. Matters specifically exempted from disclosure to the public by
- 10 federal or Participating State law; and
- m. Other matters as promulgated by the Commission by Rule.
- 5. If a meeting, or portion of a meeting, is closed, the presiding
- 13 officer shall state that the meeting will be closed and reference each
- 14 relevant exempting provision, and such reference shall be recorded in the
- 15 minutes.
- 6. The Commission shall keep minutes that fully and clearly describe
- 17 all matters discussed in a meeting and shall provide a full and accurate
- 18 summary of actions taken, and the reasons therefor, including a
- 19 <u>description of the views expressed. All documents considered in</u>
- 20 connection with an action shall be identified in such minutes. All
- 21 minutes and documents of a closed meeting shall remain under seal,
- 22 subject to release only by a majority vote of the Commission or order of
- 23 a court of competent jurisdiction.
- 24 <u>E. Financing of the Commission</u>
- 25 <u>1. The Commission shall pay, or provide for the payment of, the</u>
- 26 <u>reasonable expenses of its establishment, organization, and ongoing</u>
- 27 activities.
- 28 2. The Commission may accept any and all appropriate sources of
- 29 revenue, donations, and grants of money, equipment, supplies, materials,
- 30 <u>and services.</u>
- 31 3. The Commission may levy on and collect an annual assessment from

- 1 each Participating State and impose fees on Licensees of Participating
- 2 States when a Compact Privilege is granted, to cover the cost of the
- 3 operations and activities of the Commission and its staff, which must be
- 4 in a total amount sufficient to cover its annual budget as approved each
- 5 fiscal year for which sufficient revenue is not provided by other
- 6 sources. The aggregate annual assessment amount for Participating States
- 7 shall be allocated based upon a formula that the Commission shall
- 8 promulgate by Rule.
- 9 4. The Commission shall not incur obligations of any kind prior to
- 10 <u>securing the funds adequate to meet the same; nor shall the Commission</u>
- 11 pledge the credit of any Participating State, except by and with the
- 12 authority of the Participating State.
- 5. The Commission shall keep accurate accounts of all receipts and
- 14 disbursements. The receipts and disbursements of the Commission shall be
- 15 <u>subject to the financial review and accounting procedures established</u>
- 16 under its bylaws. All receipts and disbursements of funds handled by the
- 17 Commission shall be subject to an annual financial review by a certified
- 18 or licensed public accountant, and the report of the financial review
- 19 shall be included in and become part of the annual report of the
- 20 <u>Commission</u>.
- 21 <u>F. The Executive Board</u>
- 22 1. The Executive Board shall have the power to act on behalf of the
- 23 Commission according to the terms of this Compact. The powers, duties,
- 24 and responsibilities of the Executive Board shall include:
- 25 a. Overseeing the day-to-day activities of the administration of the
- 26 <u>Compact including compliance with the provisions of the Compact and the</u>
- 27 Commission's Rules and bylaws;
- b. Recommending to the Commission changes to the Rules or bylaws,
- 29 <u>changes to this Compact legislation, fees charged to Compact</u>
- 30 Participating States, fees charged to Licensees, and other fees;
- 31 c. Ensuring Compact administration services are appropriately

- provided, including by contract;
- 2 <u>d. Preparing and recommending the budget;</u>
- 3 e. Maintaining financial records on behalf of the Commission;
- 4 f. Monitoring Compact compliance of Participating States and
- 5 providing compliance reports to the Commission;
- 6 g. Establishing additional committees as necessary;
- 7 h. Exercising the powers and duties of the Commission during the
- 8 <u>interim between Commission meetings</u>, except for adopting or amending
- 9 Rules, adopting or amending bylaws, and exercising any other powers and
- 10 <u>duties expressly reserved to the Commission by Rule or bylaw; and</u>
- 11 <u>i. Other duties as provided in the Rules or bylaws of the</u>
- 12 <u>Commission</u>.
- 13 <u>2. The Executive Board shall be composed of up to seven members:</u>
- 14 a. The Chair, Vice Chair, Secretary, and Treasurer of the Commission
- 15 and any other members of the Commission who serve on the Executive Board
- 16 shall be voting members of the Executive Board; and
- 17 <u>b. Other than the Chair, Vice Chair, Secretary, and Treasurer, the</u>
- 18 Commission may elect up to three voting members from the current
- 19 membership of the Commission.
- 20 <u>3. The Commission may remove any member of the Executive Board as</u>
- 21 provided in the Commission's bylaws.
- 22 4. The Executive Board shall meet at least annually.
- a. An Executive Board meeting at which it takes or intends to take
- 24 formal action on a matter shall be open to the public, except that the
- 25 Executive Board may meet in a closed, nonpublic session of a public
- 26 <u>meeting when dealing with any of the matters covered under subsection D.4</u>
- 27 of this section.
- b. The Executive Board shall give five business days' notice of its
- 29 public meetings, posted on its website and as it may otherwise determine
- 30 to provide notice to persons with an interest in the public matters the
- 31 Executive Board intends to address at those meetings.

5. The Executive Board may hold an emergency meeting when acting for

- 2 the Commission to:
- a. Meet an imminent threat to public health, safety, or welfare;
- 4 b. Prevent a loss of Commission or Participating State funds; or
- 5 c. Protect public health and safety.
- 6 G. Qualified Immunity, Defense, and Indemnification
- 7 <u>1. The members, officers, executive director, employees, and</u>
- 8 <u>representatives of the Commission shall have no greater liability than a</u>
- 9 state employee would have under the same or similar circumstances, either
- 10 personally or in their official capacity, for any claim for damage to or
- 11 loss of property or personal injury or other civil liability caused by or
- 12 arising out of any actual or alleged act, error, or omission that
- 13 occurred, or that the person against whom the claim is made had a
- 14 <u>reasonable basis for believing occurred, within the scope of Commission</u>
- 15 employment, duties, or responsibilities; provided that nothing in this
- 16 paragraph shall be construed to protect any such person from suit or
- 17 <u>liability for any damage, loss, injury, or liability caused by the</u>
- 18 <u>intentional or willful or wanton misconduct of that person. The</u>
- 19 procurement of insurance of any type by the Commission shall not in any
- 20 way compromise or limit the immunity granted hereunder.
- 21 <u>2. The Commission shall defend any member, officer, executive</u>
- 22 director, employee, or representative of the Commission in any civil
- 23 action seeking to impose liability arising out of any actual or alleged
- 24 act, error, or omission that occurred within the scope of Commission
- 25 employment, duties, or responsibilities, or that the person against whom
- 26 the claim is made had a reasonable basis for believing occurred within
- 27 the scope of Commission employment, duties, or responsibilities; provided
- 28 that nothing herein shall be construed to prohibit that person from
- 29 retaining their own counsel at their own expense; and provided further,
- 30 that the actual or alleged act, error, or omission did not result from
- 31 that person's intentional or willful or wanton misconduct.

3. The Commission shall indemnify and hold harmless any member,

- 2 <u>officer</u>, <u>executive</u> <u>director</u>, <u>employee</u>, <u>or</u> <u>representative</u> <u>of</u> <u>the</u>
- 3 Commission for the amount of any settlement or judgment obtained against
- 4 that person arising out of any actual or alleged act, error, or omission
- 5 that occurred within the scope of Commission employment, duties, or
- 6 responsibilities, or that such person had a reasonable basis for
- 7 believing occurred within the scope of Commission employment, duties, or
- 8 responsibilities; provided that the actual or alleged act, error, or
- 9 omission did not result from the intentional or willful or wanton
- 10 misconduct of that person.
- 11 4. Nothing herein shall be construed as a limitation on the
- 12 liability of any Licensee for professional malpractice or misconduct,
- 13 which shall be governed solely by any other applicable State laws.
- 14 5. Nothing in this Compact shall be interpreted to waive or
- 15 <u>otherwise abrogate a Participating State's state action immunity or state</u>
- 16 action affirmative defense with respect to antitrust claims under the
- 17 Sherman Act, the Clayton Act, or any other State or federal antitrust or
- 18 anticompetitive law or regulation.
- 19 6. Nothing in this Compact shall be construed to be a waiver of
- 20 <u>sovereign immunity by the Participating States or by the Commission.</u>
- 21 <u>SECTION 8. DATA SYSTEM</u>
- 22 A. The Commission shall provide for the development, maintenance,
- 23 operation, and utilization of a coordinated database and reporting system
- 24 <u>containing licensure</u>, <u>Adverse Action</u>, <u>and the presence of Significant</u>
- 25 Investigative Information on all Licensees and applicants for a License
- 26 in Participating States.
- 27 <u>B. Notwithstanding any other provision of State law to the contrary,</u>
- 28 a Participating State shall submit a uniform data set to the Data System
- 29 on all individuals to whom this Compact is applicable as required by the
- 30 Rules of the Commission, including:
- 31 1. Identifying information;

- 1 2. Licensure data;
- 2 <u>3. Adverse Actions against a Licensee, License applicant, or Compact</u>
- 3 Privilege and information related thereto;
- 4 4. Nonconfidential information related to Alternative Program
- 5 participation, the beginning and ending dates of such participation, and
- 6 other information related to such participation;
- 5. Any denial of an application for licensure, and the reason(s) for
- 8 <u>such denial</u>, (excluding the reporting of any criminal history record
- 9 information where prohibited by law);
- 10 6. The presence of Significant Investigative Information; and
- 11 7. Other information that may facilitate the administration of this
- 12 Compact or the protection of the public, as determined by the Rules of
- 13 <u>the Commission</u>.
- 14 C. The records and information provided to a Participating State
- 15 pursuant to this Compact or through the Data System, when certified by
- 16 <u>the Commission or an agent thereof, shall constitute the authenticated</u>
- 17 <u>business records of the Commission, and shall be entitled to any</u>
- 18 <u>associated hearsay exception in any relevant judicial, quasi-judicial, or</u>
- 19 <u>administrative proceedings in a Participating State.</u>
- 20 <u>D. Significant Investigative Information pertaining to a Licensee in</u>
- 21 any Participating State will only be available to other Participating
- 22 States.
- 23 <u>E. It is the responsibility of the Participating States to monitor</u>
- 24 the database to determine whether Adverse Action has been taken against a
- 25 Licensee or License applicant. Adverse Action information pertaining to a
- 26 <u>Licensee or License applicant in any Participating State will be</u>
- 27 available to any other Participating State.
- 28 F. Participating States contributing information to the Data System
- 29 may designate information that may not be shared with the public without
- 30 <u>the express permission of the contributing State.</u>
- 31 G. Any information submitted to the Data System that is subsequently

1 expunged pursuant to federal law or the laws of the Participating State

- 2 <u>contributing the information shall be removed from the Data System.</u>
- 3 SECTION 9. RULEMAKING
- 4 A. The Commission shall promulgate reasonable Rules in order to
- 5 effectively and efficiently implement and administer the purposes and
- 6 provisions of the Compact. A Commission Rule shall be invalid and have no
- 7 force or effect only if a court of competent jurisdiction holds that the
- 8 Rule is invalid because the Commission exercised its rulemaking authority
- 9 in a manner that is beyond the scope and purposes of the Compact, or the
- 10 powers granted hereunder, or based upon another applicable standard of
- 11 review.
- 12 <u>B. The Rules of the Commission shall have the force of law in each</u>
- 13 Participating State, provided however that where the Rules of the
- 14 Commission conflict with the laws of the Participating State that
- 15 <u>establish the Participating State's Scope of Practice as held by a court</u>
- 16 of competent jurisdiction, the Rules of the Commission shall be
- 17 ineffective in that State to the extent of the conflict.
- 18 <u>C. The Commission shall exercise its Rulemaking powers pursuant to</u>
- 19 <u>the criteria set forth in this section and the Rules adopted thereunder.</u>
- 20 Rules shall become binding as of the date specified by the Commission for
- 21 each Rule.
- 22 D. If a majority of the legislatures of the Participating States
- 23 reject a Commission Rule or portion of a Commission Rule, by enactment of
- 24 a statute or resolution in the same manner used to adopt the Compact,
- 25 within four years of the date of adoption of the Rule, then such Rule
- 26 shall have no further force and effect in any Participating State or to
- 27 <u>any State applying to participate in the Compact.</u>
- 28 <u>E. Rules shall be adopted at a regular or special meeting of the</u>
- 29 <u>Commission</u>.
- 30 F. Prior to adoption of a proposed Rule, the Commission shall hold a
- 31 public hearing and allow persons to provide oral and written comments,

- 1 data, facts, opinions, and arguments.
- 2 <u>G. Prior to adoption of a proposed Rule by the Commission, and at</u>
- 3 least thirty days in advance of the meeting at which the Commission will
- 4 hold a public hearing on the proposed Rule, the Commission shall provide
- 5 a Notice of Proposed Rulemaking:
- 6 1. On the website of the Commission or other publicly accessible
- 7 platform;
- 8 2. To persons who have requested notice of the Commission's notices
- 9 of proposed rulemaking; and
- 10 3. In such other ways as the Commission may by Rule specify.
- 11 <u>H. The Notice of Proposed Rulemaking shall include:</u>
- 12 <u>1. The time, date, and location of the public hearing at which the</u>
- 13 Commission will hear public comments on the proposed Rule and, if
- 14 different, the time, date, and location of the meeting where the
- 15 Commission will consider and vote on the proposed Rule;
- 16 2. If the hearing is held via telecommunication, videoconference, or
- 17 other electronic means, the Commission shall include the mechanism for
- 18 access to the hearing in the Notice of Proposed Rulemaking;
- 19 3. The text of the proposed Rule and the reason therefor;
- 20 4. A request for comments on the proposed Rule from any interested
- 21 <u>person; and</u>
- 22 5. The manner in which interested persons may submit written
- 23 comments.
- 24 <u>I. All hearings will be recorded. A copy of the recording and all</u>
- 25 written comments and documents received by the Commission in response to
- 26 <u>the proposed Rule shall be available to the public.</u>
- 27 <u>J. Nothing in this section shall be construed as requiring a</u>
- 28 separate hearing on each Commission Rule. Rules may be grouped for the
- 29 convenience of the Commission at hearings required by this section.
- 30 K. The Commission shall, by majority vote of all Commissioners, take
- 31 final action on the proposed Rule based on the rulemaking record.

1 1. The Commission may adopt changes to the proposed Rule provided

- 2 <u>the changes do not enlarge the original purpose of the proposed Rule.</u>
- 3 <u>2. The Commission shall provide an explanation of the reasons for</u>
- 4 substantive changes made to the proposed Rule as well as reasons for
- 5 substantive changes not made that were recommended by commenters.
- 6 3. The Commission shall determine a reasonable effective date for
- 7 the Rule. Except for an emergency as provided in subsection L of this
- 8 <u>section</u>, the effective date of the Rule shall be no sooner than thirty
- 9 days after the Commission issuing the notice that it adopted or amended
- 10 the Rule.
- 11 <u>L. Upon determination that an emergency exists, the Commission may</u>
- 12 consider and adopt an emergency Rule with twenty-four hours' notice, with
- 13 opportunity to comment, provided that the usual rulemaking procedures
- 14 provided in the Compact and in this section shall be retroactively
- 15 applied to the Rule as soon as reasonably possible, in no event later
- 16 than ninety days after the effective date of the Rule. For the purposes
- 17 of this provision, an emergency Rule is one that must be adopted
- 18 immediately in order to:
- 19 <u>1. Meet an imminent threat to public health, safety, or welfare;</u>
- 20 <u>2. Prevent a loss of Commission or Participating State funds;</u>
- 21 <u>3. Meet a deadline for the promulgation of a Rule that is</u>
- 22 established by federal law or rule; or
- 4. Protect public health and safety.
- 24 M. The Commission or an authorized committee of the Commission may
- 25 direct revisions to a previously adopted Rule for purposes of correcting
- 26 typographical errors, errors in format, errors in consistency, or
- 27 grammatical errors. Public notice of any revisions shall be posted on the
- 28 website of the Commission. The revision shall be subject to challenge by
- 29 any person for a period of thirty days after posting. The revision may be
- 30 challenged only on grounds that the revision results in a material change
- 31 to a Rule. A challenge shall be made in writing and delivered to the

1 Commission prior to the end of the notice period. If no challenge is

- 2 made, the revision will take effect without further action. If the
- 3 revision is challenged, the revision may not take effect without the
- 4 approval of the Commission.
- 5 <u>N. No Participating State's rulemaking requirements shall apply</u>
- 6 under this Compact.
- 7 SECTION 10. OVERSIGHT, DISPUTE RESOLUTION, AND ENFORCEMENT
- 8 <u>A. Oversight</u>
- 9 1. The executive and judicial branches of State government in each
- 10 Participating State shall enforce this Compact and take all actions
- 11 <u>necessary and appropriate to implement the Compact.</u>
- 12 <u>2. Venue is proper and judicial proceedings by or against the</u>
- 13 Commission shall be brought solely and exclusively in a court of
- 14 competent jurisdiction where the principal office of the Commission is
- 15 <u>located</u>. The Commission may waive venue and jurisdictional defenses to
- 16 the extent it adopts or consents to participate in alternative dispute
- 17 resolution proceedings. Nothing herein shall affect or limit the
- 18 selection or propriety of venue in any action against a Licensee for
- 19 professional malpractice, misconduct, or any such similar matter.
- 20 <u>3. The Commission shall be entitled to receive service of process in</u>
- 21 any proceeding regarding the enforcement or interpretation of the Compact
- 22 or Commission Rule and shall have standing to intervene in such a
- 23 proceeding for all purposes. Failure to provide the Commission service of
- 24 process shall render a judgment or order void as to the Commission, this
- 25 Compact, or promulgated Rules.
- 26 B. Default, Technical Assistance, and Termination
- 27 1. If the Commission determines that a Participating State has
- 28 defaulted in the performance of its obligations or responsibilities under
- 29 this Compact or the promulgated Rules, the Commission shall provide
- 30 written notice to the defaulting State. The notice of default shall
- 31 describe the default, the proposed means of curing the default, and any

1 other action that the Commission may take, and shall offer training and

- 2 <u>specific technical assistance regarding the default.</u>
- 3 2. The Commission shall provide a copy of the notice of default to
- 4 the other Participating States.
- 5 C. If a State in default fails to cure the default, the defaulting
- 6 State may be terminated from the Compact upon an affirmative vote of a
- 7 majority of the Commissioners, and all rights, privileges, and benefits
- 8 <u>conferred on that State by this Compact may be terminated on the</u>
- 9 effective date of termination. A cure of the default does not relieve the
- 10 <u>offending State of obligations or liabilities incurred during the period</u>
- 11 <u>of default.</u>
- 12 <u>D. Termination of participation in the Compact shall be imposed only</u>
- 13 after all other means of securing compliance have been exhausted. Notice
- of intent to suspend or terminate shall be given by the Commission to the
- 15 governor, the majority and minority leaders of the defaulting State's
- 16 legislature, the defaulting State's State Licensing Authority or
- 17 Authorities, as applicable, and each of the Participating States' State
- 18 <u>Licensing Authority or Authorities, as applicable.</u>
- 19 E. A State that has been terminated is responsible for all
- 20 assessments, obligations, and liabilities incurred through the effective
- 21 date of termination, including obligations that extend beyond the
- 22 effective date of termination.
- 23 <u>F. Upon the termination of a State's participation in this Compact,</u>
- 24 that State shall immediately provide notice to all Licensees of the
- 25 State, including Licensees of other Participating States issued a Compact
- 26 <u>Privilege to practice within that State, of such termination. The</u>
- 27 <u>terminated State shall continue to recognize all Compact Privileges then</u>
- 28 <u>in effect in that State for a minimum of one hundred eighty days after</u>
- 29 <u>the date of the notice of termination.</u>
- 30 G. The Commission shall not bear any costs related to a State that
- 31 is found to be in default or that has been terminated from the Compact,

1 unless agreed upon in writing between the Commission and the defaulting

- 2 State.
- 3 H. The defaulting State may appeal the action of the Commission by
- 4 petitioning the United States District Court for the District of Columbia
- 5 or the federal district where the Commission has its principal offices.
- 6 The prevailing party shall be awarded all costs of such litigation,
- 7 including reasonable attorney's fees.
- 8 I. Dispute Resolution
- 9 1. Upon request by a Participating State, the Commission shall
- 10 attempt to resolve disputes related to the Compact that arise among
- 11 Participating States and between Participating States and non-
- 12 <u>Participating States.</u>
- 13 <u>2. The Commission shall promulgate a Rule providing for both</u>
- 14 <u>mediation and binding dispute resolution for disputes as appropriate.</u>
- 15 J. Enforcement
- 16 <u>1. The Commission, in the reasonable exercise of its discretion,</u>
- 17 shall enforce the provisions of this Compact and the Commission's Rules.
- 18 <u>2. By majority vote, the Commission may initiate legal action</u>
- 19 against a Participating State in default in the United States District
- 20 Court for the District of Columbia or the federal district where the
- 21 Commission has its principal offices to enforce compliance with the
- 22 provisions of the Compact and its promulgated Rules. The relief sought
- 23 may include both injunctive relief and damages. In the event judicial
- 24 enforcement is necessary, the prevailing party shall be awarded all costs
- 25 of such litigation, including reasonable attorney's fees. The remedies
- 26 herein shall not be the exclusive remedies of the Commission. The
- 27 <u>Commission may pursue any other remedies available under federal or the</u>
- 28 defaulting Participating State's law.
- 29 <u>3. A Participating State may initiate legal action against the</u>
- 30 Commission in the United States District Court for the District of
- 31 Columbia or the federal district where the Commission has its principal

1 offices to enforce compliance with the provisions of the Compact and its

- 2 promulgated Rules. The relief sought may include both injunctive relief
- 3 and damages. In the event judicial enforcement is necessary, the
- 4 prevailing party shall be awarded all costs of such litigation, including
- 5 reasonable attorney's fees.
- 6 4. No individual or entity other than a Participating State may
- 7 enforce this Compact against the Commission.
- 8 SECTION 11. EFFECTIVE DATE, WITHDRAWAL, AND AMENDMENT
- 9 A. The Compact shall come into effect on the date on which the
- 10 Compact statute is enacted into law in the seventh Participating State.
- 11 <u>1. On or after the effective date of the Compact, the Commission</u>
- 12 shall convene and review the enactment of each of the States that enacted
- 13 the Compact prior to the Commission convening ("Charter Participating
- 14 States") to determine if the statute enacted by each such Charter
- 15 Participating State is materially different than the Model Compact.
- 16 a. A Charter Participating State whose enactment is found to be
- 17 materially different from the Model Compact shall be entitled to the
- 18 default process set forth in Section 10.
- b. If any Participating State is later found to be in default, or is
- 20 terminated or withdraws from the Compact, the Commission shall remain in
- 21 existence and the Compact shall remain in effect even if the number of
- 22 Participating States should be less than seven.
- 23 <u>2. Participating States enacting the Compact subsequent to the</u>
- 24 Charter Participating States shall be subject to the process set forth in
- 25 Section 7.C.23 to determine if their enactments are materially different
- 26 from the Model Compact and whether they qualify for participation in the
- 27 <u>Compact.</u>
- 28 3. All actions taken for the benefit of the Commission or in
- 29 furtherance of the purposes of the administration of the Compact prior to
- 30 the effective date of the Compact or the Commission coming into existence
- 31 shall be considered to be actions of the Commission unless specifically

- 1 repudiated by the Commission.
- 2 4. Any State that joins the Compact subsequent to the Commission's
- 3 initial adoption of the Rules and bylaws shall be subject to the
- 4 Commission's Rules and bylaws as they exist on the date on which the
- 5 Compact becomes law in that State. Any Rule that has been previously
- 6 adopted by the Commission shall have the full force and effect of law on
- 7 the day the Compact becomes law in that State.
- 8 <u>B. Any Participating State may withdraw from this Compact by</u>
- 9 enacting a statute repealing that State's enactment of the Compact.
- 1. A Participating State's withdrawal shall not take effect until
- 11 one hundred eighty days after enactment of the repealing statute.
- 12 <u>2. Withdrawal shall not affect the continuing requirement of the</u>
- 13 <u>withdrawing State's Licensing Authority or Authorities to comply with the</u>
- 14 <u>investigative and Adverse Action reporting requirements of this Compact</u>
- 15 prior to the effective date of withdrawal.
- 16 <u>3. Upon the enactment of a statute withdrawing from this Compact,</u>
- 17 the State shall immediately provide notice of such withdrawal to all
- 18 <u>Licensees within that State. Notwithstanding any subsequent statutory</u>
- 19 <u>enactment to the contrary, such withdrawing State shall continue to</u>
- 20 recognize all Compact Privileges to practice within that State granted
- 21 pursuant to this Compact for a minimum of one hundred eighty days after
- 22 the date of such notice of withdrawal.
- 23 <u>C. Nothing contained in this Compact shall be construed to</u>
- 24 invalidate or prevent any licensure agreement or other cooperative
- 25 arrangement between a Participating State and a non-Participating State
- 26 that does not conflict with the provisions of this Compact.
- 27 <u>D. This Compact may be amended by the Participating States. No</u>
- 28 amendment to this Compact shall become effective and binding upon any
- 29 Participating State until it is enacted into the laws of all
- 30 <u>Participating States.</u>
- 31 SECTION 12. CONSTRUCTION AND SEVERABILITY

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- A. This Compact and the Commission's rulemaking authority shall be
- 2 <u>liberally construed so as to effectuate the purposes, and the</u>
- 3 implementation and administration of the Compact. Provisions of the
- 4 Compact expressly authorizing or requiring the promulgation of Rules
- 5 shall not be construed to limit the Commission's rulemaking authority
- 6 solely for those purposes.
- 7 <u>B. The provisions of this Compact shall be severable, and if any</u>
- 8 phrase, clause, sentence, or provision of this Compact is held by a court
- 9 of competent jurisdiction to be contrary to the constitution of any
- 10 Participating State, of a State seeking participation in the Compact, or
- 11 of the United States, or the applicability thereof to any government,
- 12 <u>agency, person, or circumstance is held to be unconstitutional by a court</u>
- 13 of competent jurisdiction, the validity of the remainder of this Compact
- 14 and the applicability thereof to any other government, agency, person, or
- 15 <u>circumstance shall not be affected thereby.</u>
- 16 C. Notwithstanding subsection B of this section, the Commission may
- 17 deny a State's participation in the Compact or, in accordance with the
- 18 requirements of Section 10.B, terminate a Participating State's
- 19 participation in the Compact, if it determines that a constitutional
- 20 requirement of a Participating State is a material departure from the
- 21 Compact. Otherwise, if this Compact shall be held to be contrary to the
- 22 constitution of any Participating State, the Compact shall remain in full
- 23 force and effect as to the remaining Participating States and in full
- 24 force and effect as to the Participating State affected as to all
- 25 severable matters.
- 26 <u>SECTION 13. CONSISTENT EFFECT AND CONFLICT WITH OTHER STATE LAWS</u>
- 27 <u>A. Nothing herein shall prevent or inhibit the enforcement of any</u>
- 28 other law of a Participating State that is not inconsistent with the
- 29 <u>Compact.</u>
- 30 B. Any laws, statutes, regulations, or other legal requirements in a
- 31 Participating State in conflict with the Compact are superseded to the

- 1 extent of the conflict.
- 2 <u>C. All permissible agreements between the Commission and the</u>
- 3 Participating States are binding in accordance with their terms.
- 4 Sec. 2. Section 38-131, Revised Statutes Cumulative Supplement,
- 5 2024, is amended to read:
- 6 38-131 (1) An applicant for an initial license to practice as a
- 7 registered nurse, a licensed practical nurse, a physical therapist, a
- 8 physical therapy assistant, a psychologist, an advanced emergency medical
- 9 technician, an emergency medical technician, an audiologist, a speech-
- 10 language pathologist, a licensed independent mental health practitioner,
- 11 an occupational therapist, an occupational therapy assistant, a
- 12 dietitian, a certified social worker, a certified master social worker, a
- 13 licensed clinical social worker, a paramedic, a physician, an osteopathic
- 14 physician, a physician or osteopathic physician who is an applicant for a
- 15 temporary educational permit, a physician or osteopathic physician who is
- 16 an applicant for a temporary visiting faculty permit, a physician
- 17 assistant, a dentist, <u>a dental hygienist,</u> an optometrist, a podiatrist, a
- 18 veterinarian, an advanced practice registered nurse-nurse practitioner,
- 19 an advanced practice registered nurse-certified nurse midwife, or an
- 20 advanced practice registered nurse-certified registered nurse anesthetist
- 21 shall be subject to a criminal background check. Except as provided in
- 22 subsection (4) of this section, such an applicant for an initial license
- 23 shall submit a full set of fingerprints to the Nebraska State Patrol for
- 24 a criminal history record information check. The applicant shall
- 25 authorize release of the results of the national criminal history record
- 26 information check by the Federal Bureau of Investigation to the
- 27 department. The applicant shall pay the actual cost of the fingerprinting
- 28 and criminal background check.
- 29 (2) The Nebraska State Patrol is authorized to submit the
- 30 fingerprints of such applicants to the Federal Bureau of Investigation
- 31 and to issue a report to the department that includes the criminal

- 1 history record information concerning the applicant. The Nebraska State
- 2 Patrol shall forward submitted fingerprints to the Federal Bureau of
- 3 Investigation for a national criminal history record information check.
- 4 The Nebraska State Patrol shall issue a report to the department that
- 5 includes the criminal history record information concerning the
- 6 applicant.
- 7 (3) This section shall not apply to a dentist who is an applicant
- 8 for a dental locum tenens under section 38-1122, to a physician or
- 9 osteopathic physician who is an applicant for a physician locum tenens
- 10 under section 38-2036, or to a veterinarian who is an applicant for a
- 11 veterinarian locum tenens under section 38-3335.
- 12 (4) A physician or osteopathic physician who is an applicant for a
- 13 temporary educational permit shall have ninety days from the issuance of
- 14 the permit to comply with subsection (1) of this section and shall have
- 15 such permit suspended after such ninety-day period if the criminal
- 16 background check is not complete or revoked if the criminal background
- 17 check reveals that the applicant was not qualified for the permit.
- 18 (5) The department and the Nebraska State Patrol may adopt and
- 19 promulgate rules and regulations concerning costs associated with the
- 20 fingerprinting and the national criminal history record information
- 21 check.
- 22 (6) For purposes of interpretation by the Federal Bureau of
- 23 Investigation, the term department in this section means the Division of
- 24 Public Health of the Department of Health and Human Services.
- 25 Sec. 3. Section 38-1101, Revised Statutes Cumulative Supplement,
- 26 2024, is amended to read:
- 27 38-1101 Sections 38-1101 to 38-1152 <u>and section 4 of this act</u>shall
- 28 be known and may be cited as the Dentistry Practice Act.
- 29 **Sec. 4.** A person holding a compact privilege to practice in
- 30 Nebraska under the Dentist and Dental Hygienist Compact may engage in the
- 31 practice of dentistry or dental hygiene as authorized pursuant to such

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- 1 <u>compact.</u>
- Sec. 5. Section 38-1117, Reissue Revised Statutes of Nebraska, is
- 3 amended to read:
- 4 38-1117 (1) Every applicant for a license to practice dentistry
- 5 shall (a) present proof of graduation with a Doctor of Dental Surgery
- 6 degree or a Doctor of Dental Medicine degree from an accredited school or
- 7 college of dentistry, (b) pass an examination approved by the Board of
- 8 Dentistry which shall consist of the <u>Integrated</u> National Board Dental
- 9 Examination or Examinations, both Part I and Part II of the National
- 10 Board Dental Examination, as constructed and administered by the American
- 11 Dental Association Joint Commission on National Dental Examinations, (c)
- 12 demonstrate the applicant's <u>psychomotor</u> skill in clinical dentistry by
- 13 passing a standardized national examination approved by the practical
- 14 examination administered by the Central Regional Dental Testing Service
- 15 or any other regional or state practical examination that the board Board
- 16 of Dentistry determines to be comparable to such practical examination,
- 17 (d) pass a jurisprudence examination approved by the board that is based
- 18 on the Nebraska statutes, rules, and regulations governing the practice
- 19 of dentistry and dental hygiene, and (e) demonstrate continuing clinical
- 20 competency as a condition of licensure if required by the board.
- 21 (2)(a) An examination approved by the board under subdivision (1)(c)
- 22 of this section shall be a simulation-based or manikin-based clinical
- 23 competency psychomotor examination that demonstrates evidence of
- 24 psychometric soundness and shall include (i) a simulation-based or
- 25 manikin-based periodontal component, (ii) a simulation-based or manikin-
- 26 based restorative component, (iii) a simulation-based or manikin-based
- 27 prosthodontic component, (iv) a simulation-based or manikin-based
- 28 <u>endodontic component, and (v) a remediation policy to address candidate</u>
- 29 <u>deficiencies</u>.
- 30 (b) The board may review examinations annually and shall review
- 31 examinations at least every three years.

1 (3) (2) Upon completion of these requirements, the department, with

- 2 the recommendation of the board, shall issue the applicant a license to
- 3 practice dentistry.
- 4 Sec. 6. Section 38-1120, Reissue Revised Statutes of Nebraska, is
- 5 amended to read:
- 6 38-1120 Every applicant for a license to practice dentistry based on
- 7 a license in another state or territory of the United States or the
- 8 District of Columbia shall meet the standards set by the board pursuant
- 9 to section 38-126 and shall have been actively engaged in the practice of
- 10 dentistry for at least one year three years, one of which must be within
- 11 the three years immediately preceding the application, under a license in
- 12 another state or territory of the United States or the District of
- 13 Columbia. Practice in an accredited school or college of dentistry for
- 14 the purpose of completing a postgraduate or residency program in
- 15 dentistry also serves as active practice toward meeting this requirement.
- **Sec. 7.** Sections 1, 2, 3, 4, and 9 of this act become operative on
- 17 January 1, 2026. The other sections of this act become operative on their
- 18 effective date.
- 19 Sec. 8. Original sections 38-1117 and 38-1120, Reissue Revised
- 20 Statutes of Nebraska, are repealed.
- 21 Sec. 9. Original sections 38-131 and 38-1101, Revised Statutes
- 22 Cumulative Supplement, 2024, are repealed.