AMENDMENTS TO LB148

Introduced by Rountree, 3.

1 1. Insert the following new sections: This section shall be known and may be cited as the 2 Section 1. Dentist and Dental Hygienist Compact. The State of Nebraska adopts the 3 Dentist and Dental Hygienist Compact in the form substantially as 4 5 follows: 6 SECTION 1. TITLE AND PURPOSE 7 The purposes of this Compact are to facilitate the interstate practice of dentistry and dental hygiene and improve public access to 8 9 dentistry and dental hygiene services by providing Dentists and Dental Hygienists licensed in a Participating State the ability to practice in 10 Participating States in which they are not licensed. The Compact does 11 this by establishing a pathway for Dentists and Dental Hygienists 12 licensed in a Participating State to obtain a Compact Privilege that 13 authorizes them to practice in another Participating State in which they 14 are not licensed. The Compact enables Participating States to protect the 15 public health and safety with respect to the practice of such Dentists 16 and Dental Hygienists, through the State's authority to regulate the 17 practice of dentistry and dental hygiene in the State. The Compact: 18

A. Enables Dentists and Dental Hygienists who qualify for a Compact
 Privilege to practice in other Participating States without satisfying
 burdensome and duplicative requirements associated with securing a
 License to practice in those States;

B. Promotes mobility and addresses workforce shortages through each
 Participating State's acceptance of a Compact Privilege to practice in
 that State;

26 <u>C. Increases public access to qualified, licensed Dentists and</u> 27 Dental Hygienists by creating a responsible, streamlined pathway for

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1 Licensees to practice in Participating States; 2 D. Enhances the ability of Participating States to protect the 3 public's health and safety; 4 E. Does not interfere with licensure requirements established by a 5 Participating State; 6 F. Facilitates the sharing of licensure and disciplinary information 7 among Participating States; 8 G. Requires Dentists and Dental Hygienists who practice in a 9 Participating State pursuant to a Compact Privilege to practice within 10 the Scope of Practice authorized in that State; H. Extends the authority of a Participating State to regulate the 11 practice of dentistry and dental hygiene within its borders to Dentists 12 and Dental Hygienists who practice in the State through a Compact 13 14 Privilege; 15 I. Promotes the cooperation of Participating States in regulating 16 the practice of dentistry and dental hygiene within those States; and 17 J. Facilitates the relocation of military members and their spouses who are licensed to practice dentistry or dental hygiene. 18 19 SECTION 2. DEFINITIONS 20 As used in this Compact, unless the context requires otherwise, the 21 following definitions shall apply: 22 A. "Active Military Member" means any person with full-time duty 23 status in the armed forces of the United States, including members of the 24 National Guard and Reserve. 25 B. "Adverse Action" means disciplinary action or encumbrance imposed 26 on a License or Compact Privilege by a State Licensing Authority. 27 C. "Alternative Program" means a nondisciplinary monitoring or 28 practice remediation process applicable to a Dentist or Dental Hygienist 29 approved by a State Licensing Authority of a Participating State in which 30 the Dentist or Dental Hygienist is licensed. This includes, but is not 31 limited to, programs to which Licensees with substance abuse or addiction

1 issues are referred in lieu of Adverse Action. D. "Clinical Assessment" means examination or process, required for 2 3 licensure as a Dentist or Dental Hygienist as applicable, that provides 4 evidence of clinical competence in dentistry or dental hygiene. 5 E. "Commissioner" means the individual appointed by a Participating State to serve as the member of the Commission for that Participating 6 7 State. 8 F. "Compact" means this Dentist and Dental Hygienist Compact. G. "Compact Privilege" means the authorization granted by a Remote 9 10 State to allow a Licensee from a Participating State to practice as a 11 Dentist or Dental Hygienist in a Remote State. H. "Continuing Professional Development" means a requirement, as a 12 condition of License renewal, to provide evidence of successful 13 14 participation in educational or professional activities relevant to 15 practice or area of work. I. "Criminal Background Check" means the submission of fingerprints 16 17 or other biometric-based information for a License applicant for the purpose of obtaining that applicant's criminal history record 18 19 information, as defined in 28 C.F.R. 20.3(d) from the Federal Bureau of 20 Investigation and the State's criminal history record repository as 21 defined in 28 C.F.R. 20.3(f). 22 J. "Data System" means the Commission's repository of information 23 about Licensees, including, but not limited to, examination, licensure, investigative, Compact Privilege, Adverse Action, and Alternative 24 25 Program. 26 K. "Dental Hygienist" means an individual who is licensed by a State 27 Licensing Authority to practice dental hygiene. L. "Dentist" means an individual who is licensed by a State 28 29 Licensing Authority to practice dentistry. 30 M. "Dentist and Dental Hygienist Compact Commission" or "Commission" 31 means a joint government agency established by this Compact comprised of

1 each State that has enacted the Compact and a national administrative 2 body comprised of a Commissioner from each State that has enacted the 3 Compact. N. "Encumbered License" means a License that a State Licensing 4 5 Authority has limited in any way other than through an Alternative 6 Program. 7 O. "Executive Board" means the Chair, Vice Chair, Secretary, and 8 Treasurer and any other Commissioners as may be determined by Commission 9 Rule or bylaw. P. "Jurisprudence Requirement" means the assessment of an 10 individual's knowledge of the laws and Rules governing the practice of 11 dentistry or dental hygiene, as applicable, in a State. 12 13 Q. "License" means current authorization by a State, other than 14 authorization pursuant to a Compact Privilege, or other privilege, for an 15 individual to practice as a Dentist or Dental Hygienist in that State. 16 R. "Licensee" means an individual who holds an unrestricted License 17 from a Participating State to practice as a Dentist or Dental Hygienist 18 in that State. 19 S. "Model Compact" means the model for the Dentist and Dental 20 Hygienist Compact on file with the Council of State Governments or other 21 entity as designated by the Commission. 22 T. "Participating State" means a State that has enacted the Compact 23 and been admitted to the Commission in accordance with the provisions 24 herein and Commission Rules. 25 U. "Qualifying License" means a License that is not an Encumbered 26 License issued by a Participating State to practice dentistry or dental 27 hygiene. 28 V. "Remote State" means a Participating State where a Licensee who 29 is not licensed as a Dentist or Dental Hygienist is exercising or seeking to exercise the Compact Privilege. 30 31 W. "Rule" means a regulation promulgated by an entity that has the

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1 force of law.

2 X. "Scope of Practice" means the procedures, actions, and processes 3 a Dentist or Dental Hygienist licensed in a State is permitted to 4 undertake in that State and the circumstances under which the Licensee is 5 permitted to undertake those procedures, actions, and processes. Such procedures, actions, and processes and the circumstances under which they 6 7 may be undertaken may be established through means, including, but not 8 limited to, statute, regulations, case law, and other processes available 9 to the State Licensing Authority or other government agency. Y. "Significant Investigative Information" means information, 10

records, and documents received or generated by a State Licensing 11 Authority pursuant to an investigation for which a determination has been 12 13 made that there is probable cause to believe that the Licensee has 14 violated a statute or regulation that is considered more than a minor 15 infraction for which the State Licensing Authority could pursue Adverse 16 Action against the Licensee.

17 Z. "State" means any state, commonwealth, district, or territory of the United States of America that regulates the practices of dentistry 18 19 and dental hygiene.

20 AA. "State Licensing Authority" means an agency or other entity of a 21 State that is responsible for the licensing and regulation of Dentists or 22 Dental Hygienists.

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SECTION 3. STATE PARTICIPATION IN THE COMPACT

A. In order to join the Compact and thereafter continue as a 24 25 Participating State, a State must:

26 1. Enact a compact that is not materially different from the Model 27 <u>Compact as determined in accordance with Commission Rules;</u>

28 2. Participate fully in the Commission's Data System;

29 3. Have a mechanism in place for receiving and investigating 30 complaints about its Licensees and License applicants;

31 4. Notify the Commission, in compliance with the terms of the

1	Compact and Commission Rules, of any Adverse Action or the availability
2	of Significant Investigative Information regarding a Licensee and License
3	applicant;
4	<u>5. Fully implement a Criminal Background Check requirement, within a</u>
5	timeframe established by Commission Rule, by receiving the results of a
6	qualifying Criminal Background Check;
7	6. Comply with the Commission Rules applicable to a Participating
8	<u>State;</u>
9	7. Accept the National Board Examinations of the Joint Commission on
10	National Dental Examinations or another examination accepted by
11	Commission Rule as a licensure examination;
12	<u>8. Accept for licensure applicants for a Dentist License that</u>
13	graduate from a predoctoral dental education program accredited by the
14	Commission on Dental Accreditation, or another accrediting agency
15	recognized by the United States Department of Education for the
16	accreditation of dentistry and dental hygiene education programs, leading
17	to the Doctor of Dental Surgery (D.D.S.) or Doctor of Dental Medicine
18	(D.M.D.) degree;
19	9. Accept for licensure applicants for a Dental Hygienist License
20	that graduate from a dental hygiene education program accredited by the
21	Commission on Dental Accreditation or another accrediting agency
22	recognized by the United States Department of Education for the
23	accreditation of dentistry and dental hygiene education programs;
24	<u>10. Require for licensure that applicants successfully complete a</u>
25	<u>Clinical Assessment;</u>
26	<u>11. Have Continuing Professional Development requirements as a</u>
27	condition for License renewal; and
28	12. Pay a participation fee to the Commission as established by
29	Commission Rule.
30	<u>B. Providing alternative pathways for an individual to obtain an</u>
31	unrestricted License does not disqualify a State from participating in

1	the Compact.
2	<u>C. When conducting a Criminal Background Check, the State Licensing</u>
3	Authority shall:
4	1. Consider that information in making a licensure decision;
5	2. Maintain documentation of completion of the Criminal Background
6	Check and background check information to the extent allowed by State and
7	federal law; and
8	3. Report to the Commission whether it has completed the Criminal
9	<u>Background Check and whether the individual was granted or denied a</u>
10	License.
11	D. A Licensee of a Participating State who has a Qualifying License
12	in that State and does not hold an Encumbered License in any other
13	Participating State shall be issued a Compact Privilege in a Remote State
14	in accordance with the terms of the Compact and Commission Rules. If a
15	<u>Remote State has a Jurisprudence Requirement, a Compact Privilege will</u>
16	not be issued to the Licensee unless the Licensee has satisfied the
17	Jurisprudence Requirement.
18	SECTION 4. COMPACT PRIVILEGE
19	A. To obtain and exercise the Compact Privilege under the terms and
20	provisions of the Compact, the Licensee shall:
21	<u>1. Have a Qualifying License as a Dentist or Dental Hygienist in a</u>
22	<u>Participating State;</u>
23	<u>2. Be eligible for a Compact Privilege in any Remote State in</u>
24	accordance with subsections D, G, and H of this section;
25	3. Submit to an application process whenever the Licensee is seeking
26	<u>a Compact Privilege;</u>
27	4. Pay any applicable Commission and Remote State fees for a Compact
28	<u>Privilege in the Remote State;</u>
29	5. Meet any Jurisprudence Requirement established by a Remote State
30	in which the Licensee is seeking a Compact Privilege;
31	<u>6. Have passed a National Board Examination of the Joint Commission</u>

1 <u>on National Dental Examinations or another examination accepted by</u>
2 <u>Commission Rule;</u>

7. For a Dentist, have graduated from a predoctoral dental education
 program accredited by the Commission on Dental Accreditation, or another
 accrediting agency recognized by the United States Department of
 Education for the accreditation of dentistry and dental hygiene education
 programs, leading to the Doctor of Dental Surgery (D.D.S.) or Doctor of
 Dental Medicine (D.M.D.) degree;

9 <u>8. For a Dental Hygienist, have graduated from a dental hygiene</u>
 education program accredited by the Commission on Dental Accreditation or
 another accrediting agency recognized by the United States Department of
 Education for the accreditation of dentistry and dental hygiene education
 programs;

14 <u>9. Have successfully completed a Clinical Assessment for licensure;</u>

15 <u>10. Report to the Commission Adverse Action taken by any non-</u>
 Participating State when applying for a Compact Privilege and, otherwise,
 within thirty days from the date the Adverse Action is taken;

18 <u>11. Report to the Commission when applying for a Compact Privilege</u> 19 <u>the address of the Licensee's primary residence and thereafter</u> 20 <u>immediately report to the Commission any change in the address of the</u> 21 <u>Licensee's primary residence; and</u>

12. Consent to accept service of process by mail at the Licensee's primary residence on record with the Commission with respect to any action brought against the Licensee by the Commission or a Participating State, and consent to accept service of a subpoena by mail at the Licensee's primary residence on record with the Commission with respect to any action brought or investigation conducted by the Commission or a Participating State.

B. The Licensee must comply with the requirements of subsection A of
 this section to maintain the Compact Privilege in the Remote State. If
 those requirements are met, the Compact Privilege will continue as long

as the Licensee maintains a Qualifying License in the State through which
 the Licensee applied for the Compact Privilege and pays any applicable
 Compact Privilege renewal fees.

<u>C. A Licensee providing dentistry or dental hygiene in a Remote</u>
<u>State under the Compact Privilege shall function within the Scope of</u>
<u>Practice authorized by the Remote State for a Dentist or Dental Hygienist</u>
licensed in that State.

8 D. A Licensee providing dentistry or dental hygiene pursuant to a 9 Compact Privilege in a Remote State is subject to that State's regulatory authority. A Remote State may, in accordance with due process and that 10 11 State's laws, by Adverse Action revoke or remove a Licensee's Compact Privilege in the Remote State for a specific period of time and impose 12 13 fines or take any other necessary actions to protect the health and 14 safety of its citizens. If a Remote State imposes an Adverse Action 15 against a Compact Privilege that limits the Compact Privilege, that 16 Adverse Action applies to all Compact Privileges in all Remote States. A 17 Licensee whose Compact Privilege in a Remote State is removed for a specified period of time is not eligible for a Compact Privilege in any 18 19 other Remote State until the specific time for removal of the Compact 20 Privilege has passed and all encumbrance requirements are satisfied.

E. If a License in a Participating State is an Encumbered License, the Licensee shall lose the Compact Privilege in a Remote State and shall not be eligible for a Compact Privilege in any Remote State until the License is no longer encumbered.

F. Once an Encumbered License in a Participating State is restored
 to good standing, the Licensee must meet the requirements of subsection A
 of this section to obtain a Compact Privilege in a Remote State.

<u>G. If a Licensee's Compact Privilege in a Remote State is removed by</u>
 <u>the Remote State, the individual shall lose or be ineligible for the</u>
 <u>Compact Privilege in any Remote State until the following occur:</u>

31 <u>1. The specific period of time for which the Compact Privilege was</u>

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1 removed has ended; and 2 2. All conditions for removal of the Compact Privilege have been 3 satisfied. H. Once the requirements of subsection G of this section have been 4 5 met, the Licensee must meet the requirements in subsection A of this 6 section to obtain a Compact Privilege in a Remote State. 7 SECTION 5. ACTIVE MILITARY MEMBERS AND THEIR SPOUSES 8 An Active Military Member and the member's spouse shall not be 9 required to pay to the Commission for a Compact Privilege the fee otherwise charged by the Commission. If a Remote State chooses to charge 10 11 a fee for a Compact Privilege, it may choose to charge a reduced fee or no fee to an Active Military Member and the member's spouse for a Compact 12 13 Privilege. 14 SECTION 6. ADVERSE ACTIONS A. A Participating State in which a Licensee is licensed shall have 15 exclusive authority to impose Adverse Action against the Qualifying 16 17 License issued by that Participating State. B. A Participating State may take Adverse Action based on the 18 Significant Investigative Information of a Remote State, so long as the 19 20 Participating State follows its own procedures for imposing Adverse 21 Action. 22 C. Nothing in this Compact shall override a Participating State's 23 decision that participation in an Alternative Program may be used in lieu 24 of Adverse Action and that such participation shall remain nonpublic if 25 required by the Participating State's laws. Participating States must 26 require Licensees who enter any Alternative Program in lieu of discipline 27 to agree not to practice pursuant to a Compact Privilege in any other 28 Participating State during the term of the Alternative Program without 29 prior authorization from such other Participating State. 30 D. Any Participating State in which a Licensee is applying to

actual or alleged violations of the statutes and regulations authorizing 1 2 the practice of dentistry or dental hygiene in any other Participating 3 State in which the Dentist or Dental Hygienist holds a License or Compact 4 Privilege. 5 E. A Remote State shall have the authority to: 6 1. Take Adverse Actions as set forth in Section 4.D against a 7 Licensee's Compact Privilege in the State; 8 2. In furtherance of its rights and responsibilities under the 9 Compact and the Commission's Rules, issue subpoenas for hearings and 10 investigations that require the attendance and testimony of witnesses and 11 for the production of evidence. Subpoenas issued by a State Licensing Authority in a Participating State for the attendance and testimony of 12 13 witnesses, or the production of evidence from another Participating 14 State, shall be enforced in the latter State by any court of competent 15 jurisdiction, according to the practice and procedure of that court applicable to subpoenas issued in proceedings pending before it. The 16 17 issuing authority shall pay any witness fees, travel expenses, mileage,

18 and other fees required by the service statutes of the State where the 19 witnesses or evidence are located; and

<u>3. If otherwise permitted by State law, recover from the Licensee</u>
 <u>the costs of investigations and disposition of cases resulting from any</u>
 <u>Adverse Action taken against that Licensee.</u>

23 <u>F. Joint Investigations</u>

In addition to the authority granted to a Participating State by
 its Dentist or Dental Hygienist licensure act or other applicable State
 law, a Participating State may jointly investigate Licensees with other
 Participating States.

2. Participating States shall share any Significant Investigative
 Information, litigation, or compliance materials in furtherance of any
 joint or individual investigation initiated under the Compact.

31 <u>G. Authority to Continue Investigation</u>

1	<u>1. After a Licensee's Compact Privilege in a Remote State is</u>
2	terminated, the Remote State may continue an investigation of the
3	Licensee that began when the Licensee had a Compact Privilege in that
4	<u>Remote State.</u>
5	2. If the investigation yields what would be Significant
6	Investigative Information had the Licensee continued to have a Compact
7	Privilege in that Remote State, the Remote State shall report the
8	presence of such information to the Data System as required by Section
9	8.B.6 as if it was Significant Investigative Information.
10	SECTION 7. ESTABLISHMENT AND OPERATION OF THE COMMISSION.
11	<u>A. The Compact Participating States hereby create and establish a</u>
12	joint government agency whose membership consists of all Participating
13	States that have enacted the Compact. The Commission is an
14	instrumentality of the Participating States acting jointly and not an
15	instrumentality of any one State. The Commission shall come into
16	existence on or after the effective date of the Compact as set forth in
17	Section 11.A.
18	B. Participation, Voting, and Meetings
19	<u>1. Each Participating State shall have and be limited to one</u>
20	Commissioner selected by that Participating State's State Licensing
21	Authority or, if the State has more than one State Licensing Authority,
22	selected collectively by the State Licensing Authorities.
23	2. The Commissioner shall be a member or designee of such Authority
24	<u>or Authorities.</u>
25	<u>3. The Commission may by Rule or bylaw establish a term of office</u>
26	for Commissioners and may by Rule or bylaw establish term limits.
27	4. The Commission may recommend to a State Licensing Authority or
28	Authorities, as applicable, removal or suspension of an individual as the
29	<u>State's Commissioner.</u>
30	5. A Participating State's State Licensing Authority, or
31	Authorities, as applicable, shall fill any vacancy of its Commissioner on

1	the Commission within sixty days of the vacancy.
2	<u>6. Each Commissioner shall be entitled to one vote on all matters</u>
3	that are voted upon by the Commission.
4	7. The Commission shall meet at least once during each calendar
5	year. Additional meetings may be held as set forth in the bylaws. The
6	Commission may meet by telecommunication, videoconference, or other
7	<u>similar electronic means.</u>
8	<u>C. The Commission shall have the following powers:</u>
9	1. Establish the fiscal year of the Commission;
10	2. Establish a code of conduct and conflict of interest policies;
11	3. Adopt Rules and bylaws;
12	4. Maintain its financial records in accordance with the bylaws;
13	5. Meet and take such actions as are consistent with the provisions
14	of this Compact, the Commission's Rules, and the bylaws;
15	<u>6. Initiate and conclude legal proceedings or actions in the name of</u>
16	the Commission, provided that the standing of any State Licensing
17	Authority to sue or be sued under applicable law shall not be affected;
18	<u>7. Maintain and certify records and information provided to a</u>
19	Participating State as the authenticated business records of the
20	Commission, and designate a person to do so on the Commission's behalf;
21	8. Purchase and maintain insurance and bonds;
22	<u>9. Borrow, accept, or contract for services of personnel, including,</u>
23	but not limited to, employees of a Participating State;
24	<u>10. Conduct an annual financial review;</u>
25	<u>11. Hire employees, elect or appoint officers, fix compensation,</u>
26	define duties, grant such individuals appropriate authority to carry out
27	the purposes of the Compact, and establish the Commission's personnel
28	policies and programs relating to conflicts of interest, qualifications
29	of personnel, and other related personnel matters;
30	<u>12. As set forth in the Commission Rules, charge a fee to a Licensee</u>
31	for the grant of a Compact Privilege in a Remote State and thereafter, as

1	<u>may be established by Commission Rule, charge the Licensee a Compact</u>
2	<u>Privilege renewal fee for each renewal period in which that Licensee</u>
3	exercises or intends to exercise the Compact Privilege in that Remote
4	State. Nothing herein shall be construed to prevent a Remote State from
5	<u>charging a Licensee a fee for a Compact Privilege or renewals of a</u>
6	<u>Compact Privilege, or a fee for the Jurisprudence Requirement if the</u>
7	<u>Remote State imposes such a requirement for the grant of a Compact</u>
8	<u>Privilege;</u>
9	<u>13. Accept any and all appropriate gifts, donations, grants of</u>
10	money, other sources of revenue, equipment, supplies, materials, and
11	services, and receive, utilize, and dispose of the same; provided that at
12	all times the Commission shall avoid any appearance of impropriety and/or
13	<u>conflict of interest;</u>
14	<u>14. Lease, purchase, retain, own, hold, improve, or use any</u>
15	property, real, personal, or mixed, or any undivided interest therein;
16	<u>15. Sell, convey, mortgage, pledge, lease, exchange, abandon, or</u>
17	otherwise dispose of any property, real, personal, or mixed;
18	16. Establish a budget and make expenditures;
19	<u>17. Borrow money;</u>
20	18. Appoint committees, including standing committees, which may be
21	composed of members, State regulators, State legislators or their
22	representatives, and consumer representatives, and such other interested
23	persons as may be designated in this Compact and the bylaws;
24	<u>19. Provide and receive information from, and cooperate with, law</u>
25	<u>enforcement agencies;</u>
26	<u>20. Elect a Chair, Vice Chair, Secretary, and Treasurer and such</u>
27	other officers of the Commission as provided in the Commission's bylaws;
28	21. Establish and elect an Executive Board;
29	22. Adopt and provide to the Participating States an annual report;
30	23. Determine whether a State's enacted compact is materially
31	<u>different from the Model Compact language such that the State would not</u>

1 qualify for participation in the Compact; and 2 24. Perform such other functions as may be necessary or appropriate 3 to achieve the purposes of this Compact. 4 D. Meetings of the Commission 1. All meetings of the Commission that are not closed pursuant to 5 this subsection shall be open to the public. Notice of public meetings 6 7 shall be posted on the Commission's website at least thirty days prior to 8 the public meeting. 9 2. Notwithstanding subsection D.1 of this section, the Commission 10 may convene an emergency public meeting by providing at least twenty-four 11 hours prior notice on the Commission's website, and any other means as provided in the Commission's Rules, for any of the reasons it may 12 13 dispense with notice of proposed rulemaking under Section 9.L. The 14 Commission's legal counsel shall certify that one of the reasons 15 justifying an emergency public meeting has been met. 16 3. Notice of all Commission meetings shall provide the time, date, 17 and location of the meeting, and if the meeting is to be held or accessible via telecommunication, videoconference, or other electronic 18 19 means, the notice shall include the mechanism for access to the meeting 20 through such means. 21 4. The Commission may convene in a closed, nonpublic meeting for the 22 Commission to receive legal advice or to discuss: 23 a. Noncompliance of a Participating State with its obligations under 24 the Compact; b. The employment, compensation, discipline, or other matters, 25 26 practices, or procedures related to specific employees or other matters 27 related to the Commission's internal personnel practices and procedures; c. Current or threatened discipline of a Licensee or Compact 28 29 Privilege holder by the Commission or by a Participating State's 30 Licensing Authority; 31 d. Current, threatened, or reasonably anticipated litigation;

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1	<u>e. Negotiation of contracts for the purchase, lease, or sale of</u>
2	<u>goods, services, or real estate;</u>
3	f. Accusing any person of a crime or formally censuring any person;
4	<u>g. Trade secrets or commercial or financial information that is</u>
5	privileged or confidential;
6	<u>h. Information of a personal nature where disclosure would</u>
7	constitute a clearly unwarranted invasion of personal privacy;
8	i. Investigative records compiled for law enforcement purposes;
9	j. Information related to any investigative reports prepared by or
10	on behalf of or for use of the Commission or other committee charged with
11	responsibility of investigation or determination of compliance issues
12	pursuant to the Compact;
13	<u>k. Legal advice;</u>
14	<u>l. Matters specifically exempted from disclosure to the public by</u>
15	federal or Participating State law; and
16	m. Other matters as promulgated by the Commission by Rule.
17	5. If a meeting, or portion of a meeting, is closed, the presiding
18	officer shall state that the meeting will be closed and reference each
19	relevant exempting provision, and such reference shall be recorded in the
20	<u>minutes.</u>
21	<u>6. The Commission shall keep minutes that fully and clearly describe</u>
22	all matters discussed in a meeting and shall provide a full and accurate
23	summary of actions taken, and the reasons therefor, including a
24	description of the views expressed. All documents considered in
25	connection with an action shall be identified in such minutes. All
26	minutes and documents of a closed meeting shall remain under seal,
27	subject to release only by a majority vote of the Commission or order of
28	a court of competent jurisdiction.
29	E. Financing of the Commission
30	<u>1. The Commission shall pay, or provide for the payment of, the</u>
31	reasonable expenses of its establishment, organization, and ongoing

1 <u>activities.</u>

2. The Commission may accept any and all appropriate sources of
 3 revenue, donations, and grants of money, equipment, supplies, materials,
 4 and services.

5 3. The Commission may levy on and collect an annual assessment from each Participating State and impose fees on Licensees of Participating 6 7 States when a Compact Privilege is granted, to cover the cost of the 8 operations and activities of the Commission and its staff, which must be 9 in a total amount sufficient to cover its annual budget as approved each fiscal year for which sufficient revenue is not provided by other 10 sources. The aggregate annual assessment amount for Participating States 11 shall be allocated based upon a formula that the Commission shall 12 13 promulgate by Rule.

<u>4. The Commission shall not incur obligations of any kind prior to</u>
 <u>securing the funds adequate to meet the same; nor shall the Commission</u>
 <u>pledge the credit of any Participating State, except by and with the</u>
 <u>authority of the Participating State.</u>

5. The Commission shall keep accurate accounts of all receipts and 18 19 disbursements. The receipts and disbursements of the Commission shall be subject to the financial review and accounting procedures established 20 21 under its bylaws. All receipts and disbursements of funds handled by the 22 Commission shall be subject to an annual financial review by a certified 23 or licensed public accountant, and the report of the financial review 24 shall be included in and become part of the annual report of the 25 Commission.

26

F. The Executive Board

27 <u>1. The Executive Board shall have the power to act on behalf of the</u>
 28 <u>Commission according to the terms of this Compact. The powers, duties,</u>
 29 <u>and responsibilities of the Executive Board shall include:</u>

<u>a. Overseeing the day-to-day activities of the administration of the</u>
 Compact including compliance with the provisions of the Compact and the

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1	Commission's Rules and bylaws;
2	<u>b. Recommending to the Commission changes to the Rules or bylaws,</u>
3	changes to this Compact legislation, fees charged to Compact
4	Participating States, fees charged to Licensees, and other fees;
5	c. Ensuring Compact administration services are appropriately
6	provided, including by contract;
7	d. Preparing and recommending the budget;
8	e. Maintaining financial records on behalf of the Commission;
9	f. Monitoring Compact compliance of Participating States and
10	providing compliance reports to the Commission;
11	g. Establishing additional committees as necessary;
12	h. Exercising the powers and duties of the Commission during the
13	interim between Commission meetings, except for adopting or amending
14	Rules, adopting or amending bylaws, and exercising any other powers and
15	duties expressly reserved to the Commission by Rule or bylaw; and
16	<u>i. Other duties as provided in the Rules or bylaws of the</u>
17	Commission.
18	2. The Executive Board shall be composed of up to seven members:
19	a. The Chair, Vice Chair, Secretary, and Treasurer of the Commission
20	and any other members of the Commission who serve on the Executive Board
21	shall be voting members of the Executive Board; and
22	<u>b. Other than the Chair, Vice Chair, Secretary, and Treasurer, the</u>
23	Commission may elect up to three voting members from the current
24	membership of the Commission.
25	3. The Commission may remove any member of the Executive Board as
26	provided in the Commission's bylaws.
27	4. The Executive Board shall meet at least annually.
28	<u>a. An Executive Board meeting at which it takes or intends to take</u>
29	formal action on a matter shall be open to the public, except that the
30	Executive Board may meet in a closed, nonpublic session of a public
31	meeting when dealing with any of the matters covered under subsection D.4

1	of this section.
2	<u>b. The Executive Board shall give five business days' notice of its</u>
3	public meetings, posted on its website and as it may otherwise determine
4	to provide notice to persons with an interest in the public matters the
5	Executive Board intends to address at those meetings.
6	5. The Executive Board may hold an emergency meeting when acting for
7	the Commission to:
8	<u>a. Meet an imminent threat to public health, safety, or welfare;</u>
9	b. Prevent a loss of Commission or Participating State funds; or
10	c. Protect public health and safety.
11	G. Qualified Immunity, Defense, and Indemnification
12	<u>1. The members, officers, executive director, employees, and</u>
13	representatives of the Commission shall have no greater liability than a
14	state employee would have under the same or similar circumstances, either
15	personally or in their official capacity, for any claim for damage to or
16	loss of property or personal injury or other civil liability caused by or
17	<u>arising out of any actual or alleged act, error, or omission that</u>
18	<u>occurred, or that the person against whom the claim is made had a</u>
19	reasonable basis for believing occurred, within the scope of Commission
20	employment, duties, or responsibilities; provided that nothing in this
21	paragraph shall be construed to protect any such person from suit or
22	liability for any damage, loss, injury, or liability caused by the
23	<u>intentional or willful or wanton misconduct of that person. The</u>
24	procurement of insurance of any type by the Commission shall not in any
25	way compromise or limit the immunity granted hereunder.
26	2. The Commission shall defend any member, officer, executive
27	director, employee, or representative of the Commission in any civil
28	action seeking to impose liability arising out of any actual or alleged
29	act, error, or omission that occurred within the scope of Commission
30	employment, duties, or responsibilities, or that the person against whom
31	the claim is made had a reasonable basis for believing occurred within

the scope of Commission employment, duties, or responsibilities; provided that nothing herein shall be construed to prohibit that person from retaining their own counsel at their own expense; and provided further, that the actual or alleged act, error, or omission did not result from that person's intentional or willful or wanton misconduct.

6 3. The Commission shall indemnify and hold harmless any member, 7 officer, executive director, employee, or representative of the 8 Commission for the amount of any settlement or judgment obtained against 9 that person arising out of any actual or alleged act, error, or omission 10 that occurred within the scope of Commission employment, duties, or 11 responsibilities, or that such person had a reasonable basis for believing occurred within the scope of Commission employment, duties, or 12 13 responsibilities; provided that the actual or alleged act, error, or 14 omission did not result from the intentional or willful or wanton 15 misconduct of that person.

<u>4. Nothing herein shall be construed as a limitation on the</u>
 <u>17 liability of any Licensee for professional malpractice or misconduct,</u>
 <u>18 which shall be governed solely by any other applicable State laws.</u>

<u>5. Nothing in this Compact shall be interpreted to waive or</u>
 <u>otherwise abrogate a Participating State's state action immunity or state</u>
 <u>action affirmative defense with respect to antitrust claims under the</u>
 <u>Sherman Act, the Clayton Act, or any other State or federal antitrust or</u>
 <u>anticompetitive law or regulation.</u>

24 <u>6. Nothing in this Compact shall be construed to be a waiver of</u>
 25 sovereign immunity by the Participating States or by the Commission.

26 <u>SECTION 8. DATA SYSTEM</u>

A. The Commission shall provide for the development, maintenance, operation, and utilization of a coordinated database and reporting system containing licensure, Adverse Action, and the presence of Significant Investigative Information on all Licensees and applicants for a License in Participating States.

1	<u>B. Notwithstanding any other provision of State law to the contrary,</u>
2	a Participating State shall submit a uniform data set to the Data System
3	on all individuals to whom this Compact is applicable as required by the
4	Rules of the Commission, including:
5	1. Identifying information;
6	<u>2. Licensure data;</u>
7	3. Adverse Actions against a Licensee, License applicant, or Compact
8	Privilege and information related thereto;
9	4. Nonconfidential information related to Alternative Program
10	participation, the beginning and ending dates of such participation, and
11	other information related to such participation;
12	5. Any denial of an application for licensure, and the reason(s) for
13	such denial, (excluding the reporting of any criminal history record
14	information where prohibited by law);
15	<u>6. The presence of Significant Investigative Information; and</u>
16	7. Other information that may facilitate the administration of this
17	Compact or the protection of the public, as determined by the Rules of
18	the Commission.
19	<u>C. The records and information provided to a Participating State</u>
20	pursuant to this Compact or through the Data System, when certified by
21	the Commission or an agent thereof, shall constitute the authenticated
22	business records of the Commission, and shall be entitled to any
23	associated hearsay exception in any relevant judicial, quasi-judicial, or
24	administrative proceedings in a Participating State.
25	D. Significant Investigative Information pertaining to a Licensee in
26	any Participating State will only be available to other Participating
27	<u>States.</u>
28	E. It is the responsibility of the Participating States to monitor
29	the database to determine whether Adverse Action has been taken against a
30	Licensee or License applicant. Adverse Action information pertaining to a
31	Licensee or License applicant in any Participating State will be

1 available to any other Participating State. 2 F. Participating States contributing information to the Data System 3 may designate information that may not be shared with the public without 4 the express permission of the contributing State. 5 G. Any information submitted to the Data System that is subsequently expunged pursuant to federal law or the laws of the Participating State 6 7 contributing the information shall be removed from the Data System. 8 SECTION 9. RULEMAKING 9 A. The Commission shall promulgate reasonable Rules in order to effectively and efficiently implement and administer the purposes and 10 provisions of the Compact. A Commission Rule shall be invalid and have no 11 force or effect only if a court of competent jurisdiction holds that the 12 13 Rule is invalid because the Commission exercised its rulemaking authority 14 in a manner that is beyond the scope and purposes of the Compact, or the 15 powers granted hereunder, or based upon another applicable standard of 16 review. 17 B. The Rules of the Commission shall have the force of law in each Participating State, provided however that where the Rules of the 18 19 Commission conflict with the laws of the Participating State that 20 establish the Participating State's Scope of Practice as held by a court 21 of competent jurisdiction, the Rules of the Commission shall be 22 ineffective in that State to the extent of the conflict. 23 C. The Commission shall exercise its Rulemaking powers pursuant to 24 the criteria set forth in this section and the Rules adopted thereunder. 25 Rules shall become binding as of the date specified by the Commission for 26 each Rule. 27 D. If a majority of the legislatures of the Participating States 28 reject a Commission Rule or portion of a Commission Rule, by enactment of 29 a statute or resolution in the same manner used to adopt the Compact, 30 within four years of the date of adoption of the Rule, then such Rule 31 shall have no further force and effect in any Participating State or to -22-

1	any State applying to participate in the Compact.
2	E. Rules shall be adopted at a regular or special meeting of the
3	<u>Commission.</u>
4	F. Prior to adoption of a proposed Rule, the Commission shall hold a
5	public hearing and allow persons to provide oral and written comments,
6	data, facts, opinions, and arguments.
7	<u>G. Prior to adoption of a proposed Rule by the Commission, and at</u>
8	least thirty days in advance of the meeting at which the Commission will
9	hold a public hearing on the proposed Rule, the Commission shall provide
10	<u>a Notice of Proposed Rulemaking:</u>
11	1. On the website of the Commission or other publicly accessible
12	<u>platform;</u>
13	2. To persons who have requested notice of the Commission's notices
14	of proposed rulemaking; and
15	3. In such other ways as the Commission may by Rule specify.
16	H. The Notice of Proposed Rulemaking shall include:
17	1. The time, date, and location of the public hearing at which the
18	Commission will hear public comments on the proposed Rule and, if
19	different, the time, date, and location of the meeting where the
20	Commission will consider and vote on the proposed Rule;
21	2. If the hearing is held via telecommunication, videoconference, or
22	other electronic means, the Commission shall include the mechanism for
23	access to the hearing in the Notice of Proposed Rulemaking;
24	3. The text of the proposed Rule and the reason therefor;
25	4. A request for comments on the proposed Rule from any interested
26	person; and
27	5. The manner in which interested persons may submit written
28	comments.
29	I. All hearings will be recorded. A copy of the recording and all
30	written comments and documents received by the Commission in response to
31	the proposed Rule shall be available to the public.

1	J. Nothing in this section shall be construed as requiring a
	· · ·
2	separate hearing on each Commission Rule. Rules may be grouped for the
3	convenience of the Commission at hearings required by this section.
4	<u>K. The Commission shall, by majority vote of all Commissioners, take</u>
5	final action on the proposed Rule based on the rulemaking record.
6	<u>1. The Commission may adopt changes to the proposed Rule provided</u>
7	<u>the changes do not enlarge the original purpose of the proposed Rule.</u>
8	2. The Commission shall provide an explanation of the reasons for
9	substantive changes made to the proposed Rule as well as reasons for
10	substantive changes not made that were recommended by commenters.
11	3. The Commission shall determine a reasonable effective date for
12	the Rule. Except for an emergency as provided in subsection L of this
13	section, the effective date of the Rule shall be no sooner than thirty
14	days after the Commission issuing the notice that it adopted or amended
15	the Rule.
16	L. Upon determination that an emergency exists, the Commission may
17	consider and adopt an emergency Rule with twenty-four hours' notice, with
18	opportunity to comment, provided that the usual rulemaking procedures
19	provided in the Compact and in this section shall be retroactively
20	<u>applied to the Rule as soon as reasonably possible, in no event later</u>
21	than ninety days after the effective date of the Rule. For the purposes
22	of this provision, an emergency Rule is one that must be adopted
23	<u>immediately in order to:</u>
24	<u>1. Meet an imminent threat to public health, safety, or welfare;</u>
25	2. Prevent a loss of Commission or Participating State funds;
26	<u>3. Meet a deadline for the promulgation of a Rule that is</u>
27	established by federal law or rule; or
28	<u>4. Protect public health and safety.</u>
29	M. The Commission or an authorized committee of the Commission may

30 direct revisions to a previously adopted Rule for purposes of correcting

31 typographical errors, errors in format, errors in consistency, or

1	grammatical errors. Public notice of any revisions shall be posted on the
2	website of the Commission. The revision shall be subject to challenge by
3	any person for a period of thirty days after posting. The revision may be
4	challenged only on grounds that the revision results in a material change
5	to a Rule. A challenge shall be made in writing and delivered to the
6	Commission prior to the end of the notice period. If no challenge is
7	made, the revision will take effect without further action. If the
8	revision is challenged, the revision may not take effect without the
9	<u>approval of the Commission.</u>
10	N. No Participating State's rulemaking requirements shall apply
11	under this Compact.
12	SECTION 10. OVERSIGHT, DISPUTE RESOLUTION, AND ENFORCEMENT
13	<u>A. Oversight</u>
14	<u>1. The executive and judicial branches of State government in each</u>
15	Participating State shall enforce this Compact and take all actions
16	necessary and appropriate to implement the Compact.
17	2. Venue is proper and judicial proceedings by or against the
18	Commission shall be brought solely and exclusively in a court of
19	competent jurisdiction where the principal office of the Commission is
20	located. The Commission may waive venue and jurisdictional defenses to
21	the extent it adopts or consents to participate in alternative dispute
22	resolution proceedings. Nothing herein shall affect or limit the
23	selection or propriety of venue in any action against a Licensee for
24	professional malpractice, misconduct, or any such similar matter.
25	3. The Commission shall be entitled to receive service of process in
26	any proceeding regarding the enforcement or interpretation of the Compact
27	or Commission Rule and shall have standing to intervene in such a
28	proceeding for all purposes. Failure to provide the Commission service of
29	process shall render a judgment or order void as to the Commission, this

30 <u>Compact, or promulgated Rules.</u>

31 B. Default, Technical Assistance, and Termination

1 <u>1. If the Commission determines that a Participating State has</u> 2 <u>defaulted in the performance of its obligations or responsibilities under</u> 3 <u>this Compact or the promulgated Rules, the Commission shall provide</u> 4 <u>written notice to the defaulting State. The notice of default shall</u> 5 <u>describe the default, the proposed means of curing the default, and any</u> 6 <u>other action that the Commission may take, and shall offer training and</u> 7 <u>specific technical assistance regarding the default.</u>

8 <u>2. The Commission shall provide a copy of the notice of default to</u>
9 <u>the other Participating States.</u>

10 <u>C. If a State in default fails to cure the default, the defaulting</u> 11 <u>State may be terminated from the Compact upon an affirmative vote of a</u> 12 <u>majority of the Commissioners, and all rights, privileges, and benefits</u> 13 <u>conferred on that State by this Compact may be terminated on the</u> 14 <u>effective date of termination. A cure of the default does not relieve the</u> 15 <u>offending State of obligations or liabilities incurred during the period</u> 16 <u>of default.</u>

D. Termination of participation in the Compact shall be imposed only after all other means of securing compliance have been exhausted. Notice of intent to suspend or terminate shall be given by the Commission to the governor, the majority and minority leaders of the defaulting State's legislature, the defaulting State's State Licensing Authority or Authorities, as applicable, and each of the Participating States' State Licensing Authority or Authorities, as applicable.

E. A State that has been terminated is responsible for all assessments, obligations, and liabilities incurred through the effective date of termination, including obligations that extend beyond the effective date of termination.

F. Upon the termination of a State's participation in this Compact,
 that State shall immediately provide notice to all Licensees of the
 State, including Licensees of other Participating States issued a Compact
 Privilege to practice within that State, of such termination. The

1 terminated State shall continue to recognize all Compact Privileges then in effect in that State for a minimum of one hundred eighty days after 2 3 the date of the notice of termination. G. The Commission shall not bear any costs related to a State that 4 5 is found to be in default or that has been terminated from the Compact, unless agreed upon in writing between the Commission and the defaulting 6 7 State. 8 H. The defaulting State may appeal the action of the Commission by petitioning the United States District Court for the District of Columbia 9 10 or the federal district where the Commission has its principal offices. 11 The prevailing party shall be awarded all costs of such litigation, 12 including reasonable attorney's fees. 13 I. Dispute Resolution 14 1. Upon request by a Participating State, the Commission shall 15 attempt to resolve disputes related to the Compact that arise among Participating States and between Participating States and non-16 17 Participating States. 2. The <u>Commission shall promulgate a Rule providing for both</u> 18 19 mediation and binding dispute resolution for disputes as appropriate. 20 J. Enforcement 21 1. The Commission, in the reasonable exercise of its discretion, 22 shall enforce the provisions of this Compact and the Commission's Rules. 23 2. By majority vote, the Commission may initiate legal action 24 against a Participating State in default in the United States District 25 Court for the District of Columbia or the federal district where the 26 Commission has its principal offices to enforce compliance with the 27 provisions of the Compact and its promulgated Rules. The relief sought may include both injunctive relief and damages. In the event judicial 28 29 enforcement is necessary, the prevailing party shall be awarded all costs 30 of such litigation, including reasonable attorney's fees. The remedies 31 herein shall not be the exclusive remedies of the Commission. The

Commission may pursue any other remedies available under federal or the 1 2 defaulting Participating State's law. 3 3. A Participating State may initiate legal action against the Commission in the United States District Court for the District of 4 Columbia or the federal district where the Commission has its principal 5 6 offices to enforce compliance with the provisions of the Compact and its 7 promulgated Rules. The relief sought may include both injunctive relief and damages. In the event judicial enforcement is necessary, the 8 prevailing party shall be awarded all costs of such litigation, including 9 10 reasonable attorney's fees. 11 4. No individual or entity other than a Participating State may 12 enforce this Compact against the Commission. SECTION 11. EFFECTIVE DATE, WITHDRAWAL, AND AMENDMENT 13 A. The Compact shall come into effect on the date on which the 14 15 <u>Compact statute is enacted into law in the seventh Participating State.</u> 16 1. On or after the effective date of the Compact, the Commission 17 shall convene and review the enactment of each of the States that enacted the Compact prior to the Commission convening ("Charter Participating 18 19 States") to determine if the statute enacted by each such Charter 20 Participating State is materially different than the Model Compact. 21 a. A Charter Participating State whose enactment is found to be 22 materially different from the Model Compact shall be entitled to the 23 default process set forth in Section 10. b. If any Participating State is later found to be in default, or is 24

25 <u>terminated or withdraws from the Compact, the Commission shall remain in</u> 26 <u>existence and the Compact shall remain in effect even if the number of</u> 27 <u>Participating States should be less than seven.</u>

28 <u>2. Participating States enacting the Compact subsequent to the</u> 29 <u>Charter Participating States shall be subject to the process set forth in</u> 30 <u>Section 7.C.23 to determine if their enactments are materially different</u> 31 <u>from the Model Compact and whether they qualify for participation in the</u> 1 <u>Compact.</u>

3. All actions taken for the benefit of the Commission or in
furtherance of the purposes of the administration of the Compact prior to
the effective date of the Compact or the Commission coming into existence
shall be considered to be actions of the Commission unless specifically
repudiated by the Commission.

7 <u>4. Any State that joins the Compact subsequent to the Commission's</u> 8 <u>initial adoption of the Rules and bylaws shall be subject to the</u> 9 <u>Commission's Rules and bylaws as they exist on the date on which the</u> 10 <u>Compact becomes law in that State. Any Rule that has been previously</u> 11 <u>adopted by the Commission shall have the full force and effect of law on</u> 12 <u>the day the Compact becomes law in that State.</u>

B. Any Participating State may withdraw from this Compact by
 enacting a statute repealing that State's enactment of the Compact.

1. A Participating State's withdrawal shall not take effect until
 one hundred eighty days after enactment of the repealing statute.

17 <u>2. Withdrawal shall not affect the continuing requirement of the</u> 18 withdrawing State's Licensing Authority or Authorities to comply with the 19 investigative and Adverse Action reporting requirements of this Compact 20 prior to the effective date of withdrawal.

21 <u>3. Upon the enactment of a statute withdrawing from this Compact,</u> 22 <u>the State shall immediately provide notice of such withdrawal to all</u> 23 <u>Licensees within that State. Notwithstanding any subsequent statutory</u> 24 <u>enactment to the contrary, such withdrawing State shall continue to</u> 25 <u>recognize all Compact Privileges to practice within that State granted</u> 26 <u>pursuant to this Compact for a minimum of one hundred eighty days after</u> 27 <u>the date of such notice of withdrawal.</u>

28 <u>C. Nothing contained in this Compact shall be construed to</u> 29 <u>invalidate or prevent any licensure agreement or other cooperative</u> 30 <u>arrangement between a Participating State and a non-Participating State</u> 31 that does not conflict with the provisions of this Compact.

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1 D. This Compact may be amended by the Participating States. No 2 amendment to this Compact shall become effective and binding upon any 3 Participating State until it is enacted into the laws of all 4 Participating States. 5 SECTION 12. CONSTRUCTION AND SEVERABILITY A. This Compact and the Commission's rulemaking authority shall be 6 7 liberally construed so as to effectuate the purposes, and the 8 implementation and administration of the Compact. Provisions of the 9 Compact expressly authorizing or requiring the promulgation of Rules 10 shall not be construed to limit the Commission's rulemaking authority 11 solely for those purposes. B. The provisions of this Compact shall be severable, and if any 12 13 phrase, clause, sentence, or provision of this Compact is held by a court 14 of competent jurisdiction to be contrary to the constitution of any 15 Participating State, of a State seeking participation in the Compact, or of the United States, or the applicability thereof to any government, 16 agency, person, or circumstance is held to be unconstitutional by a court 17 of competent jurisdiction, the validity of the remainder of this Compact 18 19 and the applicability thereof to any other government, agency, person, or 20 circumstance shall not be affected thereby. 21 C. Notwithstanding subsection B of this section, the Commission may 22 deny a State's participation in the Compact or, in accordance with the 23 requirements of Section 10.B, terminate a Participating State's 24 participation in the Compact, if it determines that a constitutional 25 requirement of a Participating State is a material departure from the

27 constitution of any Participating State, the Compact shall remain in full

Compact. Otherwise, if this Compact shall be held to be contrary to the

28 force and effect as to the remaining Participating States and in full

29 <u>force and effect as to the Participating State affected as to all</u> 30 <u>severable matters.</u>

31 <u>SECTION 13. CONSISTENT EFFECT AND CONFLICT WITH OTHER STATE LAWS</u>

A. Nothing herein shall prevent or inhibit the enforcement of any
 other law of a Participating State that is not inconsistent with the
 <u>Compact.</u>

B. Any laws, statutes, regulations, or other legal requirements in a
Participating State in conflict with the Compact are superseded to the
extent of the conflict.

C. All permissible agreements between the Commission and the
Participating States are binding in accordance with their terms.

9 Sec. 2. Section 38-131, Revised Statutes Cumulative Supplement,
10 2024, is amended to read:

11 38-131 (1) An applicant for an initial license to practice as a 12 registered nurse, a licensed practical nurse, a physical therapist, a physical therapy assistant, a psychologist, an advanced emergency medical 13 14 technician, an emergency medical technician, an audiologist, a speech-15 language pathologist, a licensed independent mental health practitioner, occupational therapist, an occupational 16 an therapy assistant, а dietitian, a certified social worker, a certified master social worker, a 17 licensed clinical social worker, a paramedic, a physician, an osteopathic 18 physician, a physician or osteopathic physician who is an applicant for a 19 20 temporary educational permit, a physician or osteopathic physician who is 21 an applicant for a temporary visiting faculty permit, a physician 22 assistant, a dentist, a dental hygienist, an optometrist, a podiatrist, a 23 veterinarian, an advanced practice registered nurse-nurse practitioner, 24 an advanced practice registered nurse-certified nurse midwife, or an advanced practice registered nurse-certified registered nurse anesthetist 25 26 shall be subject to a criminal background check. Except as provided in 27 subsection (4) of this section, such an applicant for an initial license shall submit a full set of fingerprints to the Nebraska State Patrol for 28 29 a criminal history record information check. The applicant shall 30 authorize release of the results of the national criminal history record information check by the Federal Bureau of Investigation to the 31

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department. The applicant shall pay the actual cost of the fingerprinting
 and criminal background check.

3 (2) The Nebraska State Patrol is authorized to submit the fingerprints of such applicants to the Federal Bureau of Investigation 4 5 and to issue a report to the department that includes the criminal 6 history record information concerning the applicant. The Nebraska State 7 Patrol shall forward submitted fingerprints to the Federal Bureau of 8 Investigation for a national criminal history record information check. 9 The Nebraska State Patrol shall issue a report to the department that criminal history record information 10 includes the concerning the 11 applicant.

12 (3) This section shall not apply to a dentist who is an applicant 13 for a dental locum tenens under section 38-1122, to a physician or 14 osteopathic physician who is an applicant for a physician locum tenens 15 under section 38-2036, or to a veterinarian who is an applicant for a 16 veterinarian locum tenens under section 38-3335.

(4) A physician or osteopathic physician who is an applicant for a temporary educational permit shall have ninety days from the issuance of the permit to comply with subsection (1) of this section and shall have such permit suspended after such ninety-day period if the criminal background check is not complete or revoked if the criminal background check reveals that the applicant was not qualified for the permit.

(5) The department and the Nebraska State Patrol may adopt and promulgate rules and regulations concerning costs associated with the fingerprinting and the national criminal history record information check.

(6) For purposes of interpretation by the Federal Bureau of
Investigation, the term department in this section means the Division of
Public Health of the Department of Health and Human Services.

30 Sec. 3. Section 38-1101, Revised Statutes Cumulative Supplement, 31 2024, is amended to read:

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38-1101 Sections 38-1101 to 38-1152 <u>and section 4 of this act shall</u>
 be known and may be cited as the Dentistry Practice Act.

Sec. 4. <u>A person holding a compact privilege to practice in</u>
<u>Nebraska under the Dentist and Dental Hygienist Compact may engage in the</u>
<u>practice of dentistry or dental hygiene as authorized pursuant to such</u>
<u>compact.</u>

Sec. 7. Sections 1, 2, 3, 4, and 9 of this act become operative on
January 1, 2026. The other sections of this act become operative on their
effective date.

Sec. 9. Original sections 38-131 and 38-1101, Revised Statutes Cumulative Supplement, 2024, are repealed.

12 2. Renumber the remaining sections accordingly.