COMMITTEE REPORT

TO: Patrick O’Donnell
   Clerk of the Legislature

FROM: Senator Sara Howard
       Chair, Health and Human Services Committee

DATE: 11.13.2020

RE: Health and Human Services Committee Report and Recommendations on
    Regulated Occupations within Committee Jurisdiction – Audiologists, Speech-
    Language Pathologists, and Assistants

GENERAL INFORMATION

I. Occupation Regulated
   A) Audiologists, Speech-Language Pathologists, and Assistants

II. Name of Occupational Board Responsible for Enforcement
   A) Per Nebraska Revised Statutes Section 38-161, the Board of Audiology
      and Speech-Language Pathology provides recommendations to the
      Department of Health and Human Services regarding the issuance or
      denial of credentials, and provides recommendations to the Department
      of Health and Human Services regarding rules and regulations to carry
      out the Uniform Credentialing Act.

III. Public Purpose and Assumptions Underlying License Creation
   A) Practice of Audiology means the application of evidence-based practice in
      clinical decision-making for the prevention, assessment, habilitation,
      rehabilitation, and maintenance of persons with hearing, auditory
      function, and vestibular function impairments and related impairments,
      including: Cerumen removal from the cartilaginous outer one-third
      portion of the external auditory canal when the presence of cerumen may
      affect the accuracy of hearing evaluation or impressions of the ear canal
      for amplification devices; and evaluation, selection, fitting, and dispensing
      of hearing aids, external processors of implantable hearing aids, and
      assistive technology devices as part of a comprehensive audiological
      rehabilitation program. Practice of audiology does not include the
      practice of medical diagnosis, medical treatment, or surgery
Speech-Language Pathologists apply principles and methods associated with the development and disorders of human communication skills and dysphagia. These principles and methods include screening, assessment, evaluation, treatment, prevention, consultation, and restorative modalities for speech, voice, language, language-based learning, hearing, swallowing, or other upper aerodigestive functions, for the purpose of improving quality of life by reducing impairments of body functions and structures, activity limitations, participation restrictions, and environmental barriers. Practice of speech-language pathology does not include the practice of medical diagnosis, medical treatment, or surgery.

Audiology or Speech-Language Pathology Assistants, following specified training and receiving specified supervision, provide specified limited, structured communication or swallowing services, which are developed and supervised by a licensed Audiologist or Speech-Language Pathologist, in the areas in which the supervisor holds license(s).

The licenses and registration were created to ensure the public health, safety, and welfare.

IV. Number of Regulated Professionals in Nebraska
   A) There are 1,617 Audiologists, Speech-Language Pathologists, and Assistants in Nebraska.

BOARD MEMBERSHIPS AND MEETINGS

I. Number of Members
   A) There are five members of the Board of Audiology and Speech-Language Pathology.

II. Who Appoints Members of the Board / Is Legislative Approval Required?
   A) The Board of Health appoints the members of the Board of Audiology and Speech-Language Pathology. Legislative approval is not required.

III. Term Length
   A) The length of term for service on the Board of Audiology and Speech-Language Pathology is up to two consecutive five year terms, on a rotating basis.

IV. Qualifications for Membership of the Board
   A) The Board of Audiology and Speech-Language Pathology is made up of four professional members and one public member. The professional
members shall have held and maintained an active credential and been actively engaged in the practice of his or her profession for a period of five years just preceding his or her appointment and shall maintain such credential and practice while serving as a board member. Two of the professional board members must be Audiologists, and two must be Speech-Language Pathologists.

V. The Number of Meetings Required Per Year / Meetings Actually Held
A) For fiscal year (FY) 2014-2015: Meetings Required – 1; Meetings Held – 2.
B) For FY 2015-2016: Meetings Required – 1; Meetings Held – 2.
C) For FY 2016-2017: Meetings Required – 1; Meetings Held – 2.
D) For FY 2017-2018: Meetings Required – 1; Meetings Held – 2.
E) For FY 2018-2019: Meetings Required – 1; Meetings Held – 2.

VI. Annual Budget Information for the Previous Five Years
A) The Board of Audiology and Speech-Language Pathology is cash-funded from licensure fees. Funds for credentialed occupations may come from interest earned on the Professional and Occupational Credentialing Cash Fund, certification and verification of credentials, administrative fees, reinstatement fees, general funds and federal funds, fees for miscellaneous services, gifts, and grants. The National Council of State Boards of Nursing pays for travel to national meetings.
B) For FY 2014-2015: $12,885
C) For FY 2015-2016: $4,682
D) For FY 2016-2017: $63,222
E) For FY 2017-2018: $52,022
F) For FY 2018-2019: $67,019

VII. Statement from Occupational Board on Effectiveness of Regulations
A) The Vice-Chair for the Board of Audiology and Speech-Language Pathology stated that the Board "oversees regulations related to licensure and practice of Audiologists, Speech-Language Pathologists, and assistants in each of these professional fields. Revisions to the current regulations of our Board within the past two years have reduced restrictive language in the regulations and have eliminated the need for dual licensure for professional provision of services covered by our primary professional licensure. Current regulations also allow for provision of services via telehealth which will become increasingly important in the coming years, and have been updated to allow for needed changes in the way that professionals acquire continuing education. In instances where the Board has investigated issues related to licensure violations, we have been able to refer to our licensure regulations and
have found them to be a concise and definitive source of guidance in our decision-making. We feel that the current regulations are effective.”

**AUTHORIZATION**

I. Statutory Authorization
   A) Statutory authorization for the Audiologist, Speech-Language Pathologist, and Assistants occupations may be found in the Nebraska Revised Statutes, sections 38-501 to 38-527, which may be cited as the Audiology and Speech-Language Pathology Practice Act. For text of the Nebraska statutes relating to the Audiologist, Speech-Language Pathologist, and Assistant occupations, see Appendix A.

II. Other Authorization
   A) Rules and regulations regarding the Audiology and Speech-Language Pathology occupations may be found in the Nebraska Administrative Code Title 172, Chapter 23. Rules and regulations regarding the Audiology and Speech-Language Pathology Assistant occupations may be found in the Nebraska Administrative Code Title 172, Chapter 24.

**CREDENTIALING**

I. Number of Licenses, Certifications, or Registrations Issued In Past Five Years
   A) There were a total of 1,113 Audiologist, Speech-Language Pathologist, Assistant, and temporary licenses and registrations issued in the past five years.

II. Number of Licenses, Certifications, or Registrations Denied in Past Five Years
   A) There has been one Speech-Language Pathologist license denied in the past five years.
   B) The license was denied because of failure to pay license application fees.

III. Number of Licenses, Certifications, or Registrations Revoked in Past Five Years
    A) There has been one Speech-Language Pathologist license revoked in the past five years.
    B) The license was revoked due to misrepresentation of material facts, unprofessional conduct, and violation of a department order.

IV. Number of Licenses, Certifications, or Registrations Penalized in Past Five Years
    A) There have been seven Audiologist or Speech-Language Pathologist licenses penalized in the past five years.
B) Penalties include administrative penalties for practicing without a valid license.

V. Comparison of How Other States Regulate This Occupation
A) All 50 states and the District of Columbia regulate both Audiologists and Speech-Language Pathologists through either licensure or certification. In addition, 32 states and the District of Columbia also require at least registration for Audiology and Speech-Language Pathology assistants, though some require licensure or certification.

VI. What Is The Potential Harm if This Occupation Is No Longer Licensed, Certified, or Regulated?
A) If the Audiologist or Speech-Language Pathologist occupations were no longer licensed, and the Audiology and Speech Language Assistant occupations were no longer regulated through registration, it would be detrimental to the public health, safety, and welfare. There would be no process for investigation of scope of practice or ethical violations in the professions; there would be inconsistencies regarding continuing education and training; there would be no benchmark for adequate education and training; and lack of regulatory standards would make it difficult to become licensed by reciprocity in another state. If individuals are attempting to practice Audiology or Speech-Language Pathology without the requisite education and training, it would be harmful to the public health, safety, and welfare, and it would be difficult to hold those practitioners responsible.

COMMITTEE RECOMMENDATION ON CONTINUATION, MODIFICATION, OR TERMINATION OF OCCUPATIONAL REGULATIONS
Regulated occupations under the purview of the Health and Human Services Committee are unique in that through the Nebraska Regulation of Health Professions Act (Neb. Rev. Stat. Sections 71-6201 to 71-6229), health professions which are not licensed or regulated, or health professions that wish to change their scope of practice, go through a three-stage credentialing process.

Credentialing review is a three-stage process conducted by the following review bodies in the following order:

1) The review of an ad hoc technical review committee appointed by the Director of the Division of Public Health;
2) The review of the State Board of Health;
3) The review of the Director of the Division of Public Health.

The three review bodies each create their own independent report on each proposal. All reports created by the review process are available to members of the
Health and Human Services Committee to assist them during their review of any bills that might arise from credentialing review proposals. These reports include recommendations regarding the level of licensure of the health profession. These reports are advisory to the Legislature, and only the action of the Legislature may create changes in the regulatory status of a profession. These reports represent expert input into possible public health and safety aspects of credentialing review proposals, and the nine-month process is overseen by those with experience in the provision of health-related or medical services.

The licenses, certifications, and registrations overseen by the Board of Audiology and Speech-Language Pathology and the Department of Health and Human Services are intended to protect the health, safety, and welfare of Nebraskans. The current regulation of the Audiologist and Speech-Language Pathologist occupations by licensure, and the regulation of the Audiology and Speech-Language Pathology Assistants occupations by registration is appropriate and balanced and does not need modification at this time.
APPENDIX A
38-501. **Act, how cited.** Sections 38-501 to 38-527 shall be known and may be cited as the Audiology and Speech-Language Pathology Practice Act.


38-502. **Definitions, where found.** For purposes of the Audiology and Speech-Language Pathology Practice Act and elsewhere in the Uniform Credentialing Act, unless the context otherwise requires, the definitions found in sections 38-503 to 38-509 apply.


38-503. **Audiologist, defined.** Audiologist means an individual who practices audiology and who presents himself or herself to the public by any title or description of services incorporating the words audiologist, hearing clinician, or hearing therapist or any similar title or description of services.


38-504. **Board, defined.** Board means the Board of Audiology and Speech-Language Pathology.


38-505. **Audiology or speech-language pathology assistant, defined.** Audiology or speech-language pathology assistant or any individual who presents himself or herself to the public by any title or description with the same duties means any person who, following specified training and receiving specified supervision, provides specified limited structured communication or swallowing services, which are developed and supervised by a licensed audiologist or licensed speech-language pathologist, in the areas in which the supervisor holds licenses.


38-506. **Dysphagia, defined.** Dysphagia means disorders of swallowing.


38-507. **Practice of audiology, defined.** Practice of audiology means the application of evidence-based practice in clinical decisionmaking for the prevention, assessment, habilitation, rehabilitation, and maintenance of persons with hearing, auditory function, and vestibular function impairments and related impairments, including (1) cerumen removal from the cartilaginous outer one-third portion of the external auditory canal when the presence of cerumen may affect the accuracy of hearing evaluations or impressions of the ear canal for amplification devices and (2) evaluation, selection, fitting, and dispensing of hearing instruments, external processors of implantable hearing instruments, and assistive technology devices as part of a comprehensive audiologial rehabilitation program. Practice of audiology does not include the practice of medical diagnosis, medical treatment, or surgery.


38-508. **Practice of speech-language pathology, defined.** Practice of speech-language pathology means the application of principles and methods associated with the development and disorders of human communication skills and with dysphagia, which principles and methods include screening, assessment, evaluation, treatment, prevention, consultation, and restorative modalities for speech, voice, language, language-based learning, hearing, swallowing, or other upper aerodigestive functions for the purpose of improving quality of life by reducing impairments of body functions and structures, activity limitations, participation restrictions, and environmental barriers. Practice of speech-language pathology does not include the practice of medical diagnosis, medical treatment, or surgery.


38-509. **Speech-language pathologist, defined.** Speech-language pathologist means an individual who presents himself or herself to the public by any title or description of services incorporating the words speech-language pathologist, speech therapist, speech correctionist, speech clinician, language pathologist, language therapist, language clinician, logopedist, communicologist, aphasiologist, aphasia therapist, voice pathologist, voice therapist, voice clinician, phoniatrist, or any similar title, term, or description of services.


38-510. **Membership on board; qualifications.** Membership on the board shall consist of four professional members
and one public member appointed pursuant to section 38-158. The members shall meet the requirements of sections 38-164 and 38-165. Two of the professional members shall be audiologists, and two of the professional members shall be speech-language pathologists.


38-511 Practice of audiology or speech-language pathology; act, how construed. Nothing in the Audiology and Speech-Language Pathology Practice Act shall be construed to prevent or restrict:

1. The practice of audiology or speech-language pathology or the use of the official title of such practice by a person employed as a speech-language pathologist or audiologist by the federal government;
2. A physician from engaging in the practice of medicine and surgery or any individual from carrying out any properly delegated responsibilities within the normal practice of medicine and surgery under the supervision of a physician;
3. A person licensed as a hearing instrument specialist in this state from engaging in the fitting, selling, and servicing of hearing instruments or performing such other duties as defined in the Hearing Instrument Specialists Practice Act;
4. The practice of audiology or speech-language pathology or the use of the official title of such practice by a person who holds a valid and current credential as a speech-language pathologist or audiologist issued by the State Department of Education, if such person performs speech-language pathology or audiology services solely as a part of his or her duties within an agency, institution, or organization for which no fee is paid directly or indirectly by the recipient of such service and under the jurisdiction of the State Department of Education, but such person may elect to be within the jurisdiction of the Audiology and Speech-Language Pathology Practice Act;
5. The clinical practice in audiology or speech-language pathology required for students enrolled in an accredited college or university pursuing a major in audiology or speech-language pathology, if such clinical practices are supervised by a person licensed to practice audiology or speech-language pathology and if the student is designated by a title such as student clinician or another title clearly indicating the training status; or
6. The utilization of a speech aide or other personnel employed by a public school, educational service unit, or other private or public educational institution working under the direct supervision of a credentialed speech-language pathologist.


38-512 Sale of hearing instruments; audiologist; applicability of act. Any audiologist who engages in the sale of hearing instruments shall not be exempt from the Hearing Instrument Specialists Practice Act.


38-513. Licensed professional; nonresident; practice of audiology or speech-language pathology; act, how construed. Nothing in the Audiology and Speech-Language Pathology Practice Act shall be construed to prevent or restrict:
1. a qualified person licensed in this state from engaging in the profession for which he or she is licensed if he or she does not present himself or herself to be an audiologist or speech-language pathologist or (2) the performance of audiology or speech-language pathology services in this state by any person not a resident of this state who is not licensed under the act, if such services are performed for not more than thirty days in any calendar year, if such person meets the qualifications and requirements for application for licensure under the act, if such person is working under the supervision of a person licensed to practice speech-language pathology or audiology, and if such person registers with the board prior to initiation of professional services.


38-514. Audiologist; initiate aural rehabilitation; when. Before any audiologist initiates any aural rehabilitation for an individual, the audiologist shall have in his or her possession evidence of a current otologic examination performed by a physician or the audiologist shall issue a written statement that the individual has been informed that he or she may have a medically or surgically remediable hearing loss and should seek the advice of a physician. The audiologist and the individual receiving aural rehabilitation shall sign the statement and a copy of the statement shall be provided to the individual. All vestibular testing performed by an audiologist shall be done at the referral of a physician and, whenever possible, at the referral of an otolaryngologist or neurologist.


38-515. Practice of audiology or speech-language pathology; license; applicant; requirements. (1) Every applicant for a license to practice audiology shall (a)(i) for applicants graduating prior to September 1, 2007, present proof of a master's degree, a doctoral degree, or the equivalent of a master's degree or doctoral degree in audiology from an academic program
approved by the board, and (ii) for applicants graduating on or after September 1, 2007, present proof of a doctoral degree or its equivalent in audiology, (b) present proof of no less than thirty-six weeks of full-time professional experience or equivalent half-time professional experience in audiology, supervised in the area in which licensure is sought, and (c) successfully complete an examination approved by the board.

(2) Every applicant for a license to practice speech-language pathology shall (a) present proof of a master's degree, a doctoral degree, or the equivalent of a master's degree or doctoral degree in speech-language pathology from an academic program approved by the board, (b) present proof of no less than thirty-six weeks of full-time professional experience or equivalent half-time professional experience in speech-language pathology, supervised in the area in which licensure is sought, and (c) successfully complete an examination approved by the board.

(3) Presentation of official documentation of certification by a nationwide professional accrediting organization approved by the board shall be deemed equivalent to the requirements of this section.


38-516. Continuing competency requirements. An applicant for licensure to practice audiology or speech-language pathology who has met the education, professional experience, and examination requirements in section 38-515, who passed the examination more than three years prior to the time of application for licensure, and who is not practicing at the time of application for licensure shall present proof satisfactory to the department that he or she has within the three years immediately preceding the application for licensure completed continuing competency requirements approved by the board pursuant to section 38-145.


38-517. Reciprocity: continuing competency requirements. An applicant for licensure to practice audiology or speech-language pathology who has met the standards set by the board pursuant to section 38-126 for a license based on licensure in another jurisdiction but is not practicing at the time of application for licensure shall present proof satisfactory to the department that he or she has within the three years immediately preceding the application for licensure completed continuing competency requirements approved by the board pursuant to section 38-145.


38-518. Practice of audiology or speech-language pathology; temporary license; granted; when. A temporary license to practice audiology or speech-language pathology may be granted to persons who establish residence in Nebraska and (1) who meet all the requirements for a license except passage of the examination required by section 38-515, which temporary license shall be valid only until the date on which the results of the next licensure examination are available to the department and shall not be renewed, or (2) who meet all the requirements for a license except completion of the professional experience required by section 38-515, which temporary license shall be valid only until the sooner of completion of such professional experience or eighteen months and shall not be renewed.


38-519. Audiology or speech-language pathology assistant; registration; requirements. (1) Upon application and payment of the registration fee, the department shall register to practice as an audiology or speech-language pathology assistant any person who:

(a)(i) Holds a bachelor's degree or its equivalent in communication disorders, (ii) holds an associate degree or its equivalent in communication disorders from an accredited training program, or (iii) between the period of June 1, 2005, and June 1, 2007, was registered as and practiced as a communication assistant for at least thirty hours per week for a minimum of nine months per year;

(b) Has successfully completed all required training pursuant to sections 38-521 and 38-522 and any inservice training required pursuant to section 38-526; and

(c) Has demonstrated ability to reliably maintain records and provide treatment under the supervision of a licensed audiologist or speech-language pathologist.

(2) Such registration shall be valid for one year from the date of issuance.


38-520. Audiologist or speech-language pathology assistant; supervision; termination. (1) The department, with the recommendation of the board, shall approve an application submitted by an audiologist or speech-language pathologist for
supervision of an audiology or speech-language pathology assistant when:

(a) The audiology or speech-language pathology assistant meets the requirements for registration pursuant to section 38-519;

(b) The audiologist or speech-language pathologist has a valid Nebraska license; and

(c) The audiologist or speech-language pathologist practices in Nebraska.

(2) Any audiologist or speech-language pathologist seeking approval for supervision of an audiology or speech-language pathology assistant shall submit an application which is signed by the audiology or speech-language pathology assistant and the audiologist or speech-language pathologist with whom he or she is associated. Such application shall (a) identify the settings within which the audiology or speech-language pathology assistant is authorized to practice, (b) describe the agreed-upon functions that the audiology or speech-language pathology assistant may perform as provided in section 38-523, and (c) describe the provision for supervision by an alternate audiologist or speech-language pathologist when necessary.

(3) If the supervision of an audiology or speech-language pathology assistant is terminated by the audiologist, speech-language pathologist, or audiologist or speech-language pathology assistant, the audiologist or speech-language pathologist shall notify the department of such termination. An audiologist or speech-language pathologist who thereafter assumes the responsibility for such supervision shall obtain a certificate of approval to supervise an audiology or speech-language pathology assistant from the department prior to the use of the audiology or speech-language pathology assistant in the practice of audiology or speech-language pathology.


38-521. Audiology or speech-language pathology assistant; initial training. Initial training for an audiology or speech-language pathology assistant shall consist of graduation from an accredited program with a focus on communication disorders which shall include:

1. An overview of speech, language, and dysphagia and the practice of audiology and speech-language pathology;
2. Ethical and legal responsibilities;
3. Normal language, speech, and hearing functions and swallowing physiology;
4. Observing and recording patient progress;
5. Behavior management and modification; and
6. Record keeping.


38-522. Audiology or speech-language pathology assistant; aural rehabilitation programs; training. In addition to the initial training required by section 38-521, an audiology or speech-language pathology assistant assigned to provide aural rehabilitation programs shall have additional training which shall include, but not be limited to:

1. Information concerning the nature of hearing loss;
2. Purposes and principles of auditory and visual training;
3. Maintenance and use of amplification devices; and
4. Such other subjects as the department may deem appropriate.


38-523. Audiology or speech-language assistant; duties and activities. An audiology or speech-language pathology assistant may, under the supervision of a licensed audiologist or speech-language pathologist, perform the following duties and activities:

1. Implement programs and procedures designed by a licensed audiologist or speech-language pathologist;
2. Maintain records of implemented procedures which document a patient's responses to treatment;
3. Provide input for interdisciplinary treatment planning, inservice training, and other activities directed by a licensed audiologist or speech-language pathologist;
4. Prepare instructional material to facilitate program implementation as directed by a licensed audiologist or speech-language pathologist;
5. Follow plans, developed by the licensed audiologist or speech-language pathologist, that provide specific sequences of treatment to individuals with communicative disorders or dysphagia; and
6. Chart or log patient responses to the treatment plan.

38-524 Audiology or speech-language pathology assistant; acts prohibited. An audiology or speech-language pathology assistant shall not:

1. Evaluate or diagnose any type of communication disorder;
2. Evaluate or diagnose any type of dysphagia;
3. Interpret evaluation results or treatment progress;
4. Consult or counsel, independent of the licensed audiologist or speech-language pathologist, with a patient, a patient's family, or staff regarding the nature or degree of communication disorders or dysphagia;
5. Plan patient treatment programs;
6. Represent himself or herself as an audiologist or speech-language pathologist or as a provider of speech, language, swallowing, or hearing treatment or assessment services;
7. Independently initiate, modify, or terminate any treatment program; or
8. Fit or dispense hearing instruments.


38-525. Audiology or speech-language pathology assistant; supervisor; duties. (1) When supervising an audiology or speech-language pathology assistant, the supervising audiologist or speech-language pathologist shall:

a. Provide supervision for no more than two audiology or speech-language pathology assistants at one time;
b. Provide direct onsite supervision for the first two treatment sessions of each patient's care;
c. Provide direct onsite supervision of at least twenty percent of all subsequent treatment sessions per quarter;
d. Provide at least ten hours of inservice training per registration period, either formal or informal, which is directly related to the particular services provided by the audiologist or speech-language pathology assistant; and

e. Prepare semianual performance evaluations of the audiologist or speech-language pathology assistant to be reviewed with the audiologist or speech-language pathology assistant on a one-to-one basis.

(2) The supervising audiologist or speech-language pathologist shall be responsible for all aspects of patient treatment.


38-526. Audiology or speech-language pathology assistant; evaluation, supervision, training; supervisor; report required. The supervising audiologist or speech-language pathologist shall provide annual reports to the department verifying that evaluation, supervision, and training required by section 38-525 has been completed. The audiologist or speech-language pathologist shall keep accurate records of such evaluation, supervision, and training.


38-527. Fees. The department shall establish and collect fees for initial licensure and registration and renewal of licensure and registration under the Audiology and Speech-Language Pathology Practice Act as provided in sections 38-151 to 38-157.


71-1,186. Transferred to section 38-502.
71-1,187. Transferred to section 38-511.
71-1,188. Transferred to section 38-513.
71-1,189. Transferred to section 38-514.
71-1,190. Transferred to section 38-515.
71-1,194. Transferred to section 38-518.
71-1,195.01. Transferred to section 38-519.
71-1,195.02. Transferred to section 38-520.
71-1,195.04. Transferred to section 38-521.
71-1,195.05. Transferred to section 38-522.
71-1,195.06. Transferred to section 38-523.
71-1,195.07. Transferred to section 38-524.
71-1,195.08. Transferred to section 38-525.
71-1,195.09. Transferred to section 38-526.
71-1,196. Transferred to section 38-512.