

September 14, 2012

Patrick O'Donnell, Clerk of the Legislature  
State Capitol, Room 2018  
PO Box 94604  
Lincoln, Nebraska 68509

Dear Mr. O'Donnell,

Nebraska Revised Statute 43-4406 requires the Department of Health and Human Services to report to the Health and Human Services Committee of the Legislature by September 15, 2012, information regarding child welfare services, with respect to children served by any lead agency or the pilot project and children served by the department

The attached report provides this information.

Please contact me with any questions, 402-471-1878.

Respectfully,

A handwritten signature in black ink, appearing to read 'T. Pristow', enclosed within a large, loopy oval shape.

Thomas D. Pristow, MSW, ACSW  
Director, Division of Children and Family Services

**Department of Health and Human Services  
Division of Children and Family Services**

**43-4406**

**Prepared for the  
Health and Human Services Committee of the Legislature**

**September 15, 2012**

## Table of Contents

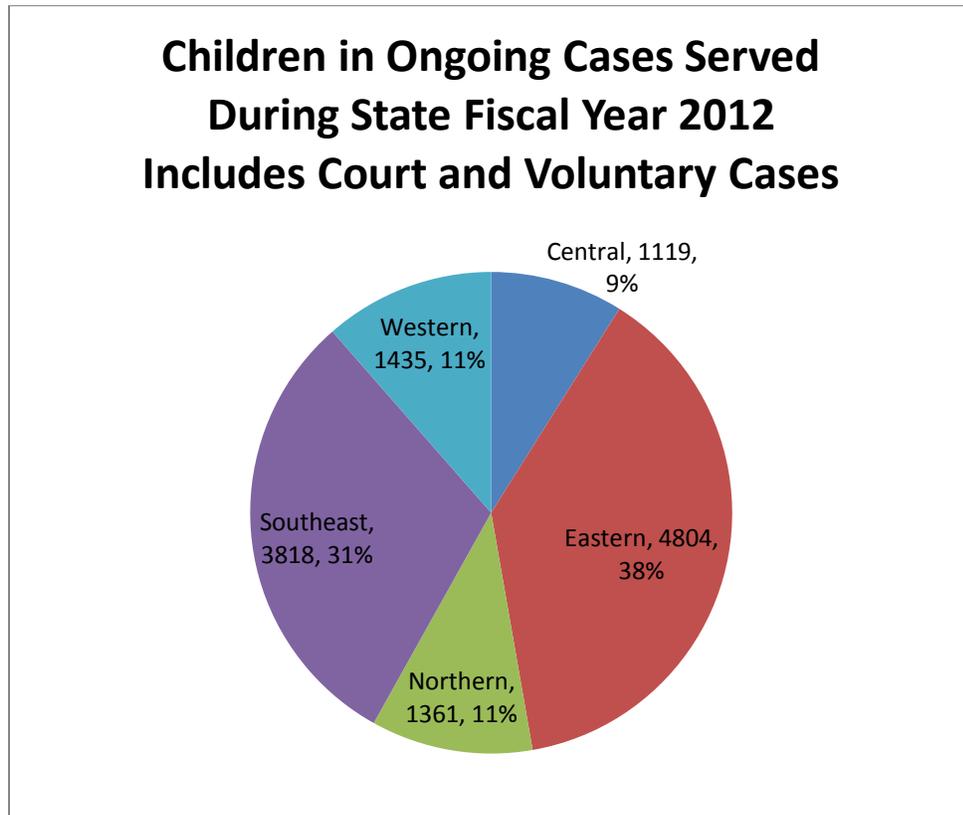
Data Requested	Pages
1a. Percentage of children served and corresponding budget allocation	3 - 4
1b. Percentage of wards served and corresponding budget allocation	5 - 6
2. Number of siblings in out of home care placed with siblings	7 - 9
3a. Number of children receiving mental health services through Division of Behavioral Health	10
3b. Number receiving behavioral health services at Hastings Regional Center	10
3c. Number of State Wards receiving behavioral health services	11
3d. Funding sources of children's behavioral health services	11
3e. Expenditures by Division of Behavioral Health	11 - 13
3f. Expenditures from medical assistance program and CHIP for mental health and substance abuse services for all children and state wards	14 - 15
4a. Case Manager education levels	16 - 17
4b. Average Caseload per case manager	18
4c. Average number of case managers per child	18 - 19
4d. Average number of case managers per child by time period	20 - 21
4e. Monthly case manager turnover	22
4f. Monthly face to face contacts with child	22
4g. Monthly face to face contacts with parents	22 - 23
4h. Monthly team meetings	23
4i. Consecutive monthly parent contacts per quarter	24
4j. Consecutive monthly child contacts per quarter	24
4k. Consecutive monthly contacts with service providers per quarter	24
4l. Timeliness of court report	25
4m. Non-court involved children and expenditures	26 - 30
5a. Residential treatment child variables	31 - 33
5b. Reasons for placement	33
5c. Percentage denied placement	33 -37
5di.-5dv. Child denied placement information	38 - 57
6. Lead agency accounts payable	58
7. Service referrals	59
Attachment A: 2011 Caseload Report	60

On or before September 15, 2012, and each September 15 thereafter, the department shall report to the Health and Human Services Committee of the Legislature the following information regarding child welfare services, with respect to children served by any lead agency or the pilot project and children served by the department:

1. The percentage of children served and the allocation of the child welfare budget, categorized by service area and by lead agency or the pilot project including:
  - a. The percentage of children served by service area and the corresponding budget allocation; and

**Children Served**

- This data includes youth with the following legal statuses:  
Office of Juvenile Services and Health and Human Services State Wards; Court Supervision youth; youth who have been directly relinquished to the State as Health and Human Services State Ward or Office of Juvenile Services Ward; non-court involved youth; youth in a police hold; youth in placement with Department as a result of a voluntary placement agreement.
- Time period is State Fiscal Year 2012 (July - June 2012).
- Data extract is from the DHHS N-FOCUS system.
- Number of Children served is an unduplicated count.



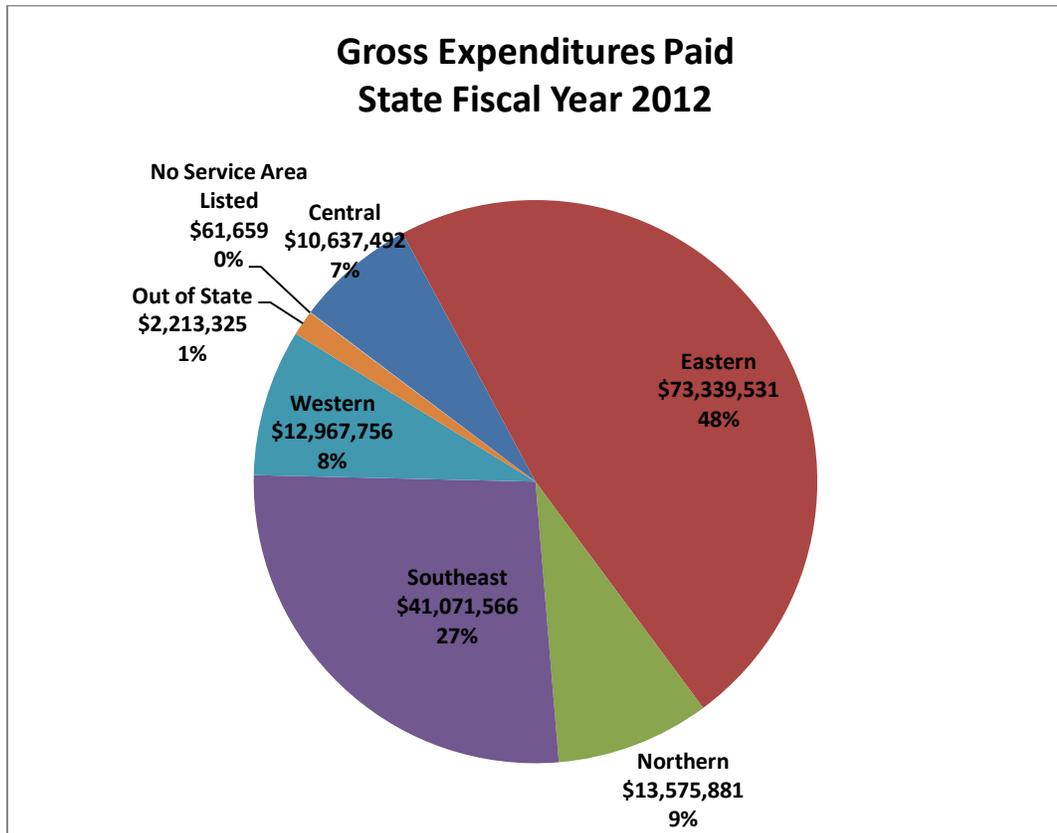
**Total Number of Children served: 12,537**

**Expenditures for Children Served**

- Includes expenditures for state wards, voluntary cases, subsidized guardianships, former ward services, independent living services.
- The budget is not allocated by service area. In order to show the proportion per service area, we are providing expenditure data.
- Time period is State Fiscal Year 2012 (July – June).
- The data does not include expenditures paid from Medicaid.
- Includes expenditures for services paid from N-FOCUS and the payments listed below to the Lead Agencies that were made outside N-FOCUS.

NFC - Eastern Service Area	\$44,474,641
KVC - Eastern Service Area	\$14,328,169
KVC - Southeast Service Area	\$29,830,559

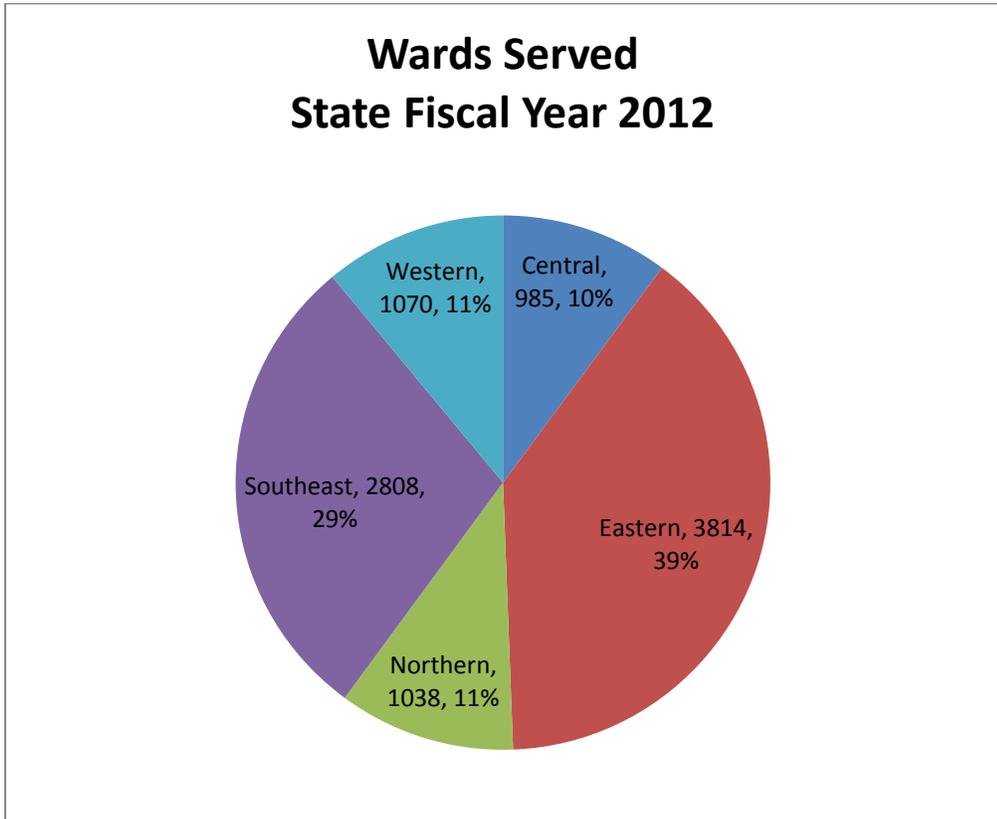
- The following chart shows the breakout by Service Area for Subprograms 30 and 48, Program 347 – Child Welfare and Subprogram 10, Program 345 - OJS.
- Includes expenditures for state wards, voluntary cases, subsidized guardianships, former ward services, independent living services.
- This data may not match NIS (Enterprise One) data due to refunds and cancellations and/or other payments made through NIS (Enterprise One) that did not originate in N-FOCUS.
- The chart does not include \$4,476,092 in payments made that were not broken out by service area. These items include: Funds for Paternity Acknowledgements; Aid to Local Governments; payments made to Boys and Girls Home providers; contractual payments to the Supreme Court, Tribes, and others; payments to the Division of Developmental Disabilities; State Ward Education and Child Support offsets.
- Expenditure data does not contain Administration costs such as staffing, office space, Former Ward maintenance payments, Independent Living maintenance payments, etc....



- b. The percentage of children served who are wards of the state and the corresponding budget allocation.

**Children served who are State Wards**

- Includes all state wards who have the legal status of: Health and Human Services Ward; Office of Juvenile Services Ward; and both Health and Human Services/Office of Juvenile Services Ward.
- Time period is State Fiscal Year 2012 (July – June 2012).
- Data extract is from DHHS N-FOCUS system.
- Number of Wards served is an unduplicated count.



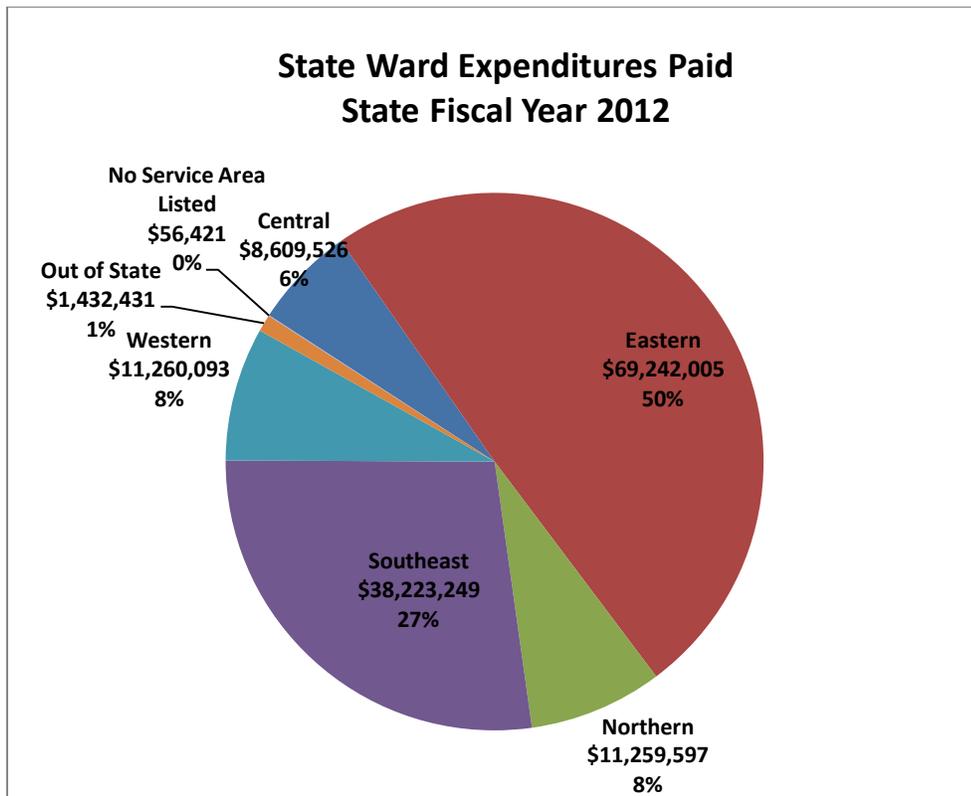
**Total Wards served: 9,715**

**Expenditures for Children served who are State Wards**

- Includes payment for services to families of state wards and to families in order to prevent state wardship.
- Time period is State Fiscal Year 2012 (July – June).
- Expenditures in payment process are not included.
- These data do not include expenditures paid from Medicaid.
- Includes expenditures for services paid from N-FOCUS and the payments listed below to the Lead Agencies that were made outside of N-FOCUS.

NFC - Eastern Service Area	\$44,474,641
KVC - Eastern Service Area	\$14,328,169
KVC - Southeast Service Area	\$29,830,559

- The following chart shows the breakout by Service Area for Subprograms 30 and 48, Program 347 – Child Welfare and Subprogram 10, Program 345 - OJS.
- This data may not match NIS (Enterprise One) data due to refunds and cancellations and/or other payments made through NIS that did not originate in N-FOCUS.
- The chart does not include \$4,476,092 in payments made that were not broken out by service area. These items include: Funds for Paternity Acknowledgements; Aid to Local Governments; payments made to Boys and Girls Home providers; contractual payments to the Supreme Court, Tribes, and others; payments to the Division of Developmental Disabilities; State Ward Education and Child Support offsets.
- Expenditure data does not include Administration costs such as staffing, office space, Former Ward maintenance payments, Independent Living maintenance payments, etc....



**2. The number of siblings in out-of-home care placed with siblings as of the June 30<sup>th</sup> immediately preceding the date of the report, categorized by service area and by lead agency or the pilot project.**

- Data compiled effective June 30, 2012.
- Children considered are state wards placed with other state ward siblings.
- Chart shows the number of siblings with how many siblings are placed together.
- Grand total is the total of children with the number of siblings.
- Percentage of children placed all at same placement is shown as well as percentage placed with at least one sibling.
- Data listed by State and each Service Area.
- Data extract is from DHHS N-FOCUS system.

Statewide Children Placed with Siblings										
Number of Siblings in Out of Home Care	Two Siblings Placed Together	Three Siblings Placed Together	Four Siblings Placed Together	Five Siblings Placed Together	Six Siblings Placed Together	Seven Siblings Placed Together	Not Placed With Siblings	Grand Total	Percent with All Siblings Together	Percent with at Least One Sibling
2 Siblings	636	0	0	0	0	0	266	902	59.69%	78.22%
3 Siblings	128	420	0	0	0	0	130	678		
4 Siblings	106	21	216	0	0	0	49	392		
5 Siblings	42	36	12	40	0	0	30	160		
6 Siblings	16	30	8	0	24	0	12	90		
7 Siblings	8	6	4	0	0	7	3	28		
Grand Total	936	513	240	40	24	7	490	2250		
Central Service Area Children Placed with Siblings										
Number of Siblings in Out of Home Care	Two Siblings Placed Together	Three Siblings Placed Together	Four Siblings Placed Together	Five Siblings Placed Together	Six Siblings Placed Together	Seven Siblings Placed Together	Not Placed With Siblings	Grand Total	Percent with All Siblings Together	Percent with at Least One Sibling
2 Siblings	60	0	0	0	0	0	20	80	69.68%	79.35%
3 Siblings	2	30	0	0	0	0	7	39		
4 Siblings	4	3	12	0	0	0	1	20		
5 Siblings	0	6	0	0	0	0	4	10		
6 Siblings	0	0	0	0	6	0	0	6		
7 Siblings	0	0	0	0	0	0	0	0		
Grand Total	66	39	12	0	6	0	32	155		

Eastern Service Area Children Placed with Siblings										
Number of Siblings in Out of Home Care	Two Siblings Placed Together	Three Siblings Placed Together	Four Siblings Placed Together	Five Siblings Placed Together	Six Siblings Placed Together	Seven Siblings Placed Together	Not Placed With Siblings	Grand Total	Percent with All Siblings Together	Percent with at Least One Sibling
2 Siblings	248	0	0	0	0	0	118	366	57.41%	78.51%
3 Siblings	64	180	0	0	0	0	50	294		
4 Siblings	54	15	132	0	0	0	27	228		
5 Siblings	26	24	12	20	0	0	18	100		
6 Siblings	0	12	0	0	6	0	6	24		
7 Siblings	4	3	4	0	0	7	3	21		
Grand Total	396	234	148	20	6	7	222	1033		

Northern Service Area Children Placed with Siblings										
Number of Siblings in Out of Home Care	Two Siblings Placed Together	Three Siblings Placed Together	Four Siblings Placed Together	Five Siblings Placed Together	Six Siblings Placed Together	Seven Siblings Placed Together	Not Placed With Siblings	Grand Total	Percent with All Siblings Together	Percent with at Least One Sibling
2 Siblings	68	0	0	0	0	0	16	84	68.62%	85.11%
3 Siblings	14	42	0	0	0	0	10	66		
4 Siblings	8	0	8	0	0	0	0	16		
5 Siblings	4	0	0	5	0	0	1	10		
6 Siblings	2	3	0	0	6	0	1	12		
7 Siblings	0	0	0	0	0	0	0	0		
Grand Total	96	45	8	5	6	0	28	188		

Southeast Service Area Children Placed with Siblings										
Number of Siblings in Out of Home Care	Two Siblings Placed Together	Three Siblings Placed Together	Four Siblings Placed Together	Five Siblings Placed Together	Six Siblings Placed Together	Seven Siblings Placed Together	Not Placed With Siblings	Grand Total	Percent with All Siblings Together	Percent with at Least One Sibling
2 Siblings	186	0	0	0	0	0	94	280	54.82%	73.95%
3 Siblings	36	114	0	0	0	0	48	198		
4 Siblings	38	3	48	0	0	0	19	108		
5 Siblings	10	3	0	10	0	0	7	30		
6 Siblings	14	15	8	0	6	0	5	48		
7 Siblings	0	0	0	0	0	0	0	0		
Grand Total	284	135	56	10	6	0	173	664		
Western Service Area Children Placed with Siblings										
Number of Siblings in Out of Home Care	Two Siblings Placed Together	Three Siblings Placed Together	Four Siblings Placed Together	Five Siblings Placed Together	Six Siblings Placed Together	Seven Siblings Placed Together	Not Placed With Siblings	Grand Total	Percent with All Siblings Together	Percent with at Least One Sibling
2 Siblings	74	0	0	0	0	0	18	92	70.95%	83.33%
3 Siblings	12	54	0	0	0	0	15	81		
4 Siblings	2	0	16	0	0	0	2	20		
5 Siblings	2	3	0	5	0	0	0	10		
6 Siblings	0	0	0	0	0	0	0	0		
7 Siblings	4	3	0	0	0	0	0	7		
Grand Total	94	60	16	5	0	0	35	210		

**3. An update of the information in the report of the Children’s Behavioral Health Task Force pursuant to sections 43-4001 to 43-4003; including:**

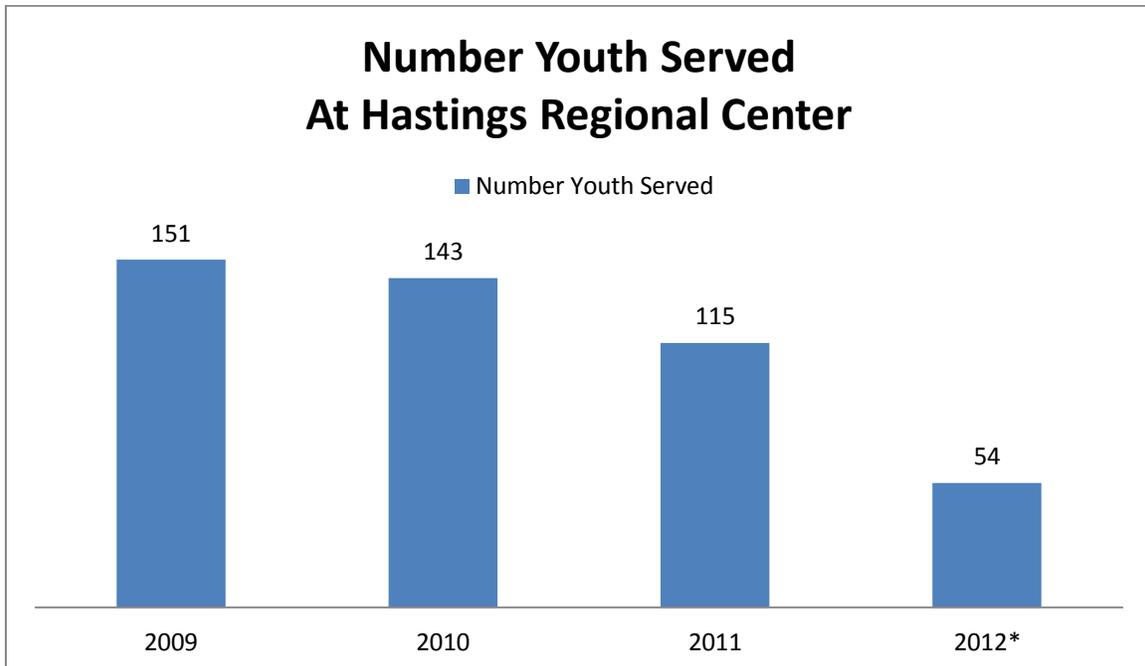
**a. The number of children receiving mental health and substance abuse services annually by the Division of Behavior Health of the department;**

- Data source is Magellan July 2012 extract.
- Youth age is calculated using the first day of Fiscal Year-Date of Birth
- Data provided for State Fiscal Year (July – June)
- Chart shows Mental Health (MH), Substance Abuse (SA), both Mental Health and Substance Abuse and Totals by State fiscal year indicated.

<b>Service</b>	<b>FY09</b>	<b>FY10</b>	<b>FY11</b>	<b>FY12</b>
<i>MH ONLY</i>	2680	2852	2628	2002
<i>SA ONLY</i>	1120	969	760	686
<i>BOTH</i>	145	144	76	62
<i>Total</i>	3945	3965	3464	2750

**b. The number of children receiving behavioral health services annually at the Hastings Regional Center;**

- Youth are under age 19 at time of admission
- Youth are discharged from program at age 19
- Time period is by calendar year (January – December).
- 2012\* is through July 1, 2012
- Data was obtained from DHHS Division of Behavioral Health.



**c. The number of state wards receiving behavioral health service as of September 1 immediately preceding the date of the report;**

The DHHS Behavioral Health Division served **74** youth who were state wards receiving behavioral health services paid for through the Behavioral Health Division. DHHS Children and Family Services N-FOCUS system data shows **429** state wards received behavioral health services paid for by the DHHS Division of Children Family Services. DHHS Medicaid Long Term Care Division served **5690** youth who were state wards receiving behavior health services paid for by Nebraska Medicaid. The youth are duplicated, which means a youth could have received services from more than one Department Division.

These services included the following: Addendum to Pretreatment Assessment; Drug and Alcohol Assessment; Bonding and Attachment Assessment; Juvenile Offender Community Based Evaluation; Day Treatment Psychiatric Services; Initial Diagnostic Interview; Inpatient Consultation; Intensive Outpatient Psychiatric Services; Out of Home Treatment Services; Parenting Assessment; Pharmacological Management Services; Prescription Supplies; Pretreatment Assessment; Psychiatric Consultation; Psychiatric Hospital Care; Psychological Assessment; Psychological Testing; Psychotherapy Family Services: Psychotherapy Group Services; Psychotherapy Individual Services; Juvenile Offender Residential Evaluation; Sex Offender Risk Assessment; Special Needs Counseling; and Substance Abuse Evaluation.

**d. Funding sources for children’s behavioral health services for the fiscal year ending on the immediately preceding June 30<sup>th</sup>;**

The funding sources for Children’s Behavioral Health services are:

1. State General Funds;
2. Community Mental Health Community Services Block Grant;
3. Substance Abuse Prevention & Treatment Block Grant; and
4. Nebraska Medicaid and CHIP Programs.

**e. Expenditures in the immediately preceding fiscal year by the division, categorized by category of behavioral health service and by behavioral health region; and**

- Data provided for State Fiscal Year 2012 (July – June).
- Behavioral Health has 6 regions in Nebraska
- A service is the type of work performed and Service Category is Children’s for the purpose of this report.
- The chart breaks out counts by Mental Health (MH) and Substance Abuse (SA).
- Expenditures are the total dollars paid during time period.
- Payments pending or in process are not included.
- Data extract is from Division of Behavioral Health.

Region	Service	Service Category	MH	SA	Total Dollars
1	Outpatient Therapy	Children's	1	0	117,293.42
1	Outpatient Therapy - SA	Children's	0	1	48,200.71
1	Professional Partner	Children's	1	0	293,500.15
1	Professional Partner LB603	Children's	1	0	52,846.22
1	Professional Partner School Wrap	Children's	1	0	94,079.67
1	Youth Transitional Program - Pilot	Children's	1	0	72,772.23
1	Reg. Youth System Coordination	Children's	1	0	24,939.88
1	Reg. Youth System Coordination	Children's	0	1	22332.62
<b>Total:</b>			<b>6</b>	<b>2</b>	<b>725,964.90</b>

LB1160 Report to Health and Human Services Committee

Region	Service	Service Category	MH	SA	Total Dollars
2	(P.L. 100-690) Therapeutic Consultation	Children's	1	0	19,115.19
2	O/P MH Youth (CAG)	Children's	1	0	132,811.27
2	Outpatient Therapy	Children's	1	0	28,721.25
2	Outpatient Therapy - SA (CAG)	Children's	0	1	53,742.34
2	Outpatient Therapy - SA	Children's	0	1	1,035.00
2	Professional Partner	Children's	1	0	325,664.55
2	Professional Partner LB603	Children's	1	0	86,039.77
2	Reg. Youth System Coordination	Children's	1	0	25,285.10
2	Reg. Youth System Coordination	Children's	0	1	14,511.61
<b>Total:</b>			<b>6</b>	<b>3</b>	<b>686,926.08</b>

Region	Service	Service Category	MH	SA	Total Dollars
3	(P.L. 100-690) Service	Children's	1	0	12,812.00
3	(P.L. 102-321) Service	Children's	1	0	46,632.00
3	ERCS Transition - Youth (Emergency)	Children's	1	0	108,958.43
3	Home-Based MH	Children's	1	0	105,014.37
3	Intensive Outpatient - SA	Children's	0	1	37,309.05
3	Medication Management	Children's	1	0	1,642.20
3	Outpatient Therapy	Children's	1	0	135,147.32
3	Outpatient Therapy - SA	Children's	0	1	23,774.81
3	Professional Partner	Children's	1	0	820,996.31
3	Professional Partner LB603	Children's	1	0	88,452.10
3	Supported Employment - Transition Age	Children's	1	0	56,197.54
3	Transition Age PPP	Children's	1	0	104,534.30
3	Reg. Youth System Coordination	Children's	1	0	64,125.00
3	Reg. Youth System Coordination	Children's	0	1	25,990.34
<b>Total:</b>			<b>11</b>	<b>3</b>	<b>1,631,585.77</b>

Region	Service	Service Category	MH	SA	Total Dollars
4	Community Support - SA	Children's	0	1	19,663.56
4	Outpatient Therapy	Children's	1	0	5,356.32
4	Outpatient Therapy - SA	Children's	0	1	1,507.28
4	Professional Partner	Children's	1	0	393,209.79
4	Professional Partner LB603	Children's	1	0	108,554.85
4	Professional Partner School Wrap	Children's	1	0	96,493.20
4	Reg. Youth System Coordination	Children's	1	0	19,595.79
4	Reg. Youth System Coordination	Children's	0	1	18,516.89
<b>Total:</b>			<b>5</b>	<b>3</b>	<b>662,897.68</b>

LB1160 Report to Health and Human Services Committee

Region	Service	Service Category	MH	SA	Total Dollars
5	(P.L. 100-690) Service	Children's	1	0	107,848.10
5	Intensive Outpatient - MH	Children's	1	0	65,785.21
5	Outpatient Therapy	Children's	1	0	424,431.95
5	Outpatient Therapy - SA	Children's	0	1	156,573.33
5	Professional Partner	Children's	1	0	559,174.07
5	Professional Partner - Prevention	Children's	1	0	127,152.95
5	Professional Partner - Transition Age	Children's	1	0	270,231.08
5	Professional Partner LB603	Children's	1	0	109,614.70
5	Youth Assessment - MH	Children's	1	0	219,704.73
5	Youth Assessment - SA	Children's	0	1	93,796.33
5	Reg. Youth System Coordination	Children's	1	0	38,810.67
5	Reg. Youth System Coordination	Children's	0	1	31,594.88
<b>Total:</b>			<b>9</b>	<b>3</b>	<b>2,204,718.00</b>

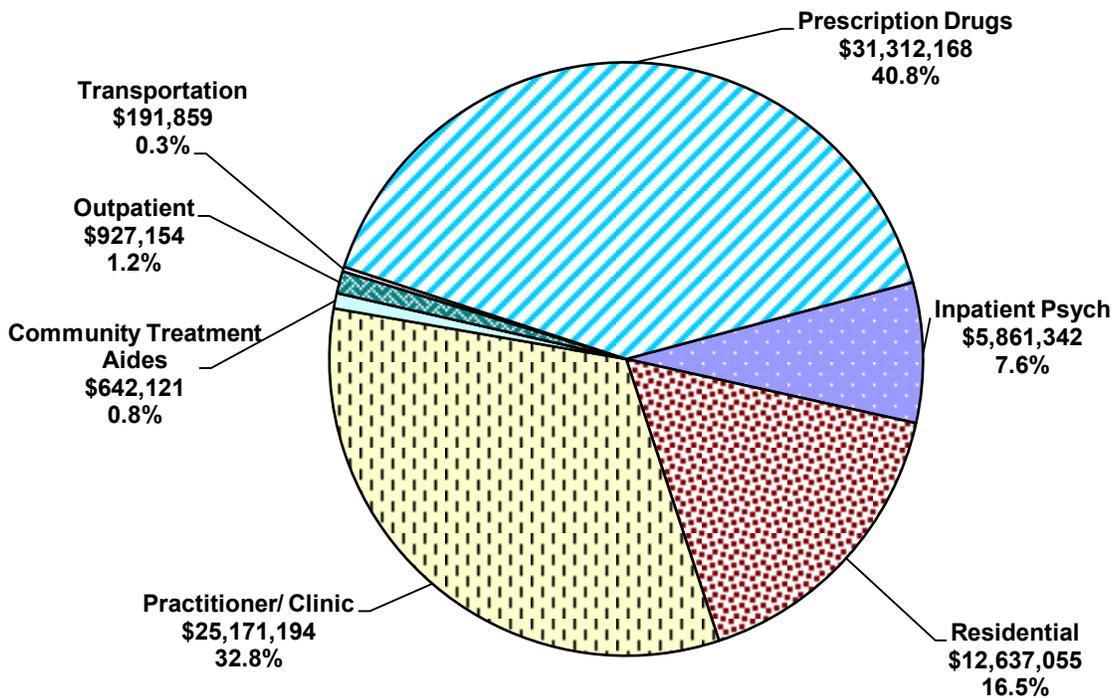
Region	Service	Service Category	MH	SA	Total Dollars
6	Community Support - SA	Children's	0	1	42,458.78
6	Outpatient Therapy	Children's	1	0	26,830.22
6	Professional Partner	Children's	1	0	1,354,121.24
6	Professional Partner LB603 - Crisis Response Teams	Children's	1	0	189,013.73
6	Professional Partner LB603 - Rapid Response	Children's	1	0	325,618.00
6	Respite Care - MH	Children's	1	0	76,765.84
6	Therapeutic Community	Children's	0	1	93,926.88
6	Youth Assessment - MH	Children's	1	0	4,059.67
6	Youth Outpatient at Building Bright Futures	Children's	1	0	119,286.08
6	Youth Outpatient at DCYC	Children's	1	0	145,487.29
6	Youth Outpatient at Sarpy County Justice Center	Children's	1	0	34,672.10
6	Reg. Youth System Coordination	Children's	1	0	72,819.86
6	Reg. Youth System Coordination	Children's	0	1	44,632.15
<b>Total:</b>			<b>10</b>	<b>3</b>	<b>2,529,691.84</b>

<b>Subtotal DBH Funded Children Services Through Regions:</b>					<b>8,441,784.27</b>
<b>Other Division of Behavioral Health Funded Children Services (statewide):</b>					
Family Navigators					826,966.05
Children's Hotline					1,291,426.00
Family Organizations					13,579.10
<b>Subtotal Other Division of Behavioral Health Children Services:</b>					<b>2,131,971.15</b>
<b>Grand Total of Division of Behavioral Health Expenditures for Children Services:</b>					<b>10,573,755.42</b>

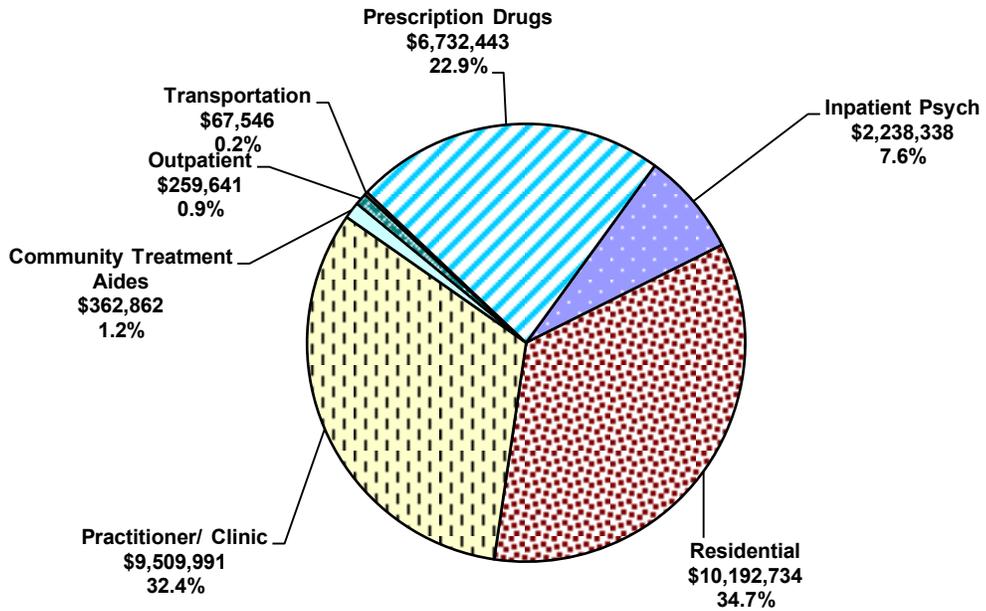
**f. Expenditures in the immediately preceding fiscal year from the medical assistance program and CHIP as defined in section 68-969 for mental health and substance abuse services for all children and for wards of the state.**

- Data provided for State Fiscal Year 2012.
- Data extract is from Division of Medicaid/Long Term Care.
- Data is all expenditures for Children 18 and younger State Ward and Non-Ward followed by the breakout charts of State Ward and Non-Ward populations.

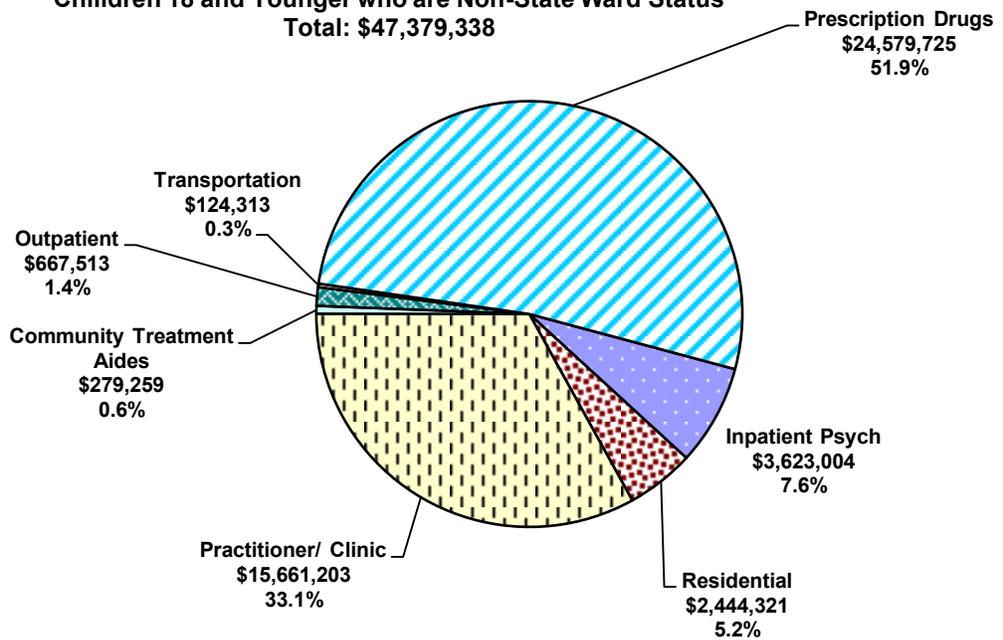
**FY 2012 Nebraska Medicaid/CHIP Expenditures for MHSA Services  
Children 18 and Younger  
Total: \$76,742,893**



**Nebraska Medicaid/CHIP Expenditures for Mental Health Substance Abuse Services  
Children 18 and Younger by State Ward Status  
Total: \$29,363,555**



**Nebraska Medicaid/CHIP Expenditures for Mental Health Substance Abuse Services  
Children 18 and Younger who are Non-State Ward Status  
Total: \$47,379,338**



**4. The following information as obtained for each service area and lead agency or the pilot project:**

**a. Case manager education, including college degree, major and level of education beyond a baccalaureate degree;**

- A Bachelor’s degree is required to be hired as a Children and Family Services Specialist.
- DHHS staff education information is effective December 31, 2011. Nebraska Families Collaborative (NFC) lead agency staff education information is effective June 30, 2012.
- Children and Family Services Division Employee data is broken out by position. These data include the following position classifications: Administrator I; Administrator II; Supervisor; Specialist (Case Manager); and Specialist in Training (Case Manager). Specialist in Training Case Managers is in training status for first six months of employment.
- Nebraska Families Collaborative (Lead Agency) data are the total number of employees with level of education. These data include the following position classifications: Director; Supervisor; and Family Permanency Specialists (Case Managers).

**Department of Health and Human Services  
Children and Family Service Division  
Employees Education Level**

TYPE OF DEGREE	CFS SERVICE DELIVERY ADM I	CFS SERVICE DELIVERY ADM II	CHILD/FAMILY SERVICES SPECIALIST SUPERVISOR	CHILD/FAMILY SERVICES SPECIALIST TRAINEE	CHILD/FAMILY SERVICES SPECIALIST	TOTAL
Associate Degree in Nursing (ADN)	1					1
Associate of Applied Science (AAS)				1	7	8
Associate of Arts (AA)					10	10
Associate of Business Administration (ABA)		1				1
Associate of Fine Arts (AFA)					1	1
Associate of Science (AS)					3	3
Bachelor of Arts (BA)	4	1	28	5	99	137
Bachelor of Fine Arts (BFA)					8	8
Bachelor of Music in Music Education				1		1
Bachelor of Science (BS)	8	2	36	16	166	228
Bachelor of Social Work (BSW)	3		4	1	19	27
High School Diploma					2	2
Juris Doctorate (JD)	1				2	3
Master in Management (MM)	1		1		2	4
Master of Arts (MA)			2	2	21	25
Master of Business Administration (MBA)	1					1
Master of Education (M. Ed)			1		6	7
Master of Fine Arts (MFA)					1	1
Master of Health Administration (MHA)				2		2
Master of Science			3	5	14	22
Master of Social Work (MSW)			2	2	7	11
<b>TOTAL</b>	<b>19</b>	<b>4</b>	<b>77</b>	<b>35</b>	<b>368</b>	<b>503</b>

**Nebraska Families Collaborative  
Employees Education Level**

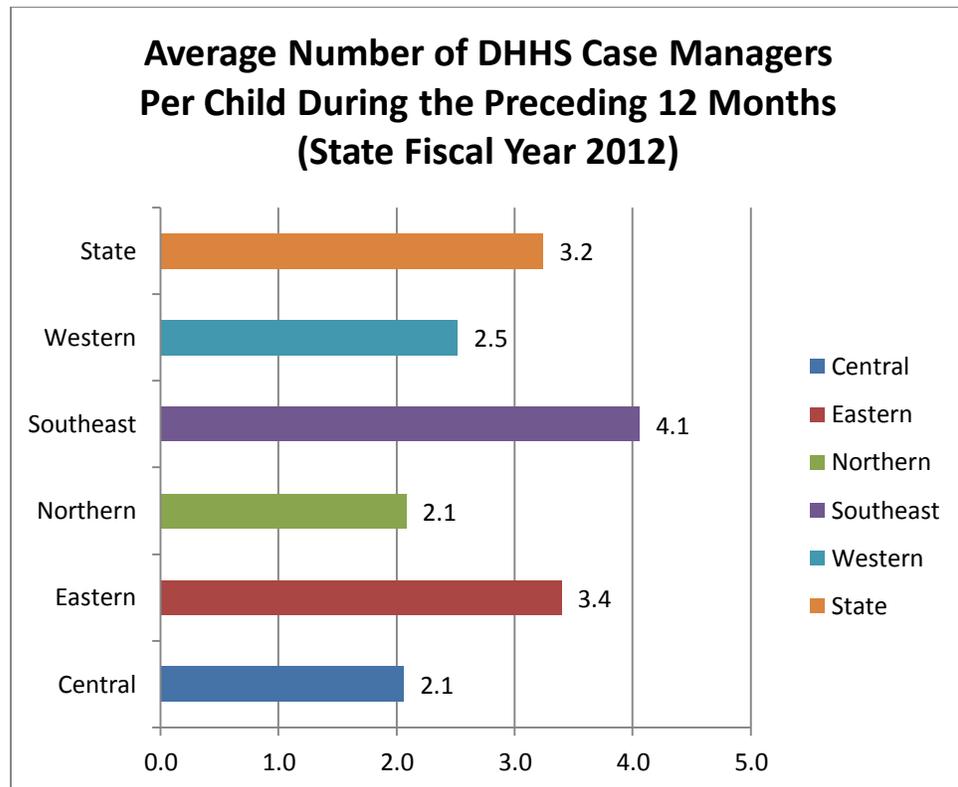
TYPE OF DEGREE	MAJOR AREA OF STUDY	FAMILY PRESERVATION DIRECTOR AND SUPERVISOR	FAMILY PERMANENCY SPECIALIST	TOTAL
Bachelor of Arts/Science/General Studies	Psychology	13	19	32
Bachelor of Arts/Science	Criminal Justice	3	16	19
Bachelor of Arts/Science/General Studies	Human Services	2	11	13
Bachelor of Arts/Science	Social Work	3	10	13
Bachelor of Arts/Science/General Studies	Sociology	1	6	7
Bachelor of Arts/General Studies	General Studies		4	4
Bachelor of Arts/Science	Art	1	3	4
Bachelor of Science	Behavioral Science		3	3
Bachelor of Arts/Science/General Studies	Business Administration		3	3
Bachelor of Science	Family Studies		3	3
Bachelor of Science	Bible		2	2
Bachelor of Arts	English		2	2
Bachelor of Science	Child Development	1	1	2
Bachelor of Arts	Communications	1	1	2
Bachelor of Science	Consumer Sci. & Merchandising		1	1
Bachelor of Science	Counseling		1	1
Bachelor of Arts	Education		1	1
Bachelor of Science	Family and Youth Services		1	1
Bachelor of Arts	History		1	1
Bachelor of Science	Leadership		1	1
Bachelor of Arts	Liberal Arts		1	1
Bachelor of Arts	Ministry		1	1
Master of Arts/Science	Human Services	4	3	7
Master of Social Work	Social Work	5	1	6
Master of Science/Public Administration	Criminal Justice	4		4
Master of Arts/Science	Counseling	2	1	3
Master of Arts/Science	Psychology	3		3
Master of Public Administration	Public Administration	3		3
Master of Science/Public Health	Mental Health Counseling		2	2
Master of Social Work	Education	1		1
Master of Arts	Elementary Education		1	1
Master of Arts	Family Studies	1		1
Master of Science	Managerial Science		1	1
Master of Arts	Special Education		1	1
Master of Arts	Teaching		1	1
Master of Science	Urban Studies		1	1
<b>TOTAL</b>		<b>48</b>	<b>104</b>	<b>152</b>

**b. Average caseload per case manager;**  
See Attachment A. 2011 Caseload Report

**c. Average number of case managers per child during the preceding twelve months;**

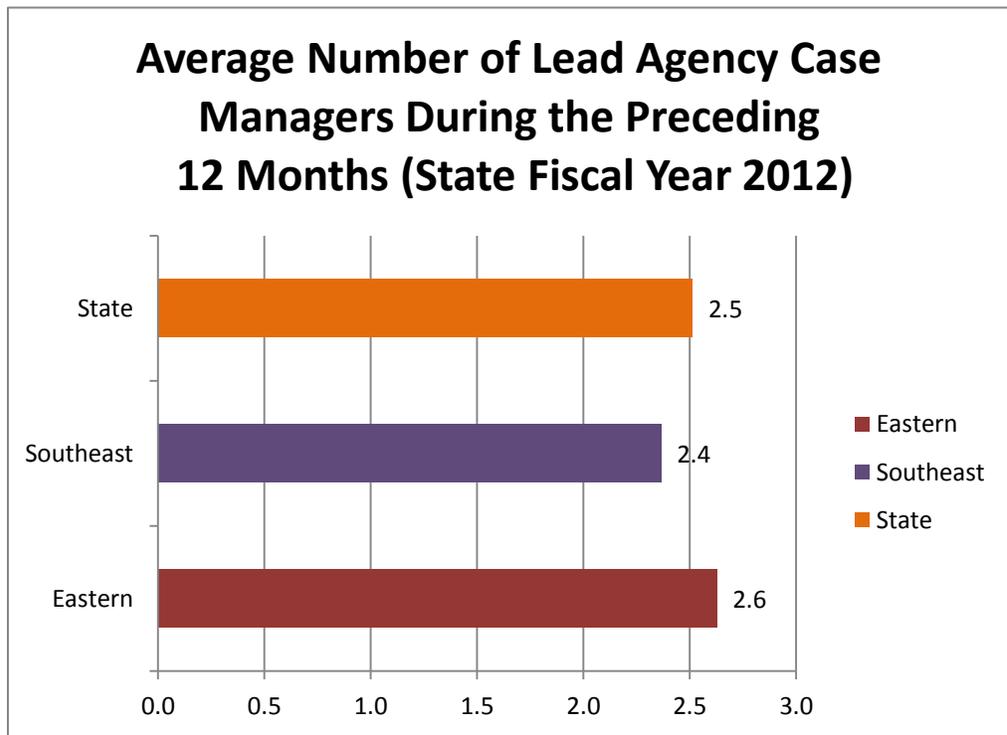
**DHHS average number of case managers**

- Time period is State Fiscal Year 2012 (July-June).
- This measure includes all youth who were active participants with Children and Family Services cases on June 30, 2012.
- In the Southeast and Eastern Service Areas, if an employee transferred their employment from DHHS to a Lead Agency or from a Lead Agency to DHHS, they would be counted in both the DHHS and Lead Agency case manager counts.
- For the Southeast and Eastern Service Areas, the DHHS Case Manager count includes workers who conduct Initial Assessments, Children and Family Services Outcome Monitors, and CFS Specialists.
- Cases that do not progress beyond the initial assessment phase are not counted in this average.
- KVC functioned as a Lead Agency in the Eastern and Southeast Service Areas until February 29, 2012.
- DHHS Wards, OJS Wards and children receiving voluntary/non-court services are included in these charts and tables.
- The Lead Agency Case Manager chart and table includes Southeast Service Area due to KVC serving as the Lead Agency until February 29, 2012.
- The Lead Agency chart includes: DHHS Wards, OJS Ward and children receiving voluntary/non-court services.



**Lead Agency average number of case managers**

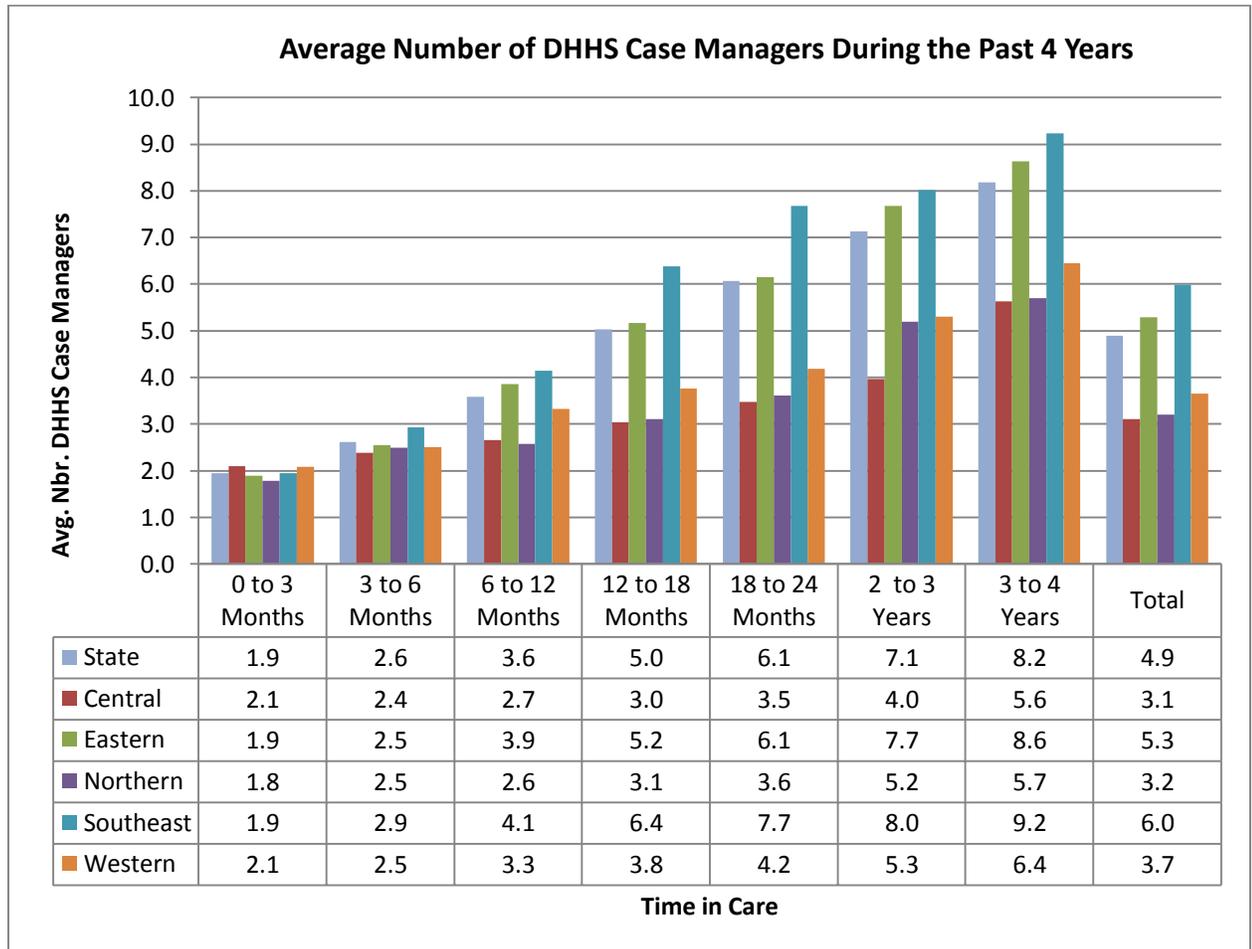
- Time period is State Fiscal Year 2012 (July-June).
- This measure includes all youth who were active participant with Children and Family Services cases on June 30, 2012.
- In the Southeast and Eastern Service Areas, if an employee transferred their employment from DHHS to a Lead Agency or from a Lead Agency to DHHS, they would be counted in both the DHHS and Lead Agency case manager counts.
- Cases that do not progress beyond the initial assessment phase are not counted in this average.
- KVC functioned as a Lead Agency in the Eastern and Southeast Service Areas until February 29, 2012.
- HHS Wards, OJS Wards and children receiving voluntary/non-court services are included in these charts and tables.
- The Lead Agency Case Manager chart and table includes Southeast Service Area due to KVC serving as the Lead Agency until February 29, 2012.
- Chart includes: DHHS Wards, OJS Wards and children receiving voluntary/non-court services.



- d. **Average number of case managers per child for children who have been in the child welfare system for three months, six months, for twelve months and for eighteen months and the consecutive yearly average for children until the age of majority or permanency is attained;**

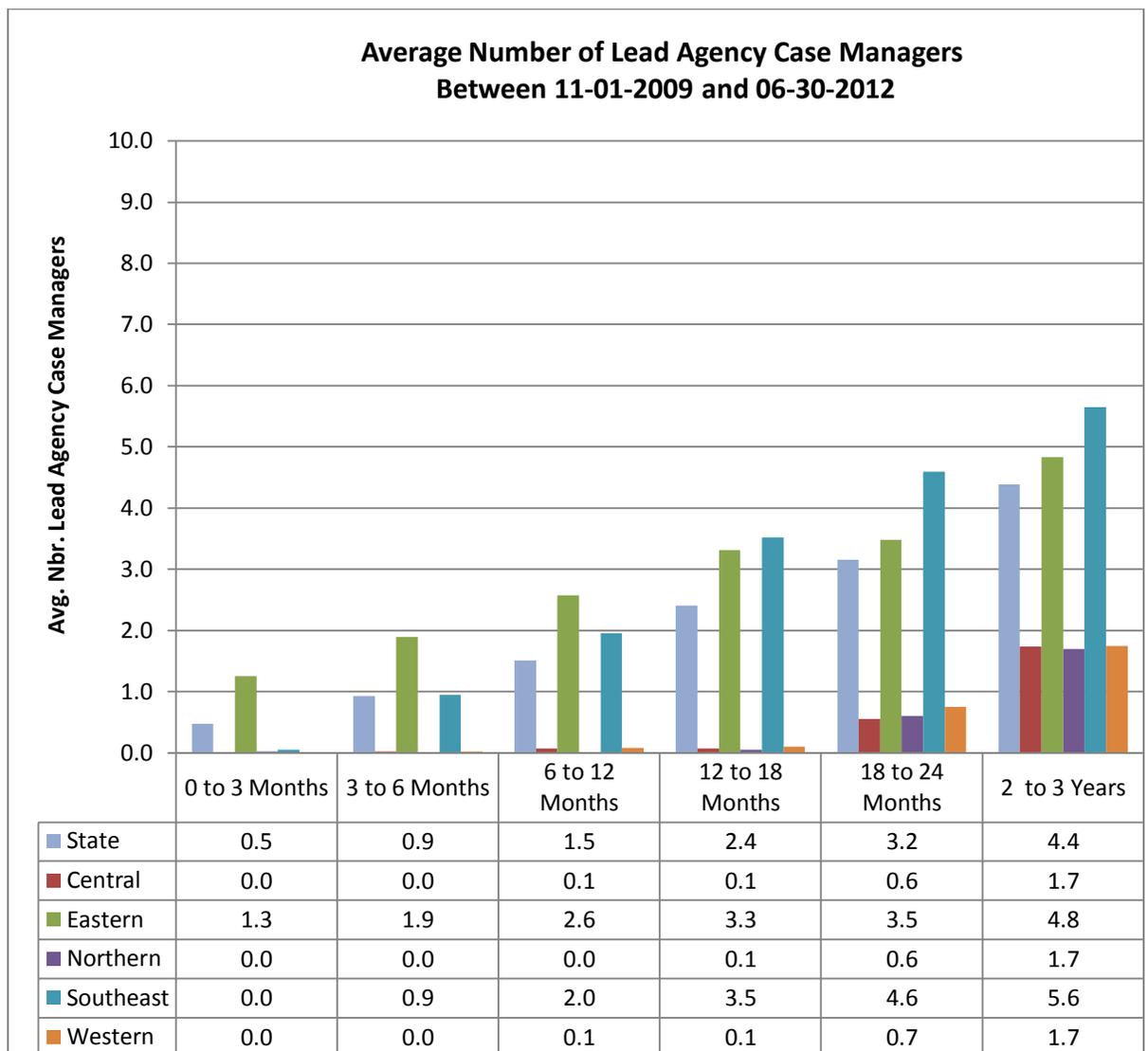
**Average number of DHHS Case Managers**

- These data utilize June 30, 2012, as the end point to determine each time frame.
- Case Manager case assignment data is the last four years.
- The DHHS Case Manager count includes Case Managers who conduct Initial Assessments and Ongoing Case Managers. In many areas of the state, different workers are assigned for the Initial Assessment and Ongoing phases of the case. In those areas, a transfer from Initial Assessment to Ongoing shows as two case managers early in the life of the case. Other areas of the state have workers who conduct both initial assessments and perform ongoing case management.
- For the Southeast and Eastern Service Areas, the DHHS Case Manager count includes workers who conduct Initial Assessments, Children and Family Services Outcome Monitors, and CFS Specialists.
- Cases that do not progress beyond the initial assessment phase are excluded.
- DHHS Wards, OJS Wards and children receiving voluntary/non-court services are included in these charts and tables.



**Average number of Lead Agency Case Managers**

- These data utilizes June 30, 2012 as the end point to determine each time frame.
- Lead Agency Contracts started in November 2009.
- Cases that do not progress beyond the initial assessment phase are excluded.
- DHHS Wards, OJS Wards and children receiving voluntary/non-court services are included.
- In the Central, Northern and Western Service Areas, Boys and Girls functioned as a Lead Agency through September 30, 2010 when DHHS resumed case management.
- In the Southeast Service Area, Visinet functioned as a Lead Agency until April 2010. DHHS provided case management from April through June 30, 2010. KVC functioned as a Lead Agency for the entire service area from July 1, 2010 to February 29, 2012. On March 1, 2012, all case management reverted to DHHS.
- In the Eastern Service Area, Visinet functioned as a Lead Agency until April 2010. DHHS provided case management from April through September 30, 2011. NFC functioned as a Lead Agency for two thirds of the service area from October 1, 2011 to February 29, 2012. On March 1, 2012, NFC began providing case management to the entire service area.



**e. Monthly case manager turnover;**

See Attachment A. 2011 Caseload Report

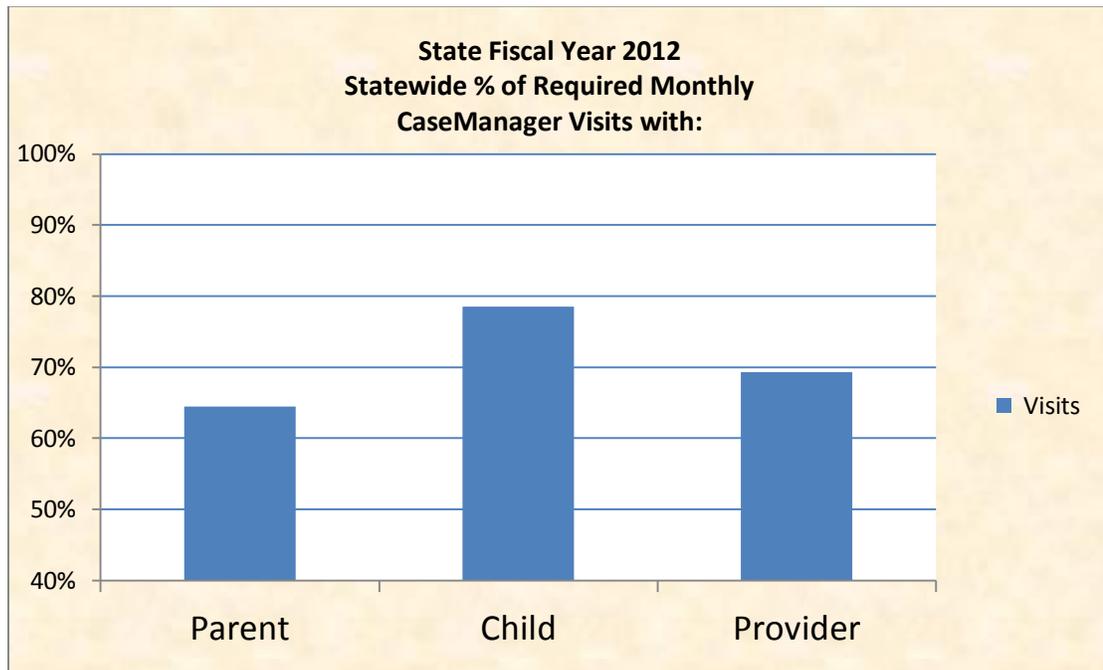
**f. Monthly face-to-face contacts between each case manager and the children on his or her caseload;**

- Time period is State Fiscal Year 2012 (July – June).
- Percentage of monthly visits completed during time period.
- Chart 1 is statewide percentage of monthly visits with parent, child, and provider.
- Chart 2 is percentage of monthly visits by Service Area with parent, child and provider.
- The outcome goal is currently set at 90% of all visits.
- See Chart 1 and 2.
- Data extract is from DHHS N-FOCUS system.

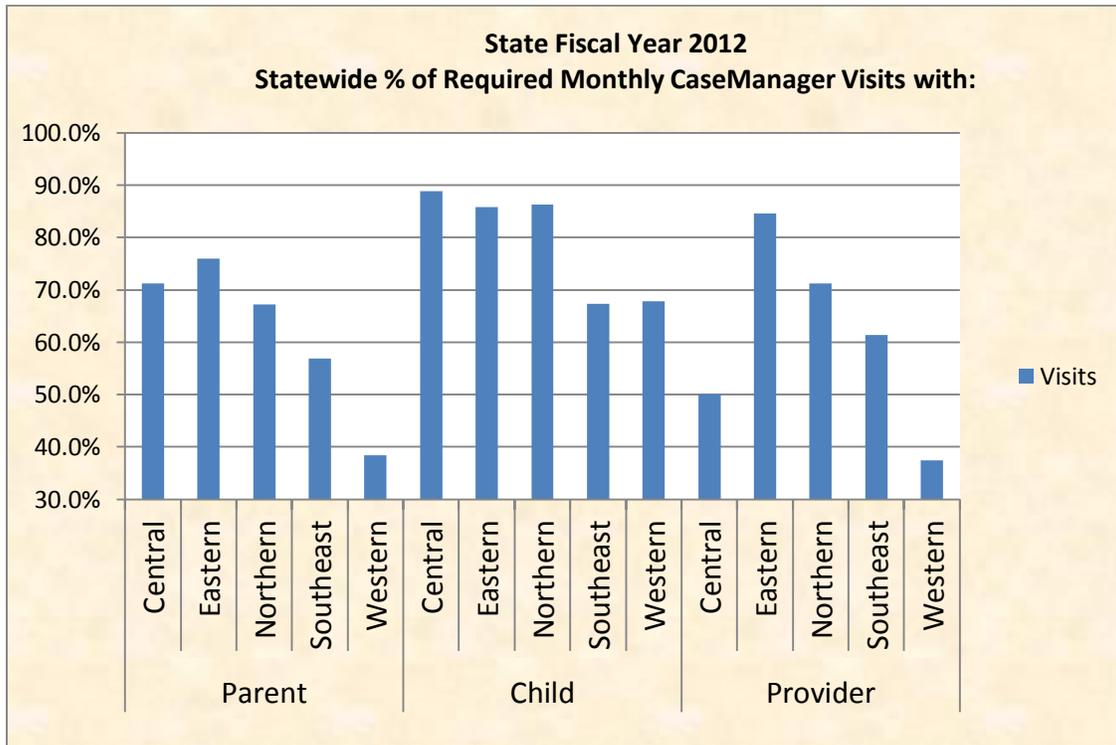
**g. Monthly face-to-face contacts between each case manager and the parent or parents of the children on his or her caseload;**

- Time period is State Fiscal Year 2012 (July – June).
- Percentage of monthly visits completed during time period.
- Chart 1 is statewide percentage of monthly visits with parent, child, and provider.
- Chart 2 is percentage of monthly visits by Service Area with parent, child and provider.
- The outcome goal is currently set at 90% for all visits.
- Data extract is from DHHS N-FOCUS system.

**Chart 1: Statewide Percentage of monthly visits with parent, child and provider**

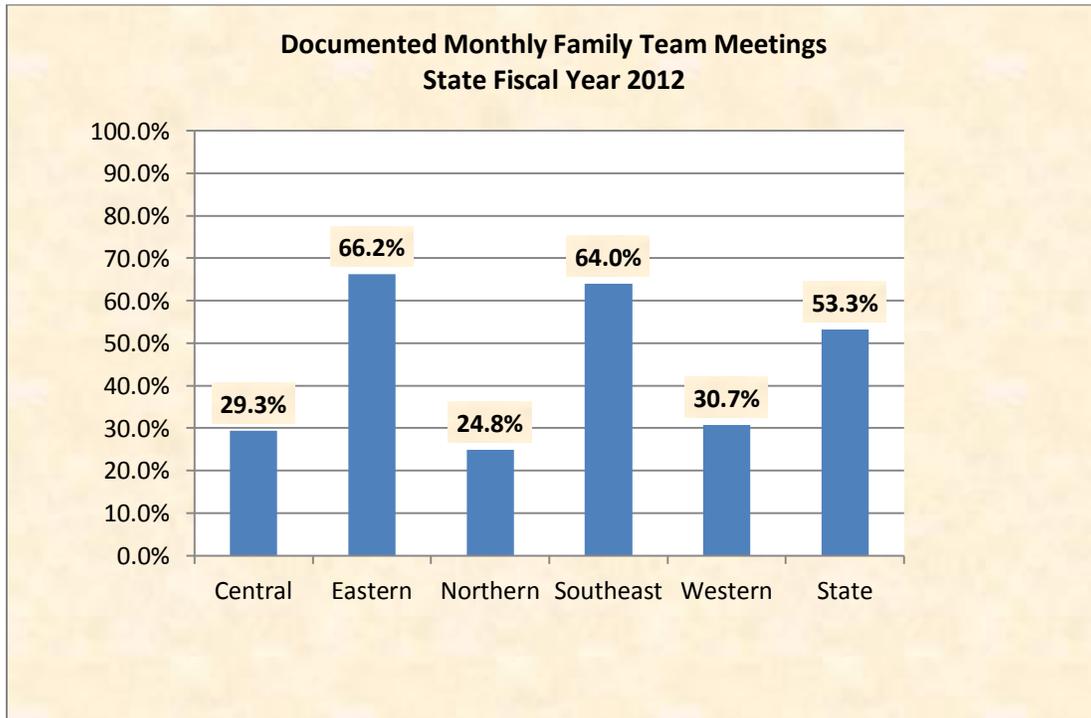


**Chart 2: Percentage of visits with parent, child and provider by Service Area**



**h. Case documentation of monthly consecutive team meetings per quarter;**

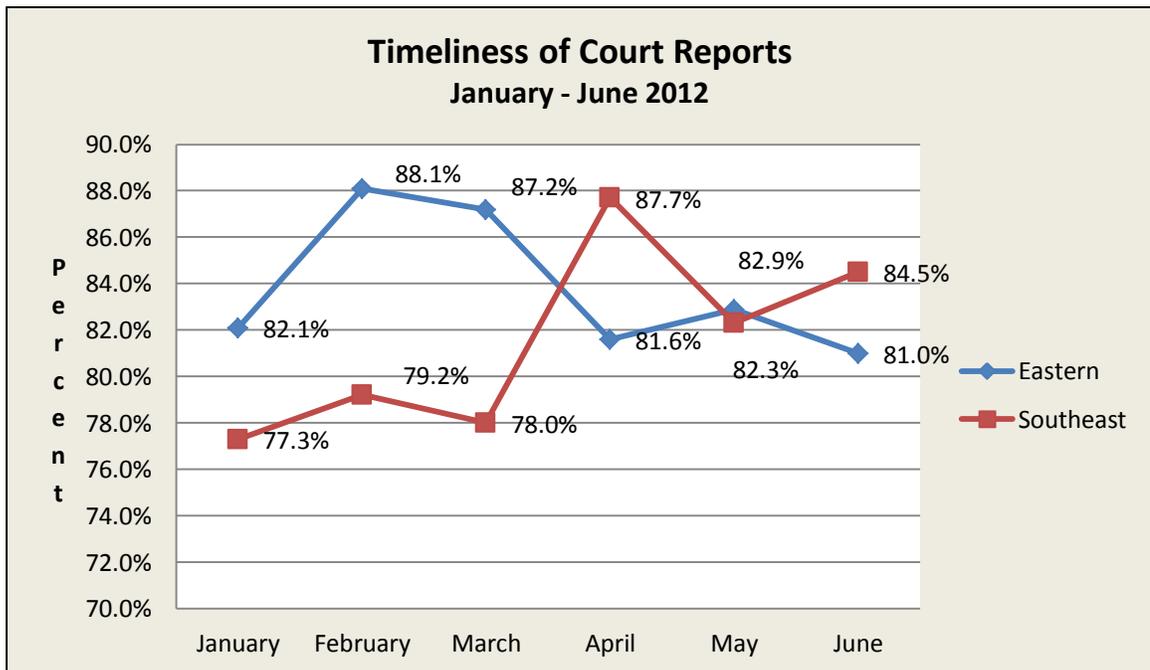
- Family team meetings documented on N-FOCUS system.
- Data provided for State Fiscal Year 2012 Data (July – June).
- Data extract is from DHHS N-FOCUS system.



- i. Case documentation of monthly consecutive parent contacts per quarter;**
  - Consecutive data not available. For available data see Charts 1 and 2.
- j. Case documentation of monthly consecutive child contacts with case manager per quarter;**
  - Consecutive data not available. For available data see Charts 1 and 2.
- k. Case documentation of monthly consecutive contacts between child welfare service providers and case managers per quarter;**
  - See Charts 1 and 2 for data.

**I. Timeliness of court reports; and**

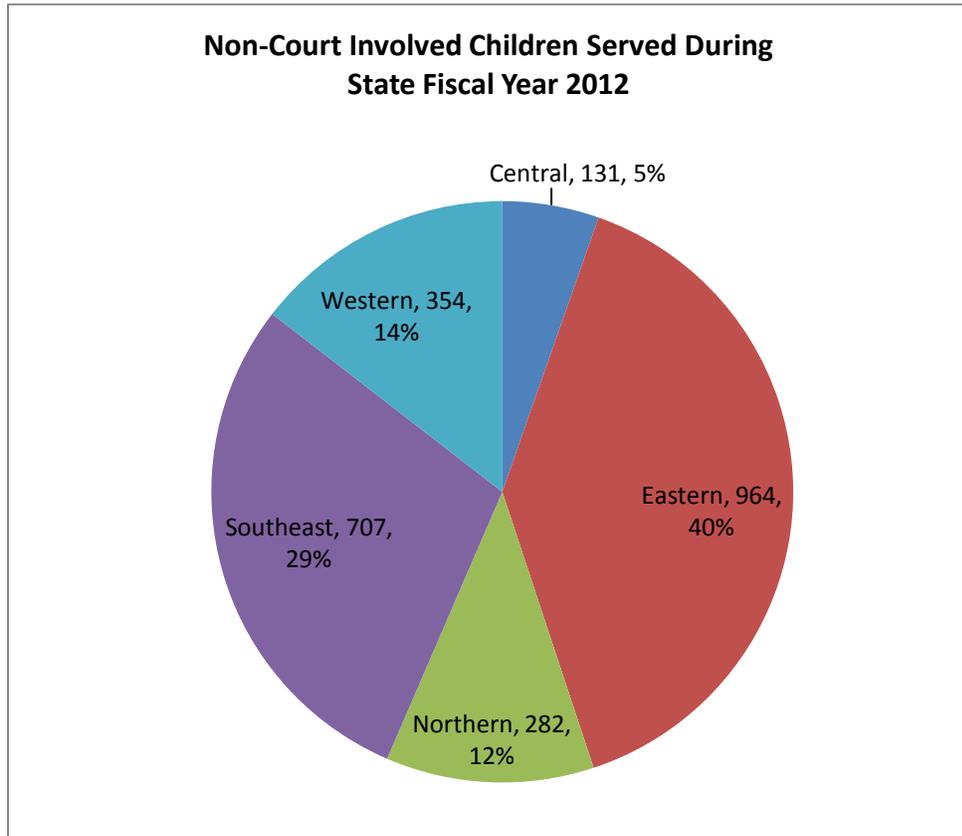
- The following charts show timeliness of court reports from January 1 to June 30, 2012 for the Eastern and Southeast Service Areas.
- The data measure the percentage of time the court report is submitted within the required number of days set per court jurisdiction (Example: Douglas County Court is 3 calendar days prior to hearing).
- Full court report means the entire report which includes the case plan was submitted. Timeliness of addendums is not included.
- The data are collected as a part of the Department's quality control case review process that exists in Eastern and Southeast Service areas only.
- Currently, N-FOCUS data are not available for the date the court report is submitted to the court. These data fields will be added in November 2012 so future reports will reflect statewide information.



m. **Non-court-involved children including the number of children served, the types of services requested, the specific services provided, the cost of the services provided and the funding source.**

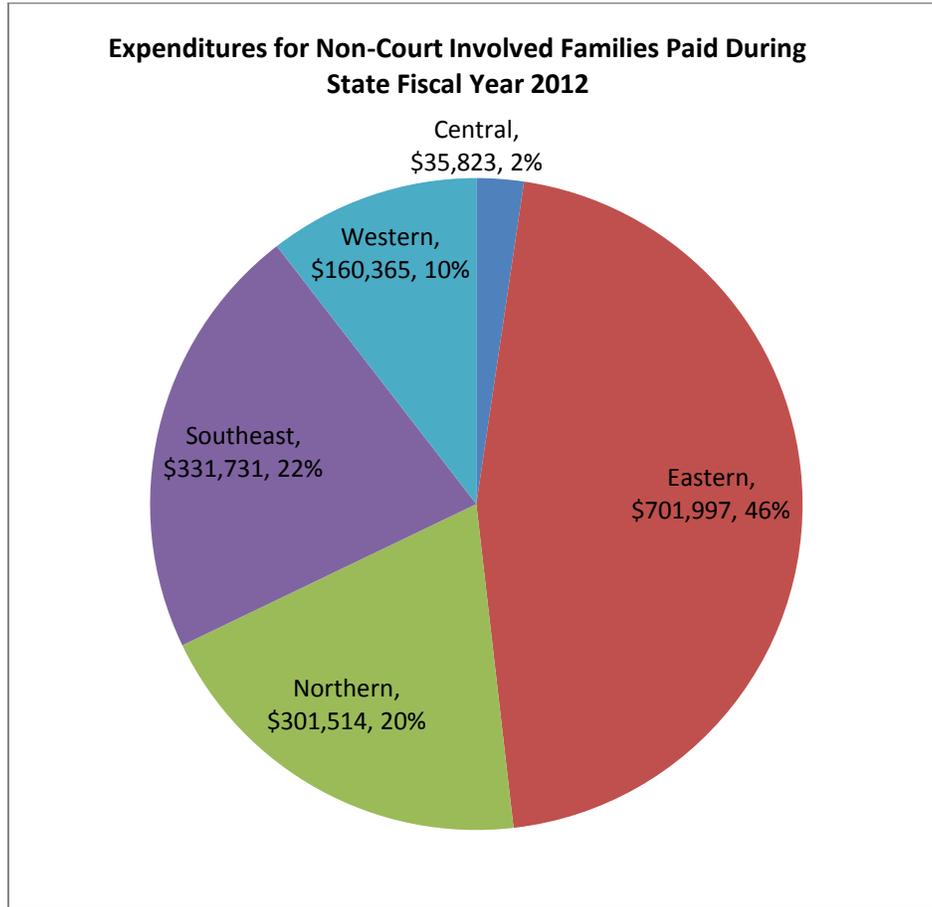
**Non-court-involved children**

- Data provided for State Fiscal Year 2012 data (July - June).
- Youth included are: Youth on Voluntary Placement Agreements, Non-Court Involved Children and Youth on Police Holds.
- Data extract is DHHS N-FOCUS system.



**Expenditures for Non-Court Involved Children**

- Data provided for State Fiscal Year 2012 data (July - June).
- Expenditure data are expenses paid and logged on N-FOCUS system as of June 30, 2012.
- Outstanding expenditures not paid are not included.
- Expenditures include services provided to the parents of non-court involved children. These services are provided to benefit the children.
- Nebraska Medicaid and CHIP claims are not included.



**Services provided to Non-Court Families with expenditures**

- Data provided for State Fiscal Year 2012 (July – June).
- Services are those entered into the N-FOCUS System.
- Nebraska Medicaid and CHIP claims are not included.

**Services Provided to  
Non-Court Families with Expenditures  
Paid in State Fiscal Year 2012**

AGENCY SUPPORTED FC	\$409,558.45	MOTOR VEHICLE PRIVATE	\$1,523.47
FAMILY SUPPORT SERVICES	\$400,428.50	PSYCHOLOGICAL TESTING	\$1,274.09
VISIT SUPERVISION/MONITOR	\$299,250.00	RENT	\$1,118.00
INTENSIVE FAMILY PRESERVE	\$101,944.64	INTERPRETER	\$1,090.00
RESIDENTIAL SAFETY SVC	\$71,655.00	PSYCH CONSULTATION	\$985.00
DRUG SCREENING TESTING	\$67,351.00	FAMILY ENGAGEMENT	\$980.00
OUT OF HM MAINTENANCE	\$35,421.14	RESPIRE CARE	\$974.75
SPECIAL SRVCS OHC REFORM	\$32,710.50	SPECIAL NEEDS COUNSELING	\$844.00
IN HOME SAFETY	\$19,840.50	HOUSEHOLD SUPPLIES	\$749.35
TRACKER SERVICES	\$19,209.00	NATURAL GAS	\$740.00
ASSMNT DRUG ALCOHOL	\$12,254.10	INITIAL DIAGNOSTIC INTVW	\$627.95
TRANS COMMERCIAL	\$7,969.54	OJS HM BASED SUPERVISION	\$546.00
GROUP HOME CARE	\$7,010.00	ELECTRIC	\$475.00
INPATIENT CONSULTATION	\$5,519.28	PEST EXTERMINATION	\$435.00
PARENT EDUCATION	\$4,523.00	PSYCHOTHERAPY FAMILY	\$353.42
LEGAL FEES	\$3,480.00	PSYCHOLOGICAL ASSESSMENT	\$292.46
DETENTION	\$2,970.00	MOTEL/HOTEL	\$284.67
MOTOR VEHICLE GAS	\$2,651.58	FURNITURE	\$180.00
FP RESPIRE CARE	\$2,216.05	OFF EXAM TRTMT	\$160.13
EMERGENCY FOSTER CARE	\$2,139.00	UTILITY DEPOSIT	\$118.23
CLOTHING	\$2,038.20	MOTOR VEHICLE LICENSE FEE	\$95.00
PRETREATMENT ASSESSMENT	\$2,029.64	TRANS FAMILY VISITATION	\$65.00
GROCERY/MEAL PURCHASE	\$1,936.36	ESCORT	\$59.14
SUBSTANCE ABUSE EVAL	\$1,676.04	PERSONAL NEEDS	\$43.99
PSYCHOTHERAPY INDIVIDUAL	\$1,633.88	<b>Grand Total</b>	<b>\$1,531,430.05</b>

**Services and Funding Sources of Non-Court Families**

- Data provided for State Fiscal Year 2012 (July – June).
- Services are those entered into the N-FOCUS System.
- Nebraska Medicaid and CHIP claims are not included.

<b>Services and Funding Source for Non-Court Families with Services Paid During State Fiscal Year 2012</b>				
<b>Service Type</b>	<b>IV-E: Federal and State 347-030</b>	<b>Child Welfare: State 347-048</b>	<b>Office of Juvenile Services: State 345-010</b>	<b>Total</b>
AGENCY SUPPORTED FC	\$50,651.20	\$358,907.25	\$0.00	\$409,558.45
FAMILY SUPPORT SERVICES	\$0.00	\$400,428.50	\$0.00	\$400,428.50
VISIT SUPERVISION/MONITOR	\$0.00	\$299,250.00	\$0.00	\$299,250.00
INTENSIVE FAMILY PRESERVE	\$0.00	\$101,944.64	\$0.00	\$101,944.64
RESIDENTIAL SAFETY SVC	\$2,721.00	\$68,934.00	\$0.00	\$71,655.00
DRUG SCREENING TESTING	\$0.00	\$67,351.00	\$0.00	\$67,351.00
OUT OF HM MAINTENANCE	\$5,017.26	\$30,403.88	\$0.00	\$35,421.14
SPECIAL SRVCS OHC REFORM	\$0.00	\$32,710.50	\$0.00	\$32,710.50
IN HOME SAFETY	\$0.00	\$19,840.50	\$0.00	\$19,840.50
TRACKER SERVICES	\$0.00	\$19,209.00	\$0.00	\$19,209.00
ASSMNT DRUG ALCOHOL	\$0.00	\$12,254.10	\$0.00	\$12,254.10
TRANS COMMERCIAL	\$0.00	\$7,969.54	\$0.00	\$7,969.54
GROUP HOME CARE	\$3,600.00	\$3,410.00	\$0.00	\$7,010.00
INPATIENT CONSULTATION	\$0.00	\$5,519.28	\$0.00	\$5,519.28
PARENT EDUCATION	\$0.00	\$4,523.00	\$0.00	\$4,523.00
LEGAL FEES	\$0.00	\$3,480.00	\$0.00	\$3,480.00
DETENTION	\$0.00	\$1,530.00	\$1,440.00	\$2,970.00
MOTOR VEHICLE GAS	\$0.00	\$2,651.58	\$0.00	\$2,651.58
FP RESPITE CARE	\$0.00	\$2,216.05	\$0.00	\$2,216.05
EMERGENCY FOSTER CARE	\$483.00	\$1,656.00	\$0.00	\$2,139.00
CLOTHING	\$0.00	\$2,038.20	\$0.00	\$2,038.20
PRETREATMENT ASSESSMENT	\$0.00	\$2,029.64	\$0.00	\$2,029.64
GROCERY/MEAL PURCHASE	\$0.00	\$1,936.36	\$0.00	\$1,936.36
SUBSTANCE ABUSE EVAL	\$0.00	\$1,676.04	\$0.00	\$1,676.04
PSYCHOTHERAPY INDIVIDUAL	\$0.00	\$1,633.88	\$0.00	\$1,633.88
MOTOR VEHICLE PRIVATE	\$0.00	\$1,523.47	\$0.00	\$1,523.47
PSYCHOLOGICAL TESTING	\$0.00	\$1,274.09	\$0.00	\$1,274.09
RENT	\$0.00	\$1,118.00	\$0.00	\$1,118.00
INTERPRETER	\$0.00	\$1,090.00	\$0.00	\$1,090.00
PSYCH CONSULTATION	\$0.00	\$985.00	\$0.00	\$985.00
FAMILY ENGAGEMENT	\$0.00	\$980.00	\$0.00	\$980.00
RESPITE CARE	\$0.00	\$974.75	\$0.00	\$974.75
SPECIAL NEEDS COUNSELING	\$0.00	\$844.00	\$0.00	\$844.00
HOUSEHOLD SUPPLIES	\$0.00	\$749.35	\$0.00	\$749.35

Service Type	IV-E: Federal and State 347-030	Child Welfare: State 347-048	Office of Juvenile Services: State 345-010	Total
NATURAL GAS	\$0.00	\$740.00	\$0.00	\$740.00
INITIAL DIAGNOSTIC INTVW	\$0.00	\$627.95	\$0.00	\$627.95
OJS HM BASED SUPERVISION	\$0.00	\$546.00	\$0.00	\$546.00
ELECTRIC	\$0.00	\$475.00	\$0.00	\$475.00
PEST EXTERMINATION	\$0.00	\$435.00	\$0.00	\$435.00
PSYCHOTHERAPY FAMILY	\$0.00	\$353.42	\$0.00	\$353.42
PSYCHOLOGICAL ASSESSMENT	\$0.00	\$292.46	\$0.00	\$292.46
MOTEL/HOTEL	\$0.00	\$284.67	\$0.00	\$284.67
FURNITURE	\$0.00	\$180.00	\$0.00	\$180.00
OFF EXAM TRTMT	\$0.00	\$160.13	\$0.00	\$160.13
UTILITY DEPOSIT	\$0.00	\$118.23	\$0.00	\$118.23
MOTOR VEHICLE LICENSE FEE	\$0.00	\$95.00	\$0.00	\$95.00
TRANS FAMILY VISITATION	\$0.00	\$65.00	\$0.00	\$65.00
ESCORT	\$0.00	\$59.14	\$0.00	\$59.14
PERSONAL NEEDS	\$0.00	\$43.99	\$0.00	\$43.99
<b>Grand Total</b>	<b>\$62,472.46</b>	<b>\$1,467,517.59</b>	<b>\$1,440.00</b>	<b>\$1,531,430.05</b>

5. All placements in residential treatment settings made or paid for by the child welfare system, the Office of Juvenile Services, the State Department of Education or local education agencies, any lead agency or the pilot project through letters of agreement, and the medical assistance program including, but not limited to:

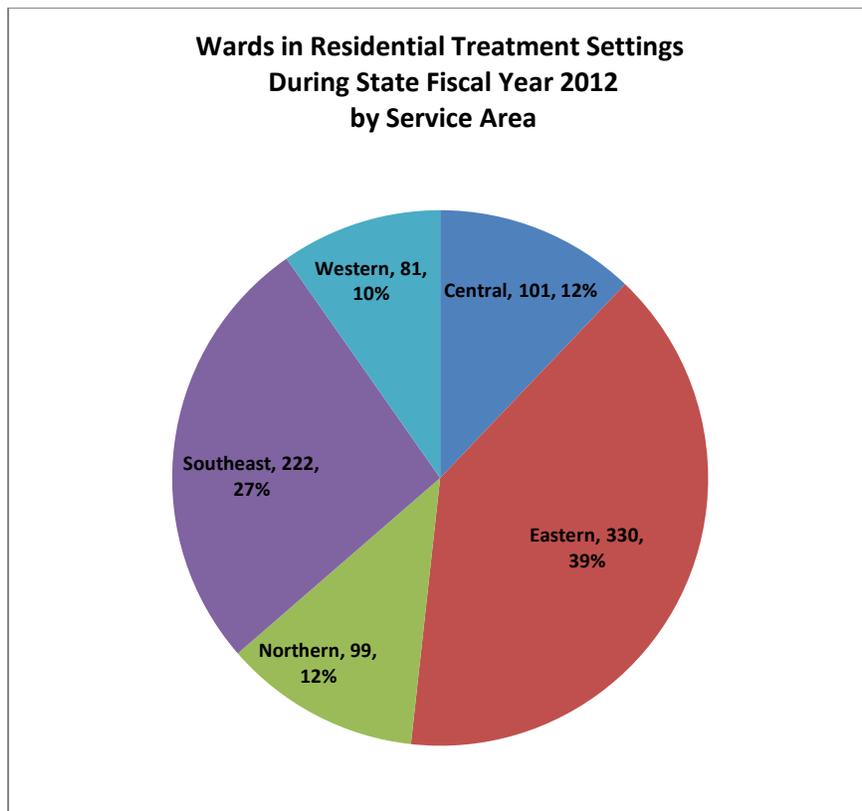
Residential treatment settings include the following facility types:

- 1) Enhanced Treatment Group Home
- 2) Group Home Treatment
- 3) Mental Health Facility
- 4) Psychiatric Residential Treatment Facility
- 5) Psychiatric Hospital
- 6) Therapeutic Group Home

a. Child variables;

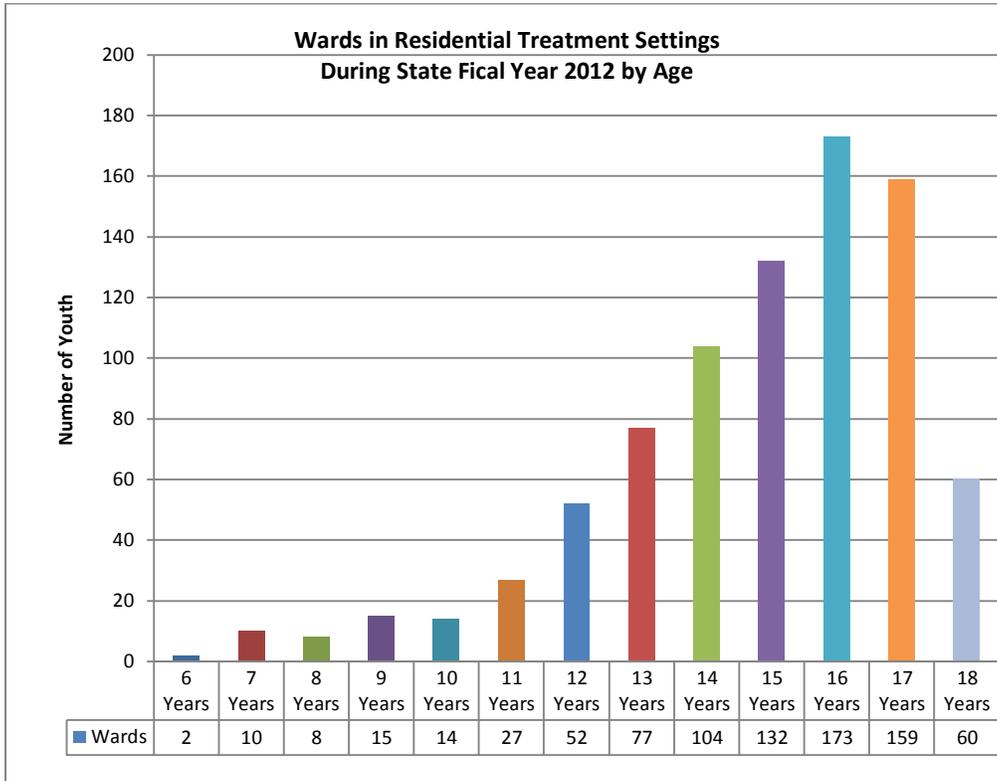
**Wards in Residential Treatment Settings by Service Area**

- Data provided for State Fiscal Year 2012 (July – June).
- Data extract is DHHS N-FOCUS system.
- Number of Wards is an unduplicated count.



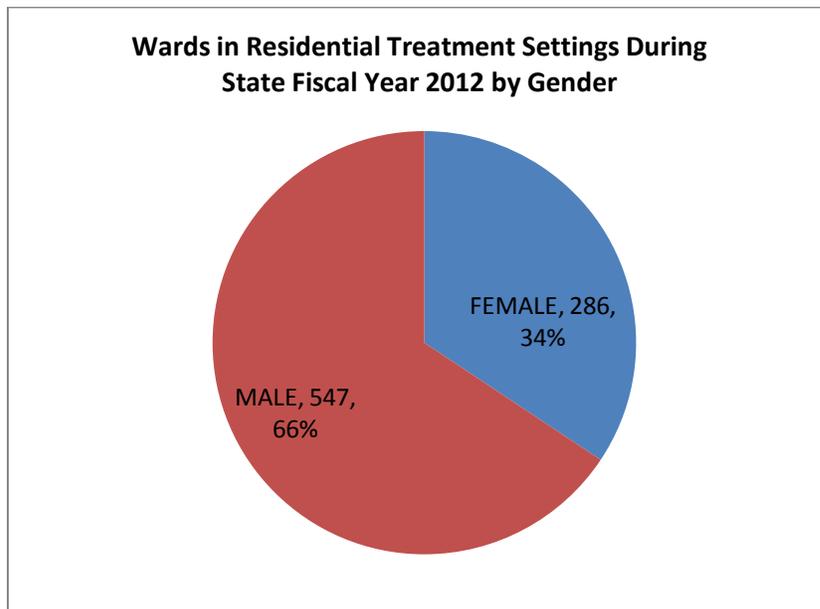
**Wards in Residential Treatment Settings by Age**

- Data provided for State Fiscal Year 2012 (July – June).
- Data extract is DHHS N-FOCUS system.



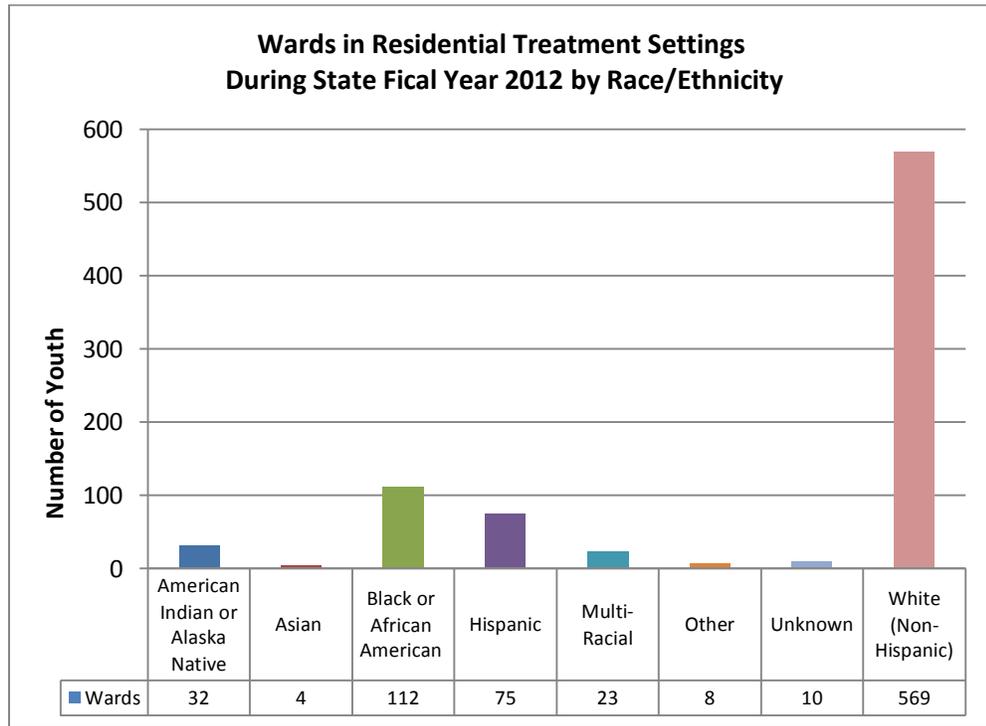
**Wards in Residential Treatment Settings by Gender**

- Data provided for State Fiscal Year 2012 (July – June).
- Data extract is DHHS N-FOCUS system.



**Wards in Residential Treatment Settings by Race/Ethnicity**

- Data provided for State Fiscal Year 2012 (July – June).
- Data extract is DHHS N-FOCUS system.



**b. Reasons for placement;**

A sample list of reasons for out of home placements in residential treatment centers, group homes and other facilities includes:

1. Mental Health
2. Drug and Alcohol Treatment
3. Nursing Home level of care required to handle physical condition
4. Supervision and structure needed that wasn't available in community
5. Lower IQ Child needing sexual offender treatment
6. Transitional Living Group Home not offered in community
7. Sex offender treatment
8. Sexual Victim treatment
9. Facility that can handle aggressive/assaultive behavior
10. Behavior and Developmental Disability needs

**c. The percentage of children denied Medicaid-reimbursed services and denied the level of placement requested;**

- Data provided for State Fiscal Year 2012 (July- June)
- Data obtained from DHHS Medicaid Long Term Care Division
- Data population is all Medicaid youth applications for treatment were submitted.
- Data is not limited to State Wards only.
- PRTF is Psychiatric Residential Treatment Facility.
- ThGH is Therapeutic Group Home.

Level of Facility	Requests for Medicaid Placement	Medicaid Approved	Medicaid Denied	% Medicaid Denied
PRTF	435	225	210	48%
ThGH	204	75	129	63%
Total	639	300	339	53%

**Children denied Psychiatric Residential Treatment Facility (PRTF) or Treatment Group Home (ThGH) but were approved and placed in the other level of care either PRTF or THGH.**

- Data provided for State Fiscal Year 2012 (July- June).
- Data contains claims paid by Medicaid/CHIP program.
- Data obtained from DHHS Division of Medicaid Long Term Care.
- Data is not limited to State Ward population.

Person ID	Denied Date	Provider ID and Name	Min Service Date	Max Service Date	Provider Type
10iPXUcf4h1	10/04/2011	EPWORTH VILLAGE-BLATCHFORD TGH	03/01/2012	03/28/2012	Therapeutic Group Home (ThGH)
1b31e2IW4TP	07/28/2011	EPWORTH VLLG-MILLS & DINSDALE	08/01/2011	08/17/2011	Psychiatric Residential Treatment Facility (PRTF)
1b3hAwtfZhP	09/14/2011	NOVA TREATMENT COMMUNITY INC	09/22/2011	11/01/2011	Psychiatric Residential Treatment Facility (PRTF)
1b3hfUXEht	09/16/2011	SEWARD FSC- TGH	01/18/2012	05/01/2012	Therapeutic Group Home (ThGH)
1b3PXhXE6hl	04/11/2012	EPWORTH VILLAGE-BLATCHFORD TGH	05/04/2012	06/30/2012	Therapeutic Group Home (ThGH)
1b3Ti2aftT1	07/07/2011	BOYS & GIRLS HOME-PRTF LOGAN	07/10/2011	08/01/2011	Psychiatric Residential Treatment Facility (PRTF)
1bigAYtv6TP	01/25/2012	BOYS & GIRLS HOME-PRTF NIOBRA	02/02/2012	02/02/2012	Psychiatric Residential Treatment Facility (PRTF)
1bJ1yYxf2TP	09/30/2011	NOVA TREATMENT COMMUNITY INC	01/01/2012	12/01/2011	Psychiatric Residential Treatment Facility (PRTF)
1bJhohX1thT	09/14/2011	EPWORTH VLLG-MILLS & DINSDALE	09/22/2011	10/01/2011	Psychiatric Residential Treatment Facility (PRTF)
1bJhohX1thT	09/14/2011	NOVA TREATMENT COMMUNITY INC	10/07/2011	10/07/2011	Psychiatric Residential Treatment Facility (PRTF)

Person ID	Denied Date	Provider ID and Name	Min Service Date	Max Service Date	Provider Type
1bJTfYoW6hl	02/01/2012	ABH ADDICTION & BEH HLTH SVCS-	02/07/2012	05/24/2012	Therapeutic Group Home (ThGH)
1bJTNSaE6hT	12/07/2011	BOYS & GIRLS HOME-PRTF NIOBRA	02/20/2012	06/17/2012	Psychiatric Residential Treatment Facility (PRTF)
1bJWN21vyhT	08/04/2011	BOYS & GIRLS HOME-PRTF NIOBRA	01/01/2012	12/30/2011	Psychiatric Residential Treatment Facility (PRTF)
1bq1yEXdLTP	08/23/2011	NOVA TREATMENT COMMUNITY INC	09/09/2011	12/04/2011	Psychiatric Residential Treatment Facility (PRTF)
1bqhAecdZhT	05/10/2012	ABH ADDICTION & BEH HLTH SVCS-	05/10/2012	05/13/2012	Therapeutic Group Home (ThGH)
1bqlyFcd0TI	09/06/2011	EPWORTH VLLG-MILLS & DINSDALE	01/01/2012	12/01/2011	Psychiatric Residential Treatment Facility (PRTF)
1bqPhYoE2hl	10/13/2011	NOVA TREATMENT COMMUNITY INC	01/01/2012	12/01/2011	Psychiatric Residential Treatment Facility (PRTF)
1bqTfEaE0hT	08/08/2011	ABH ADDICTION & BEH HLTH SVCS-	08/10/2011	08/17/2011	Therapeutic Group Home (ThGH)
1bqWAwFdthl	07/27/2011	ABH ADDICTION & BEH HLTH SVCS-	01/01/2012	12/30/2011	Therapeutic Group Home (ThGH)
1bqWohGv6TI	09/16/2011	CATHOLIC CHARITIES/JOURNEYS-TGH	11/18/2011	11/18/2011	Therapeutic Group Home (ThGH)
1tQgoeGW6T1	07/26/2011	BOYS & GIRLS HOME,NE INC- SPEC	08/04/2011	08/04/2011	Psychiatric Residential Treatment Facility (PRTF)

Person ID	Denied Date	Provider ID and Name	Min Service Date	Max Service Date	Provider Type
b0MhXY1vLTI	11/08/2011	NOVA TREATMENT COMMUNITY INC	01/09/2012	06/29/2012	Psychiatric Residential Treatment Facility (PRTF)
b6qtiEtvTP	04/04/2012	NOVA TREATMENT COMMUNITY INC	04/17/2012	06/01/2012	Psychiatric Residential Treatment Facility (PRTF)
bbhgoecobT5	11/17/2011	EPWORTH VILLAGE-BLATCHFORD TGH	01/12/2012	03/01/2012	Therapeutic Group Home (ThGH)
bbTgOEX12TP	12/07/2011	NEW HOPE CAROLINAS INC	01/01/2012	01/01/2012	Psychiatric Residential Treatment Facility (PRTF)
boghiohof4TP	11/22/2011	EPWORTH VILLAGE-BLATCHFORD TGH	01/31/2012	04/11/2012	Therapeutic Group Home (ThGH)
boghiohof4TP	11/22/2011	EPWORTH VLLG-MILLS & DINSDALE	04/18/2012	06/01/2012	Psychiatric Residential Treatment Facility (PRTF)
bti1o2atLTP	11/22/2011	EPWORTH VILLAGE-BLATCHFORD TGH	01/03/2012	04/30/2012	Therapeutic Group Home (ThGH)
byigXsab2TP	04/18/2012	CATHOLIC CHARITIES/JOURNEYS-TGH	05/30/2012	06/28/2012	Therapeutic Group Home (ThGH)
bZT5fEtW6TI	08/25/2011	CHILD GUIDANCE CTR - TGH	01/01/2012	12/31/2011	Therapeutic Group Home (ThGH)
bZTgf2tvLT5	03/06/2012	EPWORTH VLLG-MILLS & DINSDALE	05/02/2012	06/01/2012	Psychiatric Residential Treatment Facility (PRTF)
othtoOIE2hP	07/15/2011	EPWORTH VLLG-MILLS & DINSDALE	01/01/2012	12/25/2011	Psychiatric Residential Treatment Facility (PRTF)

Person ID	Denied Date	Provider ID and Name	Min Service Date	Max Service Date	Provider Type
othtoOIE2hP	07/15/2011	EPWORTH VILLAGE- BLATCHFORD TGH	08/04/2011	08/31/2011	Therapeutic Group Home (ThGH)
W0hgNEGE0hP	07/28/2011	BOYS & GIRLS HOME- PRTF NIOBRA	02/29/2012	05/20/2012	Psychiatric Residential Treatment Facility (PRTF)
W4ugAwcE6hP	07/08/2011	BOYS & GIRLS HOME- PRTF LOGAN	07/10/2011	08/30/2011	Psychiatric Residential Treatment Facility (PRTF)
WtMWfF1byhP	03/08/2012	CATHOLIC CHARITIES/JOURNEYS- TGH	03/08/2012	04/02/2012	Therapeutic Group Home (ThGH)
WtugiFlb0h1	09/19/2011	NOVA TREATMENT COMMUNITY INC	11/10/2011	11/10/2011	Psychiatric Residential Treatment Facility (PRTF)

**d. With respect to each child in a residential treatment setting:**

**i. If there was a denial of initial placement request, the length and level of each placement subsequent to denial of initial placement request and the status of each child before and immediately after, six months after, and twelve months after placement;**

- Data provided for State Fiscal Year 2012 (July-June).
- Data obtained from DHHS Medicaid Long Term Care Division.
- Data is not limited to State Ward population.
- Chart contains the mental health service activity, if any occurred, at the following points: immediately after, six months (180 days) after, one year (365) days after.
- Mental Health Services received between points is not reflected on the chart.

Person ID	Denied Date	Days after Denial	Service Date	Provider ID and Name	Provider Type Nebraska Claim
10iPXUcf4h1	10/04/2011	1	10/05/2011	CREEK COUNSELING	Professional Clinic (PC)
		176	03/28/2012	EPWORTH VILLAGE-BLATCHFORD TG	Therapeutic Group Home (ThGH)
16hlyUcoyhQ	02/08/2012	2	02/10/2012	CREIGHTON PSYCHIATRY	Professional Clinic (PC)
16MgeeltZTP	08/18/2011	180	02/14/2012	GREAT PLAINS COUNSELING CENTER	Professional Clinic (PC)
16Q1yhasYT1	11/14/2011	1	11/15/2011	SMITH,RYAN LMHP	Professional Clinic (PC)
16TgiYtt4hl	07/19/2011	176	01/11/2012	BENEFICIAL BEH HLTH SVCS INC	Professional Clinic (PC)
1b31e2IW4TP	07/28/2011	4	08/01/2011	EPWORTH VLLG-MILLS & DINSDALE	Psychiatric Residential Treatment Facility (PRTF)
1b3hAhPobhl	02/21/2012	1	02/22/2012	OASIS CNSLG INTL /IOP	Professional Clinic (PC)
1b3hAwtfZhP	09/14/2011	2	09/16/2011	BRYANLGH COUNSELING CENTER	Clinic (CLNC) (Hospital Based Clinic, Licensed Mental Health Centers)
1b3hAwtfZhP	09/14/2011	2	09/16/2011	BRYANLGH HEARTLAND PSYCHIATRY	Professional Clinic (PC)
1b3hfEooyT1	09/14/2011	183	03/15/2012	CHRISTENSEN,GLEN E MD	Physicians (MD)
1b3hfUXEht	09/16/2011	4	09/20/2011	CREIGHTON MED ASSOC-6901 N 72N	Professional Clinic (PC)
		178	03/12/2012	SEWARD FSC- TGH	Therapeutic Group Home (ThGH)

Person ID	Denied Date	Days after Denial	Service Date	Provider ID and Name	Provider Type Nebraska Claim
1b3leUcEoT1	05/15/2012	2	05/17/2012	OASIS CNSLG INTERNATIONAL-CTA	Professional Clinic (PC)
1b3PhEGd4T1	10/19/2011	178	04/14/2012	A BRAND NEW DAY INC	Professional Clinic (PC)
1b3TA2od6T1	12/27/2011	2	12/29/2011	SCHNEE,AMANDA PPHD	Professional Clinic (PC)
1b3TA2od6T1	12/27/2011	176	06/20/2012	MARTI,DIANE PHD	Licensed Psychologist (PhD)
1b3TfePd2T1	11/14/2011	184	05/16/2012	BLUE VALLEY BEHAVIORAL HLTH-AU	Professional Clinic (PC)
1b3Ti2aftT1	07/07/2011	3	07/10/2011	BOYS & GIRLS HOME- PRTF LOGAN	Psychiatric Residential Treatment Facility (PRTF)
1b3TNOcb2hT	07/25/2011	176	01/17/2012	ORIGINS BEHAVIORAL HEALTH	Professional Clinic (PC)
1b3TNOcb2hT	07/25/2011	183	01/24/2012	ORIGINS BEHAVIORAL HEALTH	Professional Clinic (PC)
1b3TNYXWZTP	05/16/2012	1	05/17/2012	PADEN,STEPHEN MD	Physicians (MD)
1b3Wih11LhT	04/27/2012	4	05/01/2012	ALEGENT HLTH IMM MED CTR- PRTF	Hospitals (HOSP)
1b3Woeadohl	09/27/2011	1	09/28/2011	RICHARD YOUNG HOSPITAL	Clinic (CLNC) (Hospital Based Clinic, Licensed Mental Health Centers)
		176	03/21/2012	BLUE VALLEY BEHAVIORAL HLTH-GE	Professional Clinic (PC)
1b3Woeadohl	09/27/2011	183	03/28/2012	BLUE VALLEY BEHAVIORAL HLTH-GE	Professional Clinic (PC)
1bi1ehP1tTP	11/16/2011	176	05/10/2012	CHRISTENSEN,GLEN E MD	Physicians (MD)
1bigf2FvyTI	09/28/2011	183	03/29/2012	BENEFICIAL BEH HLTH SVCS INC	Professional Clinic (PC)
1bilhEof4hT	11/17/2011	176	5/11/2012	EPWORTH VILLAGE INC. BOYS DAY	Day Treatment Provider (DAY)

Person ID	Denied Date	Days after Denial	Service Date	Provider ID and Name	Provider Type Nebraska Claim
1biPewa16hl	09/29/2011	5	10/04/2011	BURKE,MICHAEL PSYD & ASSOC PC	Professional Clinic (PC)
1biPhFXE6h1	04/02/2012	1	04/03/2012	CHILD GUIDANCE CTR-IOP	Professional Clinic (PC)
1biPyYobth5	07/27/2011	1	07/28/2011	EPWORTH VILLAGE INC-BOYS DAY	Day Treatment Provider (DAY)
1biTfwGfLhQ	02/26/2012	3	02/29/2012	PELLA,MICHAEL LIMHP	Licensed Independent Mental Health Practitioner (IMHP)
1biTi2l16TP	03/16/2012	3	03/19/2012	EPWORTH VILLAGE INC-BOYS DAY	Day Treatment Provider (DAY)
1biWAUF12TP	07/19/2011	176	01/11/2012	NOVA THERAPEUTIC COMM INC-OP/I	Professional Clinic (PC)
1biWiUGothT	05/28/2012	1	05/29/2012	EPWORTH VILLAGE INC-BOYS DAY	Day Treatment Provider (DAY)
1biWNhabbhP	05/17/2012	5	05/22/2012	BLUE VALLEY BEH HLTH-NE CITY	Clinic (CLNC) (Hospital Based Clinic, Licensed Mental Health Centers)
1bJ1yYXf2TP	09/30/2011	1	10/01/2011	NOVA TREATMENT COMMUNITY INC	Psychiatric Residential Treatment Facility (PRTF)
1bJgoFoEbhI	07/20/2011	180	01/16/2012	ALEGENT HEALTH PSYCH ASSOC	Clinic (CLNC) (Hospital Based Clinic, Licensed Mental Health Centers)
1bJhAetfbhI	11/09/2011	178	05/05/2012	THE ATTACHMENT & TRAUMA CTR OF	Professional Clinic (PC)
1bJhAetfbhI	11/09/2011	182	05/09/2012	PREMIER PSYCHIATRIC GROUP LLC	Professional Clinic (PC)
		183	05/10/2012	THE ATTACHMENT & TRAUMA CTR OF	Professional Clinic (PC)
1bJhohX1thT	09/14/2011	182	03/14/2012	GOOD LIFE COUNSELING & SUPPORT	Professional Clinic (PC)
1bJlhe1o2h5	10/21/2011	3	10/24/2011	PADEN,STEPHEN MD	Physicians (MD)

Person ID	Denied Date	Days after Denial	Service Date	Provider ID and Name	Provider Type Nebraska Claim
1bJlhe1o2h5	10/21/2011	5	10/26/2011	WINCHESTER,SUSAN	Professional Clinic (PC)
1bJPysGf4TP	09/19/2011	2	09/21/2011	BEHAVEN COMMUNITY SVCS INC-OP/	Professional Clinic (PC)
1bJTA2oELTQ	03/20/2012	1	03/21/2012	ALEGENT HEALTH BEHAV SVCS-PSYC	Clinic (CLNC) (Hospital Based Clinic, Licensed Mental Health Centers)
1bJTfYoW6hl	02/01/2012	1	02/02/2012	A BRAND NEW DAY INC	Professional Clinic (PC)
1bJTiYPEthl	07/22/2011	4	07/26/2011	UTA HALEE GIRLS VILLAGE- DAY T	Day Treatment Provider (DAY)
1bJTiYPEthl	07/22/2011	178	01/16/2012	OMNI BEHAV HLTH- OMAHA	Professional Clinic (PC)
1bJTNhtdohl	12/12/2011	2	12/14/2011	CATHOLIC CHARITIES/JOURNEYS- I	Professional Clinic (PC)
1bJTNSaE6hT	12/07/2011	177	06/01/2012	BOYS & GIRLS HOME- PRTF NIOBRA	Psychiatric Residential Treatment Facility (PRTF)
		182	06/06/2012	FAMILY SVC INC	Professional Clinic (PC)
1bJWAePbtTI	11/30/2011	181	05/29/2012	BRYAN MEM HOSP-PSYCH WEST	Hospitals (HOSP)
1bJWAsGvLhl	08/19/2011	5	08/24/2011	MID PLAINS CTR FOR BEH HLTH- I	Professional Clinic (PC)
1bJWi2XothT	07/15/2011	1	07/16/2011	RICHARD YOUNG HOSPITAL	Clinic (CLNC) (Hospital Based Clinic, Licensed Mental Health Centers)
		181	01/12/2012	HEARTLAND COUNSELING SVC-SO SI	Professional Clinic (PC)
1bJWiEIE2hl	11/23/2011	2	11/25/2011	EPWORTH VILLAGE INC- BOYS DAY	Day Treatment Provider (DAY)
1bJWiOPftTP	07/22/2011	178	01/16/2012	CHILDRENS BEHAVIORAL HEALTH	Professional Clinic (PC)
1bJWN21vyhT	08/04/2011	1	08/05/2011	CREIGHTON MED ASSOC- 6901 N 72N	Professional Clinic (PC)

Person ID	Denied Date	Days after Denial	Service Date	Provider ID and Name	Provider Type Nebraska Claim
1bJWNhGv0Tt	06/20/2012	1	06/21/2012	RICHARD YOUNG CLINIC	Professional Clinic (PC)
1bqhAecdZhT	05/10/2012	3	05/13/2012	ABH ADDICTION & BEH HLTH SVCS-	Therapeutic Group Home (ThGH)
1bqhNEG1bhP	11/18/2011	5	11/23/2011	BENEFECIAL BEH HLTH SVCS -IOP	Professional Clinic (PC)
1bqlyFcd0TI	09/06/2011	177	03/01/2012	EPWORTH VLLG-MILLS & DINSDALE	Psychiatric Residential Treatment Facility (PRTF)
1bqPhwFo2hl	11/01/2011	1	11/02/2011	MISSEL, MICHELE M LMHP, LCSW	Professional Clinic (PC)
1bqPhYafLhT	11/21/2011	1	11/22/2011	ALEAGENT HEALTH BEHAV SVCS-PSYC	Clinic (CLNC) (Hospital Based Clinic, Licensed Mental Health Centers)
		177	05/16/2012	GREAT PLAINS HOSPITAL INC-PRTF	Hospitals (HOSP)
		178	05/17/2012	HEARTLAND BEHAVIORAL (PRTF DR.	Professional Clinic (PC)
		184	05/23/2012	GREAT PLAINS HOSPITAL INC-PRTF	Hospitals (HOSP)
1bqPhYoE2hl	10/13/2011	179	04/09/2012	ORIGINS BEHAVIORAL HEALTH- IOP	Professional Clinic (PC)
1bqTfEaE0hT	08/08/2011	1	08/09/2011	ABH ADDICTION & BEH HLTH SVCS	Professional Clinic (PC)
		2	08/10/2011	ABH ADDICTION & BEH HLTH SVCS-	Therapeutic Group Home (ThGH)
		176	01/31/2012	ALEAGENT HEALTH BEHAV SVCS-PSYC	Clinic (CLNC) (Hospital Based Clinic, Licensed Mental Health Centers)
		177	02/01/2012	ALEAGENT HEALTH IMMANUEL MED CT	Hospitals (HOSP)
1bqTfUobZTI	08/17/2011	1	08/18/2011	ALEAGENT HEALTH PSYCH ASSOC	Professional Clinic (PC)

Person ID	Denied Date	Days after Denial	Service Date	Provider ID and Name	Provider Type Nebraska Claim
		176	02/09/2012	ALEGENT HEALTH BEHAV SVCS-PSYC	Clinic (CLNC) (Hospital Based Clinic, Licensed Mental Health Centers)
		177	02/10/2012	ALEGENT HEALTH BEHAV SVCS-PSYC	Clinic (CLNC) (Hospital Based Clinic, Licensed Mental Health Centers)
1bqTfwXtZhT	12/29/2011	183	06/29/2012	BOYS TOWN BEHAVIORAL-13603 PRE	Professional Clinic (PC)
1bqTi2PsthI	09/30/2011	4	10/04/2011	FLAHERTY,JOHN LIMHP	Professional Clinic (PC)
1bqWAe1fZhl	08/17/2011	181	02/14/2012	BOYS TOWN BEHAVIORAL-13603 PRE	Professional Clinic (PC)
1bqWAFFsLhP	05/08/2012	3	05/11/2012	CREIGHTON PSYCHIATRY	Professional Clinic (PC)
1bqWAhPd2h1	11/30/2011	5	12/05/2011	BOTHERN,JUDITH (C)	Licensed Psychologist (PhD)
1bqWAOFbtTQ	07/12/2011	1	07/13/2011	ALEGENT HEALTH PSYCH ASSOC	Professional Clinic (PC)
		181	01/09/2012	OASIS CNSLG INTERNATIONAL-CTA	Professional Clinic (PC)
		183	01/11/2012	ALEGENT HEALTH MERCY HOSP-IP P	Hospitals (HOSP)
		353	06/29/2012	DEVELOPMENTAL SVCS OF NE INC	Clinic (CLNC) (Hospital Based Clinic, Licensed Mental Health Centers)
1bqWAwFd6hl	02/21/2012	1	02/22/2012	RICHARD YOUNG CLINIC	Professional Clinic (PC)
		2	02/23/2012	PETERSEN,CHANDRA LIMHP	Professional Clinic (PC)
		176	01/19/2012	ABH ADDICTION & BEH HLTH SVCS-	Therapeutic Group Home (ThGH)
1ohtX2atthI	08/09/2011	177	02/02/2012	BOYS TOWN BEHAVIORAL-13603 PRE	Professional Clinic (PC)

Person ID	Denied Date	Days after Denial	Service Date	Provider ID and Name	Provider Type Nebraska Claim
		182	02/07/2012	BOYS TOWN CENTER CLINIC-OBS	Clinic (CLNC) (Hospital Based Clinic, Licensed Mental Health Centers)
		184	02/09/2012	BOYS TOWN BEHAVIORAL-13603 PRE	Professional Clinic (PC)
1oJ1XOPt4hP	11/23/2011	183	05/24/2012	FLAHERTY,JOHN LIMHP	Licensed Independent Mental Health Practitioner (IMHP)
1yhgis1vyhP	09/21/2011	5	09/26/2011	PADEN,STEPHEN MD	Physicians (MD)
1yihoeId0TI	09/20/2011	182	03/20/2012	REGIONAL WEST PHYSICIANS CLINI	Professional Clinic (PC)
1Zigi2tf6hT	07/26/2011	181	01/23/2012	FEHRINGER,DIANE LMHP	Professional Clinic (PC)
1ZJgAsG1Zhl	08/17/2011	183	02/16/2012	CHRISTENSEN,GLEN E MD	Physicians (MD)
b0qtfYaE0ht	01/05/2012	1	01/06/2012	BRYANLGH HEARTLAND PSYCHIATRY	Professional Clinic (PC)
		4	01/09/2012	CHILD GUIDANCE CTR-DAY TX	Day Treatment Provider (DAY)
b2o1OUtE0TQ	01/26/2012	5	01/31/2012	FAMILY RESOURCES OF GREATER NE	Professional Clinic (PC)
b2oTNwoE0T1	03/16/2012	1	03/17/2012	ALEGENT HEALTH BEHAV SVCS-PSYC	Clinic (CLNC) (Hospital Based Clinic, Licensed Mental Health Centers)
b4htoUI1bTP	11/07/2011	1	11/08/2011	CREIGHTON MED ASSOC-6901 N 72N	Professional Clinic (PC)
		3	11/10/2011	ALEGENT HLTH MED CTR-PHP DAY	Hospitals (HOSP)
		3	11/10/2011	CREIGHTON MED ASSOC-6901 N 72N	Professional Clinic (PC)
		178	05/03/2012	CAPSTONE BEHAVIORAL SERVICES	Professional Clinic (PC)
b4q1fwGbyTP	06/18/2012	1	06/19/2012	ALEGENT HEALTH BEHAV SVCS-PSYC	Clinic (CLNC) (Hospital Based Clinic, Licensed Mental Health Centers)

Person ID	Denied Date	Days after Denial	Service Date	Provider ID and Name	Provider Type Nebraska Claim
b4Q5iFoWLT1	06/20/2012	1	06/21/2012	ALEGENT HEALTH BEHAV SVCS-PSYC	Clinic (CLNC) (Hospital Based Clinic, Licensed Mental Health Centers)
bbhgoecobT5	11/17/2011	2	11/19/2011	RICHARD YOUNG CLINIC	Professional Clinic (PC)
		4	11/21/2011	RICHARD YOUNG HOSPITAL	Clinic (CLNC) (Hospital Based Clinic, Licensed Mental Health Centers)
		176	05/11/2012	EPWORTH VILLAGE INC-BOYS DAY	Day Treatment Provider (DAY)
bbJ1fhtv2TI	12/02/2011	5	12/07/2011	WHOLENESS HEALING CENTER,PC	Professional Clinic (PC)
bbJtXeF1yTP	11/03/2011	180	05/01/2012	ORIGINS BEHAVIORAL HEALTH- IOP	Professional Clinic (PC)
bbTgOEloyTI	12/29/2011	1	12/30/2011	MONARCH COUNSELING PC	Professional Clinic (PC)
bLQWOOF1yTP	08/16/2011	1	08/17/2011	CHILD GUIDANCE CTR-DAY TX	Day Treatment Provider (DAY)
		178	02/10/2012	CREIGHTON PSYCHIATRY	Professional Clinic (PC)
bo35OOFvZTP	12/16/2011	1	12/17/2011	ALEGENT HEALTH BEHAV SVCS-PSYC	Clinic (CLNC) (Hospital Based Clinic, Licensed Mental Health Centers)
		179	06/12/2012	HEALING TREE COUNSELING	Professional Clinic (PC)
bti1o2atLTP	11/22/2011	1	11/23/2011	ALEGENT HEALTH PSYCH ASSOC	Professional Clinic (PC)
btJPyetW6TP	04/26/2012	1	04/27/2012	RICHARD YOUNG CLINIC	Professional Clinic (PC)
btMTiEXbLTP	01/27/2012	3	01/30/2012	BEHAVEN COMM SVCS INC- IOP	Professional Clinic (PC)
byh1hUFoyTI	01/05/2012	3	01/08/2012	BRYAN MEM HOSP-PSYCH WEST	Hospitals (HOSP)
byhhee1s0T5	11/04/2011	178	04/30/2012	OMNI BEHAV HLTH-OMAHA	Professional Clinic (PC)

Person ID	Denied Date	Days after Denial	Service Date	Provider ID and Name	Provider Type Nebraska Claim
byJlesGsLTP	11/01/2011	3	11/04/2011	ABH ADDICTION & BEH HLTH SVCS-	Professional Clinic (PC)
byoQXht1tT1	02/28/2012	1	02/29/2012	BRYANLGH HEARTLAND PSYCHIATRY	Professional Clinic (PC)
bZh5OY1b4TI	02/27/2012	2	02/29/2012	CRINER,CHRIS LMHP	Professional Clinic (PC)
bZhtOEItbTP	07/13/2011	3	07/16/2011	TATAY,RAFAEL MD	Physicians (MD)
		176	01/05/2012	ALEGENT HEALTH BEHAV SVCS-PSYC	Clinic (CLNC) (Hospital Based Clinic, Licensed Mental Health Centers)
		351	06/28/2012	EPWORTH VILLAGE INC- BOYS DAY	Day Treatment Provider (DAY)
bZT5fEtW6TI	08/25/2011	176	02/17/2012	CHILD GUIDANCE CTR - TGH	Therapeutic Group Home (ThGH)
bZT5fEtW6TI	08/25/2011	184	02/25/2012	CHILD GUIDANCE CTR - TGH	Therapeutic Group Home (ThGH)
bZTgf2tvLT5	03/06/2012	1	03/07/2012	TATAY,RAFAEL MD	Physicians (MD)
bZTgf2tvLT5	03/06/2012	4	03/10/2012	BRYAN MEM HOSP-PSYCH WEST	Hospitals (HOSP)
bZu5XsafbTP	11/02/2011	184	05/04/2012	CREIGHTON PSYCHIATRY	Professional Clinic (PC)
f03tfF1dbTg	10/14/2011	1	10/15/2011	BRYANLGH HEARTLAND PSYCHIATRY	Professional Clinic (PC)
fthtfFtdLT5	10/26/2011	1	10/27/2011	RECKLING,BAMBI LIMHP	Licensed Independent Mental Health Practitioner (IMHP)
o4J5OGfohg	10/17/2011	182	04/16/2012	CROCKETT,SHANE LIMHP	Professional Clinic (PC)
o6J1fFFv2TI	09/01/2011	182	03/01/2012	BOYS TOWN PRTF- EAST	Hospitals (HOSP)
othtoOIE2hP	07/15/2011	2	07/17/2011	RICHARD YOUNG HOSPITAL	Clinic (CLNC) (Hospital Based Clinic, Licensed Mental Health Centers)
		182	01/13/2012	EPWORTH VLLG-MILLS & DINSDALE	Psychiatric Residential Treatment Facility (PRTF)

Person ID	Denied Date	Days after Denial	Service Date	Provider ID and Name	Provider Type Nebraska Claim
otqgi2tEbTP	03/16/2012	1	03/17/2012	ALEGENT HEALTH BEHAV SVCS-PSYC	Clinic (CLNC) (Hospital Based Clinic, Licensed Mental Health Centers)
s6MTNeaf6hl	07/19/2011	2	07/21/2011	ALEGENT HEALTH PSYCH ASSOC	Professional Clinic (PC)
syhghOct6TP	09/20/2011	1	09/21/2011	BRYANLGH HEARTLAND PSYCHIATRY	Professional Clinic (PC)
W0hgNEGE0hP	07/28/2011	4	08/01/2011	GREAT PLAINS HOSPITAL INC-PRTF	Hospitals (HOSP)
		5	08/02/2011	HEARTLAND BEHAVIORAL (PRTF DR.	Professional Clinic (PC)
W0hTiOooZhQ	05/01/2012	1	05/02/2012	BOYS TOWN CENTER CLINIC-OBS	Clinic (CLNC) (Hospital Based Clinic, Licensed Mental Health Centers)
		2	05/03/2012	BOYS TOWN BEHAVIORAL-13603 PRE	Professional Clinic (PC)
W0JhfOFtZT1	02/08/2012	1	02/09/2012	PADEN,STEPHEN MD	Physicians (MD)
W0oPhYPt6TP	11/08/2011	1	11/09/2011	FAMILY CONNECTIONS LLC	Professional Clinic (PC)
W0QhNwPt4TP	06/11/2012	1	06/12/2012	PREMIER PSYCHIATRIC GROUP	Professional Clinic (PC)
W0ThfOltohl	11/07/2011	3	11/10/2011	CREIGHTON PSYCHIATRY	Professional Clinic (PC)
W2igXUovyhT	12/02/2011	1	12/03/2011	RICHARD YOUNG CLINIC	Professional Clinic (PC)
W2uQestoLT1	11/17/2011	179	05/14/2012	CATHOLIC CHAR/JOURNEYS-OP/IOP/	Professional Clinic (PC)
W4ohA2Xf2hP	05/14/2012	1	05/15/2012	TATAY,RAFAEL MD	Physicians (MD)
		3	05/17/2012	EPWORTH VILLAGE	Clinic (CLNC) (Hospital Based Clinic, Licensed Mental Health Centers)
W4ugAwcE6hP	07/08/2011	2	07/10/2011	BOYS & GIRLS HOME- PRTF LOGAN	Psychiatric Residential Treatment Facility (PRTF)

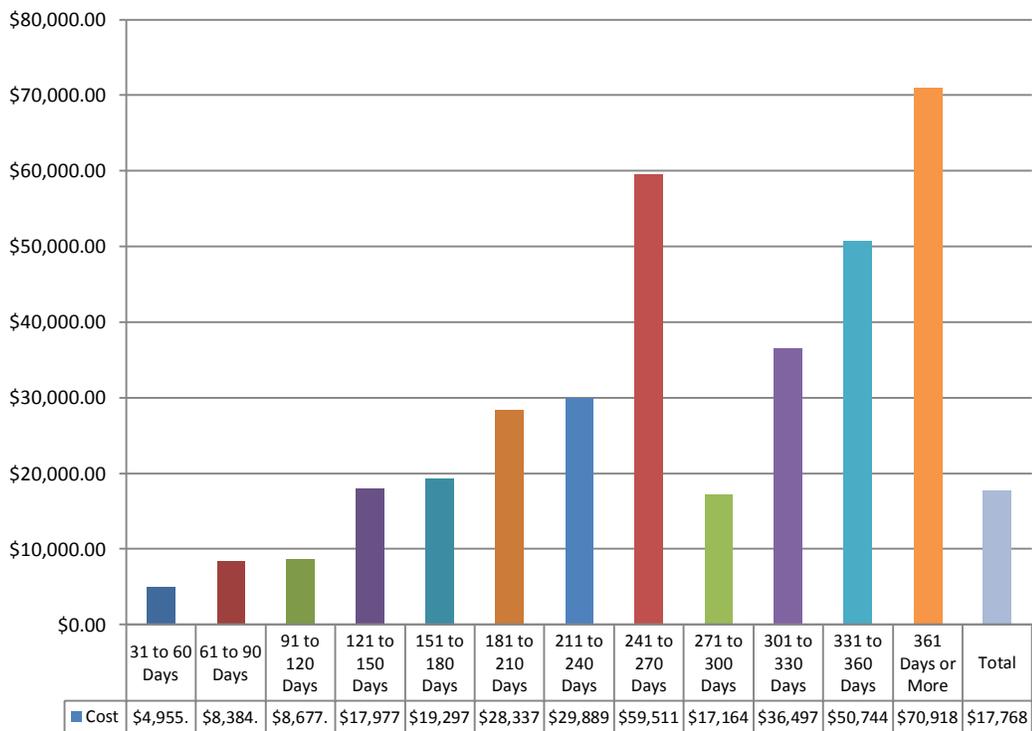
Person ID	Denied Date	Days after Denial	Service Date	Provider ID and Name	Provider Type Nebraska Claim
		355	06/27/2012	ALEGENT HEALTH BEHAV SVCS-PSYC	Clinic (CLNC) (Hospital Based Clinic, Licensed Mental Health Centers)
W4ugoUXvohQ	06/28/2012	1	06/29/2012	BENEFECIAL BEH HLTH SVCS -IOP	Professional Clinic (PC)
W6MQhYGbthT	01/24/2012	1	01/25/2012	GOOD LIFE COUNSELING & SUPPORT	Professional Clinic (PC)
WLqWNFcEoTQ	11/11/2011	176	05/05/2012	CENTER FOR HOLISTIC DEVELOPMEN	Professional Clinic (PC)
		180	05/09/2012	ALEGENT HEALTH BEHAV SVCS-PSYC	Clinic (CLNC) (Hospital Based Clinic, Licensed Mental Health Centers)
		180	05/09/2012	ALEGENT HEALTH IMMANUEL MED CT	Hospitals (HOSP)
WLThfOFE6hP	09/20/2011	4	09/24/2011	TATAY,RAFAEL MD	Physicians (MD)
		177	03/15/2012	MEADOWS BEHAVIORAL HEALTH,INC	Professional Clinic (PC)
Woq1hF1vohW	11/18/2011	3	11/21/2011	BOYS TOWN BEHAVIORAL-13603 PRE	Professional Clinic (PC)
WougysP1Zhl	04/25/2012	2	04/27/2012	BRYAN MEM HOSP-PSYCH WEST	Hospitals (HOSP)
WtMWfF1byhP	03/08/2012	3	03/11/2012	CATHOLIC CHARITIES/JOURNEYS- T	Therapeutic Group Home (ThGH)
WtqTiExt4T1	03/20/2012	1	03/21/2012	ALEGENT HEALTH BEHAV SVCS-PSYC	Clinic (CLNC) (Hospital Based Clinic, Licensed Mental Health Centers)
WtqWfYGfZhh	02/15/2012	1	02/16/2012	ALEGENT HEALTH BEHAV SVCS-PSYC	Clinic (CLNC) (Hospital Based Clinic, Licensed Mental Health Centers)
WtugiFlb0h1	09/19/2011	182	03/19/2012	BOYS TOWN BEHAVIORAL OP-30 <sup>TH</sup>	Clinic (CLNC) (Hospital Based Clinic, Licensed Mental Health Centers)
WyogXOlB4TI	11/15/2011	177	05/10/2012	CHOICES CNSLG & CONSULTING INC	Professional Clinic (PC)

ii. **Funds expended and length of placements;**

**Average Cost of Residential Treatment Placements**

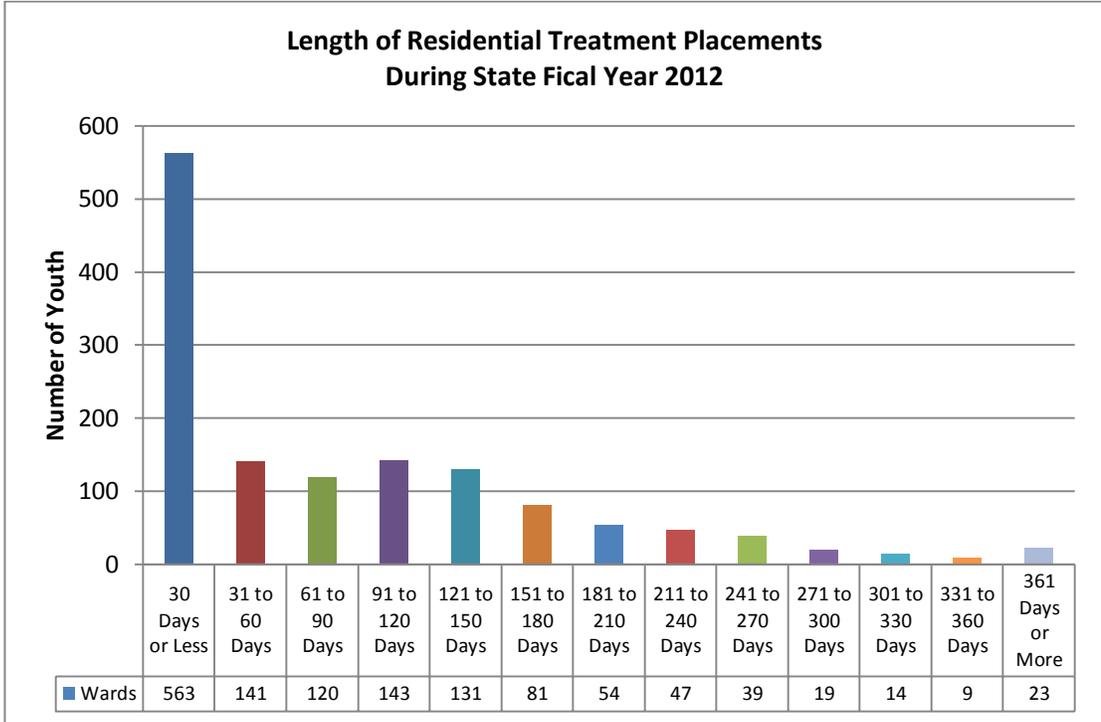
- Data provided for State Fiscal Year 2012 (July – June).
- Data are DHHS Ward population whose placement was paid by Children and Family Services Division via Letter of Agreement.
- Letters of agreement are paid at the Medicaid rate.
- Data extract is DHHS N-FOCUS system.

**Average Cost of Residential Treatment Placements Paid by Children Family Services Division by Length of Placement**



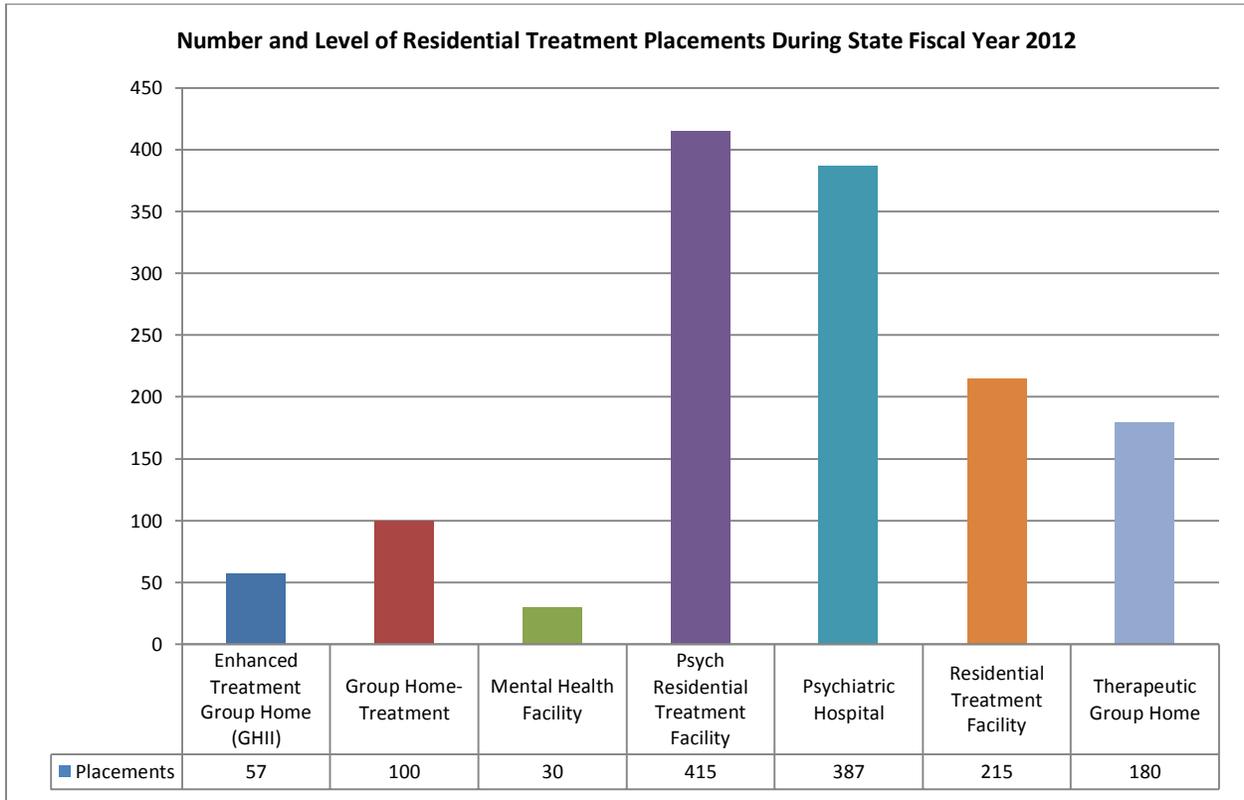
**Length of Residential Treatment Placements**

- Data provided for State Fiscal Year 2012 (July – June).
- Data are DHHS Ward population.
- Data extract is from DHHS N-FOCUS system.



**iii. Number and level of placements;**

- Data provided for State Fiscal Year 2012 (July-June).
- Data are DHHS State Ward population.
- Data extract is DHHS N-FOCUS system.
- A Ward could be in more than one placement level during the time period.



**iv. Facility variables, and**

**Number of Placements at Residential Treatment Facilities**

- Data provided for State Fiscal Year 2012 (July – June).
- Data are DHHS State Ward population.
- Data extract is from DHHS N-FOCUS system.

<b>Treatment Facilities with Placements State Fiscal Year 2012</b>		
Facility Name	Facility Type	Number of Placements
ABH ADDICTION & BEHAVIORAL HEALTH SERVICES, INC.	Group Home-Treatment	16
	Therapeutic Group Home	53
ALEGENT HEALTH IMMANUEL MEDICAL CENTER	Psych Residential Treatment Facility	35
	Residential Treatment Facility	13
ALEGENT HEALTH- IMMANUEL MEDICAL CENTER	Mental Health Facility	22
	Psychiatric Hospital	100

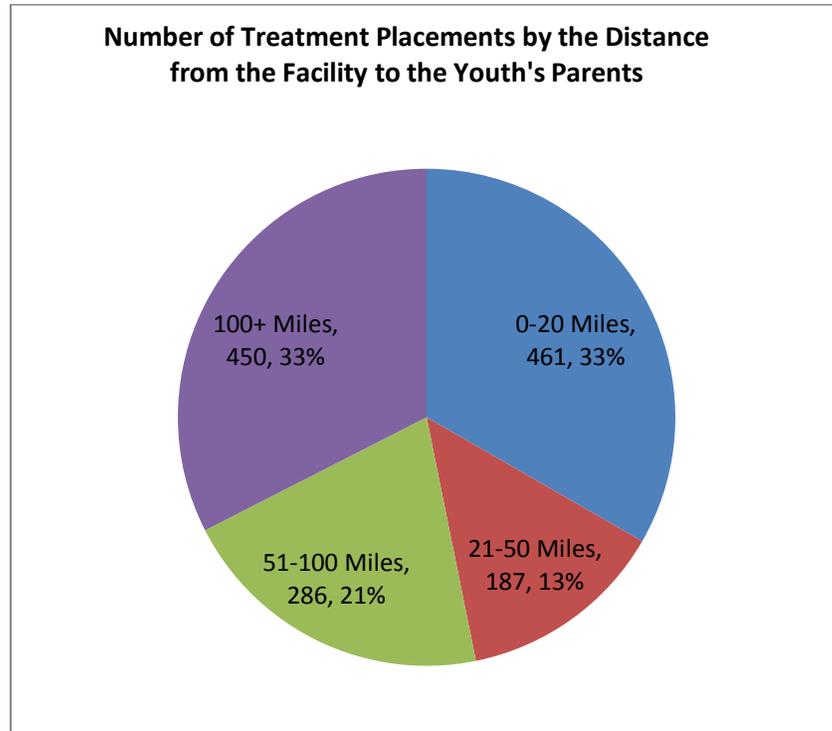
ALEGENT HEALTH IMMANUEL REHABILITATION CENTER	Psychiatric Hospital	53
<b>Facility Name</b>	<b>Facility Type</b>	<b>Number of Placements</b>
BENCHMARK BEHAVIORAL HEALTH SYSTEM INC.	Psych Residential Treatment Facility	3
BOYS AND GIRLS HOME AND FAMILY SERVICES, INC	Enhanced Treatment Group Home (GHII)	7
	Group Home-Treatment	1
	Residential Treatment Facility	2
BOYS AND GIRLS HOME OF NEBRASKA, INC	Psych Residential Treatment Facility	5
BOYS AND GIRLS HOME OF NEBRASKA, INC.	Group Home-Treatment	5
	Psych Residential Treatment Facility	1
BOYS AND GIRLS HOME OF NEBRASKA, INC. (FUTURES)	Psych Residential Treatment Facility	30
	Residential Treatment Facility	25
BRYAN LGH MED CENTER WEST-OWNER	Psychiatric Hospital	102
BRYAN LGH MEDICAL CENTER WEST-INDEPENDENCE CTR	Psych Residential Treatment Facility	1
	Psychiatric Hospital	15
CATHEDRAL HOME FOR CHILDREN	Psych Residential Treatment Facility	2
CATHOLIC CHARITIES	Residential Treatment Facility	9
	Therapeutic Group Home	30
CHILD GUIDANCE CENTER-THERAPEUTIC GH	Residential Treatment Facility	9
	Therapeutic Group Home	17
CHILDREN'S HOME SOCIETY OF SOUTH DAKOTA	Psych Residential Treatment Facility	1
COLORADO BOYS RANCH FOUNDATION	Psych Residential Treatment Facility	8
	Residential Treatment Facility	2
CRITTENTON	Psych Residential Treatment Facility	1
	Residential Treatment Facility	1
DEVELOPMENTAL SERVICES OF NEBRASKA, INC.	Enhanced Treatment Group Home (GHII)	8
EPWORTH VILLAGE - BLATCHFORD	Group Home-Treatment	3
	Residential Treatment Facility	5
	Therapeutic Group Home	25
EPWORTH VILLAGE- 7TH STREET - COLEMAN	Group Home-Treatment	2
EPWORTH VILLAGE- 9TH ST. - SLIFE	Group Home-Treatment	1
EPWORTH VILLAGE INC	Group Home-Treatment	12
	Psych Residential Treatment Facility	42
	Residential Treatment Facility	11
EXCELSIOR YOUTH CENTERS, INC.	Therapeutic Group Home	3
FATHER FLANAGAN'S BOYS HOME - 555 N. 30TH - RTC	Psych Residential Treatment Facility	69
	Residential Treatment Facility	32
FATHER F'S BH-13615 FARM CIRCLE-BOYS	Group Home-Treatment	6
FATHER F'S BH-13955 FLANAGAN #201 - GIRLS GH	Group Home-Treatment	3

Facility Name	Facility Type	Number of Placements
FATHER F'S BH-14188 MOTHER TERESA LN	Group Home-Treatment	9
	Psych Residential Treatment Facility	12
FATHER F'S BH-305 SUDYKA-BOYS TGH	Group Home-Treatment	8
	Psych Residential Treatment Facility	6
FATHER F'S BH-308 SUDYKA-BOYS TGH	Group Home-Treatment	3
	Psych Residential Treatment Facility	5
FATHER F'S BH-314 SUDYKA-GIRLS TGH	Group Home-Treatment	3
	Psych Residential Treatment Facility	5
GOOD SAMARITAN HOSPITAL	Mental Health Facility	8
	Psychiatric Hospital	84
GREAT PLAINS HOSPITAL, INC	Psych Residential Treatment Facility	11
	Residential Treatment Facility	14
GREAT PLAINS REGIONAL MEDICAL CENTER	Psychiatric Hospital	7
HASTINGS REGIONAL CENTER	Residential Treatment Facility	4
HASTINGS REGIONAL CENTER - BLDG 3	Psych Residential Treatment Facility	55
	Residential Treatment Facility	32
IMMANUEL HOSPITAL	Psychiatric Hospital	1
INDEPENDENCE CENTER	Residential Treatment Facility	1
JACKSON RECOVERY CENTER	Residential Treatment Facility	1
KVC HOSPITALS, INC	Psych Residential Treatment Facility	18
	Psychiatric Hospital	5
	Residential Treatment Facility	10
LINCOLN REGIONAL CENTER	Psychiatric Hospital	1
MERCY HOSPITAL	Psychiatric Hospital	11
MIDLANDS HOSPITAL	Psychiatric Hospital	1
MINGUS MOUNTAIN ESTATE RESIDENTIAL CENTER INC.	Group Home-Treatment	2
NEW HOPE CAROLINAS INC.	Psych Residential Treatment Facility	2
NEW HOPE HEARTLAND LLC	Residential Treatment Facility	1
NOVA THERAPEUTIC COMMUNITY	Group Home-Treatment	13
	Psych Residential Treatment Facility	46
	Residential Treatment Facility	7
OMNI BEHAVIORAL HEALTH - COLUMBUS GROUP HOME II	Enhanced Treatment Group Home (GHII)	10
	Therapeutic Group Home	1
OMNI BEHAVIORAL HEALTH - ROCKBROOK GROUP HOME II	Enhanced Treatment Group Home (GHII)	12
	Therapeutic Group Home	20
OMNI BEHAVIORAL HEALTH - SEWARD GROUP HOME	Enhanced Treatment Group Home (GHII)	14
	Therapeutic Group Home	31
OUR HOME, INC.	Psych Residential Treatment Facility	1
REGIONAL WEST MEDICAL CENTER	Psychiatric Hospital	7

<b>Facility Name</b>	<b>Facility Type</b>	<b>Number of Placements</b>
SEQUEL OF KANSAS, LLC (RIVERSIDE ACADEMY)	Psych Residential Treatment Facility	2
SAINT FRANCIS COMMUNITY AND RESIDENTIAL SERVICES	Psych Residential Treatment Facility	5
TEXAS SAN MARCOS TREATMENT CENTER, LP	Psych Residential Treatment Facility	6
	Residential Treatment Facility	8
UTA HALEE GIRLS VILLAGE, INC.	Group Home-Treatment	8
	Psych Residential Treatment Facility	13
	Residential Treatment Facility	8
UTA HALEE GIRLS VILLAGE-BATCHELDER	Residential Treatment Facility	5
UTA HALEE GIRLS VILLAGE-PARKS-CFS-GHII	Enhanced Treatment Group Home (GHII)	2
UTA HALEE GIRLS VILLAGE-SCOTT-OJS-GHII	Enhanced Treatment Group Home (GHII)	2
UTA HALEE GIRLS VILLAGE-WAUNKA	Group Home-Treatment	1
WHITEHALL PROGRAM	Enhanced Treatment Group Home (GHII)	2
	Group Home-Treatment	4
	Psych Residential Treatment Facility	30
	Residential Treatment Facility	15
<b>Grand Total</b>		<b>1384</b>

**Distance from Youth Parents Residence to the Residential Treatment Facility**

- Data provided for State Fiscal Year 2012 (July – June).
- Data are DHHS Ward population.
- Parent’s residence is the parent who the child was living with prior to placement.
- Data extract is from DHHS N-FOCUS system.



- v. **Identification of specific child welfare services unavailable in the child’s community that, if available, could have prevented the need for residential treatment and state that if available, could prevent out-of-state placements.**

**Representative children placed out of state and reason for the placement**

- Data provided for State Fiscal Year 2012 (July – June).
- Data are DHHS Ward population.
- Data are a representative sample of the population placed out of state.

<u>Placement Name</u>	<u>Facility Type</u>	<u>State</u>	<u>Placement Begin Date</u>	<u>Placement End Date</u>	<u>Reason for out-of-state placement</u>
SOUTHWEST IOWA JUVENILE DETENTION CENTER	Detention Facilities	IA	04/20/2012	04/24/2012	Community Based Wraparound
SOUTHWEST IOWA JUVENILE DETENTION CENTER	Detention Facilities	IA	05/18/2012	05/21/2012	Community Based Wraparound
ROP INC. DBA RITE OF PASSAGE, INC.	Group Home	AZ	04/13/2012		Community Based Wraparound
ROP INC. DBA RITE OF PASSAGE, INC.	Group Home	AZ	01/05/2011		Community Based Wraparound
CLARINDA ACADEMY	Group Home	IA	05/03/2011		DD services
ROP INC. DBA RITE OF PASSAGE, INC.	Group Home	AZ	11/10/2009		GED Program and Independent Living

<u>Placement Name</u>	<u>Facility Type</u>	<u>State</u>	<u>Placement Begin Date</u>	<u>Placement End Date</u>	<u>Reason for out-of-state placement</u>
CLARINDA ACADEMY	Group Home	IA	06/13/2011	12/30/2011	Inpatient Psych
CLARINDA ACADEMY	Group Home	IA	04/25/2011	08/21/2011	Inpatient Psych
CLARINDA ACADEMY	Group Home	IA	05/27/2011	12/06/2011	Inpatient Psych
CLARINDA ACADEMY	Group Home	IA	01/03/2011	08/12/2011	Inpatient Psych
MINGUS MOUNTAIN ESTATE RESIDENTIAL CENTER INC.	Group Home	AZ	05/31/2012		Inpatient Psych
ROP INC. DBA RITE OF PASSAGE, INC.	Group Home	AZ	03/14/2011	03/21/2012	Inpatient Psych
GLEN MILLS SCHOOLS	Group Home	PA	05/08/2012		Inpatient Psych
CLARINDA ACADEMY	Group Home	IA	08/02/2011	09/14/2011	MH and DD Foster Home
ROP INC. DBA RITE OF PASSAGE, INC.	Group Home	AZ	02/23/2011		MH and DD Foster Home
CLARINDA ACADEMY	Group Home	IA	06/10/2011	05/18/2012	MH and DD Foster Home
CHANGE ACADEMY AT LAKE OF THE OZARKS(CALO)	Group Home	MO	03/05/2010	09/01/2011	MH and DD Foster Home
MINGUS MOUNTAIN ESTATE RESIDENTIAL CENTER INC.	Group Home	AZ	11/07/2011	11/07/2011	MH and DD Foster Home
CLARINDA ACADEMY	Group Home	IA	07/05/2011		MH and DD Foster Home
ROP INC. DBA RITE OF PASSAGE, INC.	Group Home	AZ	12/23/2010	07/29/2011	MH and DD Foster Home
ROP INC. DBA RITE OF PASSAGE, INC.	Group Home	AZ	09/12/2011		MH and DD Foster Home
CLARINDA ACADEMY	Group Home	IA	03/03/2011	02/21/2012	MH Neurological-Foster Home to work with Medically Fragile
ROP INC. DBA RITE OF PASSAGE, INC.	Group Home	AZ	01/20/2012		MH/DD Group Home
CLARINDA ACADEMY	Group Home	IA	01/25/2012		MH/DD RTC
BUCHANAN COUNTY JUVENILE DETENTION CENTER	Detention Facilities	MO	06/02/2012		Legal/Court issues - no facility available in NE.
SOUTHWEST IOWA JUVENILE DETENTION CENTER	Detention Facilities	IA	06/03/2012	06/04/2012	No facility available for super-vision, structure and safety.
ROP INC. DBA RITE OF PASSAGE, INC.	Group Home	AZ	01/12/2012		No transitional living programs to move from youth to adult.
BENCHMARK BEHAVIORAL HEALTH SYSTEM INC.	Psych Residential Treatment Facility	UT	02/07/2012		No sexual offender treatment facility available in NE.
GREAT PLAINS HOSPITAL, INC	Psych Residential Treatment Facility	MO	10/24/2011		No NE facility willing to handle his behavioral and DD needs.

<u>Placement Name</u>	<u>Facility Type</u>	<u>State</u>	<u>Placement Begin Date</u>	<u>Placement End Date</u>	<u>Reason for out-of-state placement</u>
GREAT PLAINS HOSPITAL, INC	Psych Residential Treatment Facility	MO	10/31/2011	11/27/2011	No low IQ sexual offender treatment facility available in NE
SAINT FRANCIS COMMUNITY AND RESIDENTIAL SERVICES	Psych Residential Treatment Facility	KS	05/04/2012		No NE facility willing to handle his sexual/aggressive behaviors.
SAINT FRANCIS COMMUNITY AND RESIDENTIAL SERVICES	Psych Residential Treatment Facility	KS	03/01/2012		No NE facility willing to handle his sexual/aggressive behaviors.
SAINT FRANCIS COMMUNITY AND RESIDENTIAL SERVICES	Psych Residential Treatment Facility	KS	04/26/2012		No NE facility willing to handle his aggressive behaviors.
SAINT FRANCIS COMMUNITY AND RESIDENTIAL SERVICES	Psych Residential Treatment Facility	KS	02/10/2012		No therapeutic placement to handle sexual/bizarre behaviors.
GREAT PLAINS HOSPITAL, INC	RTC	MO	09/09/2010	10/24/2011	No NE facility willing to handle his behavioral and DD needs.
GREAT PLAINS HOSPITAL, INC	RTC	MO	12/03/2010	10/18/2011	No low IQ treatment facility available in NE.
BOYS AND GIRLS HOME AND FAMILY SERVICES, INC	RTC	IA	06/06/2011	10/31/2011	No treatment level of care facility available in NE.
BOYS AND GIRLS HOME AND FAMILY SERVICES, INC	Enhanced Treatment Group Home	IA	03/07/2011	07/01/2011	No NE facility willing to handle his aggressive behaviors.

**Child welfare services that if available in the child’s community, could prevent out of state placements**

- Data provided for State Fiscal Year 2012 (July-June).
- Data are a representative sample.
  1. Intensive Family Preservation in the child’s community
  2. Intensive Outpatient Treatment—Substance Abuse and/or Mental Health
  3. Intensive Outpatient Sex Offender Treatment
  4. In-home Multi-Systemic Therapy
  5. Community Treatment Aides
  6. Day treatment services for psychiatric needs
  7. Treatment Foster Homes
  8. Support Services for Mental Health/Substance Abuse issues (children and parents)
  9. Outpatient programs for Mental Health/Substance Abuse Issues (children and parents)
  10. Community Support Services in child’s community
  11. In-Home Therapy providers in child’s community.
  12. Outpatient Behavior Programs
  13. Parent Mentors in child’s community
  14. More services covered by Medicaid and easier access for these services
  15. Behavioral Camp for parents and children
  16. Day Reporting Center
  17. Teenage day care

**6. From any lead agency or the pilot project, the percentage of its accounts payable to subcontracted child welfare service providers that are thirty days overdue, sixty days overdue, and ninety days overdue.**

**NFC Accounts Payable Aging as of 6/30/12**

Days	Authorized not billed	Pending review	Clean Claims	Payables per Banner	Estimated Variance due to late billing	Total
0-45	\$1,907,309.12	\$ 26,846.65	\$4,385,662.53	\$133,249.66	\$286,912.11	\$6,739,980.07
45-60		\$ 4,108.60				\$ 4,108.60
60-90		\$ 3,000.00				\$ 3,000.00
90-120		\$ 3,150.00				\$ 3,150.00
120+		\$ 100,811.33				\$ 100,811.33
Total	\$1,907,309.12	\$ 137,916.58	\$4,385,662.53	\$133,249.66	\$286,912.11	\$6,851,050.00

NFC only pays “clean claims.” In order to be considered a clean claim, three actions must have occurred:

1. The Family Preservation Specialist (FPS) must have authorized the intervention in the NFC Penelope system. Providers should not perform any services without this authorization.
2. The provider must bill the service in the NFC Penelope provider portal. All billing should be electronic. If the provider does not bill the service by the end of the day on the 5<sup>th</sup> of the month following the performance of the service, prompt payment is not guaranteed.
3. Utilization management must agree supporting documentation of service performed to all system entries, and must authorize payment. If documentation is not received by the end of the 10<sup>th</sup> day of the month following the performance of the service, prompt payment is not guaranteed.

Authorized not Billed: These items have been authorized by the Family Preservation Specialist (FPS) in the NFC Penelope system but have not been billed by the service provider. Not a clean claim.

Pending Review: These items have been authorized by the FPS in the Penelope system and have been billed by the provider in the system, but the utilization management team has not received the appropriate supporting documentation to authorize payment. Not a clean claim.

Payables per Banner: These items were paid at the beginning of the following month, and were actually in process in A/P on the last day of the month. These are primarily invoices related to operational expenses (supplies, maintenance, etc.) and mileage claim forms. These are processed by Boys Town A/P as received.

Estimated variance due to late billing: Through experience NFC has found that some providers always bill late, and not all authorizations are included on reports. NFC bases this number on historical expenses and expense trends as well as the number of cases being served. These estimates are decreased and eliminated by actual expenses or adjustments within 1-3 months, and the majority is within 1 month.

Days: The number of days that have passed subsequent to the day the item is fully entered in to the portal by the provider.

- 7. For any individual involved in the child welfare system receiving a service or a placement through the department or its agent for which referral is necessary, the date when such referral was made by the department or its agent and the date and the method by which the individual receiving the services was notified of such referral. To the extent the department becomes aware of the date when individual receiving the referral began receiving such services, the department or its agent shall document such date.**

These data are not available. Referrals for services are made utilizing several methods depending on service type. N-FOCUS contains referrals and authorizations for services such as Family Support, Clothing, and Transportation. Medicaid utilizes Magellan to review applications or referrals for treatment services. Data are not collected for the date the individual receiving the service were notified of the referral. Referrals created on N-FOCUS has a created date which may or may not coincide with the date the individual was notified. Data are also not collected for the date the individual began receiving services on N-FOCUS.

**ATTACHMENT A.**  
**2011 CASELOAD REPORT**

June 15, 2012

Patrick O'Donnell, Clerk of the Legislature  
State Capitol, Room 2018  
P.O. Box 94604  
Lincoln, NE 68509

Dear Mr. O'Donnell,

Nebraska Statute 68-1207.01 requires the Department of Health and Human to submit an annual report to the Governor and Legislature outlining child welfare and juvenile services caseloads, factors considered in their establishment, and the fiscal resources needed to maintain them. The report must contain the following:

1. A comparison of caseloads established by the Department of Health and Human Services with the workload standards recommended by national child welfare organizations, and the fiscal resources necessary to maintain such caseloads in Nebraska;
2. The number of child welfare and juvenile service workers employed by the State of Nebraska, the number of child welfare and juvenile service workers that provide direct services to children and families under contract with the State of Nebraska, and the average length of employment in these positions, by health and human services area and statewide;
3. The average caseload of child welfare and juvenile service workers employed by the State of Nebraska and the average caseload of child welfare and juvenile service workers that provide direct services to children and families under contract with the State of Nebraska, and the outcomes of these cases, by health and human services area and statewide; and
4. The average cost of training child welfare and juvenile service workers employed by the State of Nebraska and those providing direct services to children and families under contract with the State of Nebraska, by health and human services area and statewide.

I am submitting this report to fulfill these requirements for Calendar Year 2011. Please note that this report addresses a time in which significant changes to the child welfare and juvenile services system occurred. In January 2011, the Department transferred full case management responsibilities to KVC and Nebraska Families Collaborative, its two lead contractors in the Eastern and Southeast Service Areas. This transition impacted much of the data used to create this report. Additionally, this report was written prior to the close of the legislative session. It does not reflect any new legislation passed in 2012. I ask that you read the report within this context.

Respectfully,



Thomas D. Pristow, Director  
Division of Children and Family Services  
Department of Health and Human Services

Attachment.



Division of Children & Family Services

# 2011 Caseload Report

*June 15, 2012*

## Executive Summary:

This report includes caseload and staffing data for the Division of Children and Family Services (CFS) child welfare and juvenile services and the lead agencies with which the Department of Health and Human Services contracts for these services. This report does not reflect any of the legislative changes that occurred in 2012. The following are a few highlights from the 2011 Caseload Report:

- As of December 31, 2011, CFS was providing services to 6,168 state wards and 3,967 children who were not state wards, and their families. Of the 5,079 families served, 85.8% of were involved in the court system and 14.2% were not.
- In 2011, caseloads declined to 104% per state standards and 106% per national standards, due to a 6.2% decrease in children and a 38.1% increase in staff available to provide case management services.
- The Northern Service Area fell below standards at 90%. Caseloads in the Southeast Service Area were the highest at 115% per state standards and 114% per national standards.
- The average length of employment for CFS staff increased from 2010 to 2011. Turnover among Children and Family Services Specialists (CFSS) reached its second highest rate in the last eight years. Turnover among supervisors has reached its highest rate in the last eight years. Turnover among CFS staff is highest in the Eastern and Southeast Service Areas.
- Turnover among one lead contractor is higher than CFS staff; turnover for the other lead contractor is lower, depending on the position at hand. Turnover among Family Permanency Specialists (FPSs) ranges from 43.60% to 88.80% depending on the agency and turnover among Family Permanency Specialist Supervisors (FPSSs) ranges from 30.20% to 105.60% depending on the agency.
- The average length of employment for CFS staff has increased from 2010 to 2011.
- The average length of employment for contract staff ranges from 0.6 to 1.7 years depending on their position within the agency and the agency with which they are employed.
- In 2011, CFS discharged 4,175 children and youth from state care into some form of permanency with the majority (68.7%) being reunified with parents.

**Legislative History:**

In 1990, LB 720 directed the Department of Health and Human Services (DHHS) to establish standards for child welfare and juvenile service caseloads and to report to the Governor and the Legislature every two years on the resources it needs to implement those standards. In response, DHHS’s Joint Labor/Management Workload Study Committee examined several key factors that workers identified as affecting their workload, including: (1) urban or rural work locations; (2) vacant positions; (3) availability of clerical support; and (4) travel requirements. The Committee summarized their recommendations in a Workload Study Findings and Recommendations Summary Report in July 1992.<sup>1</sup>

In 2005, LB 264 required DHHS to include in its legislative report information on child welfare and juvenile service workers who are employed by private entities with which the State of Nebraska contracts for child welfare and juvenile services. The law requires DHHS to submit the report annually.

**Caseload Standards:**

To evaluate child welfare and juvenile service caseloads, during calendar year 2011, DHHS used the standards recommended in the Workload Study Findings and Recommendations Summary Report mentioned above and the Child Welfare League of America (CWLA).<sup>2</sup> CWLA established the national standards in 1992, the same year in which the State-recommended caseload standards were established, and have since updated the standards in 2003. Table 1 displays both the Nebraska and CWLA standards.

Table 1. Nebraska and CWLA Standards

Caseload Category	Nebraska Standards (1992)	CWLA Standards (1992)	CWLA Standards (2003)
Child Abuse & Neglect Intake Reports	97 families <sup>3</sup>	85 families	85 families <sup>4</sup>
Initial Safety Assessments	10 families	12 families	12 families
In-Home Services	14 families	17 families	17 families
Out-of-Home Placement With Reunification Plan	15 families	15 families	12 families
Out-of-Home Placement Long Term or Independent Living	18 children	20 children	12-15 children

**Case Management Services and Entities:**

DHHS provides case management services to children, youth and families involved with the Division of Children and Family Services (CFS). As of December 31, 2011, CFS was providing

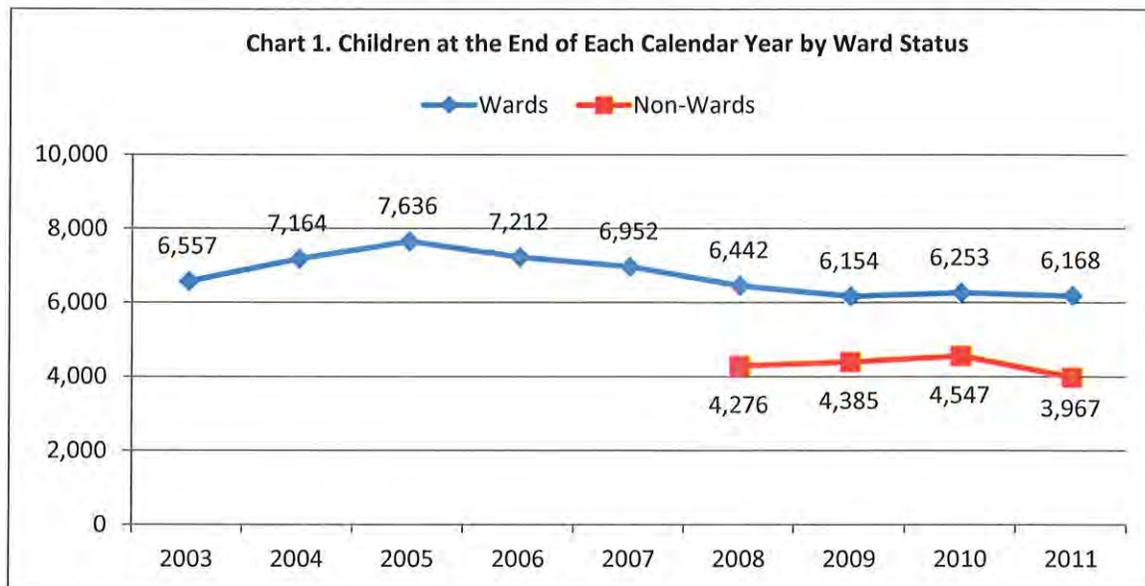
<sup>1</sup> Department of Social Services Joint Labor/Management Workload Study Committee. (1992). *Child Protective Services Findings and Recommendations of Department of Social Services Joint Labor/Management Workload Study Committee.*

<sup>2</sup> Child Welfare League of America. (2003). *Child Welfare League of America Standards of Excellence for Child Welfare Practice.* Washington, D.C.: Author.

<sup>3</sup> The Department of Social Services Joint Labor/Management Workload Study Committee recommended a standard of child abuse and neglect intake reports ranging from 82 to 112, with a median of 97.

<sup>4</sup> The CWLA has not established a standard for Child Abuse/Neglect Intake Reports. The guideline being used in this report comes from a 1986 ACTION for Child Protection report.

services to 6,168 state wards and 3,967 children who were not state wards, and their families. Of the 5,079 families served, 85.8% of were involved in the court system and 14.2% were not.



Note. DHHS began capturing data on non-wards when they implemented the new safety assessment process, the Nebraska Safety Intervention System, in 2007.

Source. CFS Case and Child Count Report and Permanency Objective Report.

In November 2009, the Department entered into 5 year contracts for service coordination and service delivery under the Families matter initiative, with the following five organizations: Boys and Girls of Nebraska, KVC Behavioral HealthCare (KVC), Inc., Visinet, Inc., CEDARS Youth Services and the Nebraska Families Collaborative (NFC) which is a partnership between Boys Town, Child Saving Institute, Heartland Family Service, Nebraska Family Support Network, and OMNI Behavioral Health. Full implementation of the contract occurred by April 2010. Under contract, Family Permanency Specialists (FPS) employed by the lead contractors assumed the day-to-day functions of service planning, acquisition, coordination, and delivery. CFS staff assessed cases, made key case planning decisions, analyzed and oversaw service delivery, and performed other case management duties.

On April 1, 2010 CEDARS Youth Services provided official notification to the Department of its decision to terminate their contract on June 30, 2010 as costs were higher than projected during contract development. On July 1, 2010, KVC assumed responsibility for the cases previously managed by CEDARS Youth Services.

On April 9, 2010 the Department terminated its contract with Visinet after Visinet notified DHHS of their intent to file a petition for bankruptcy proceedings. DHHS assumed responsibility for the cases from April 15 until June 30, 2010 in Southeast. July 1, 2010, KVC assumed responsibility for the cases in the Southeast Services Area previously managed by Visinet. On January 3, 2011, the remaining cases in Eastern were assumed by NFC and KVC.

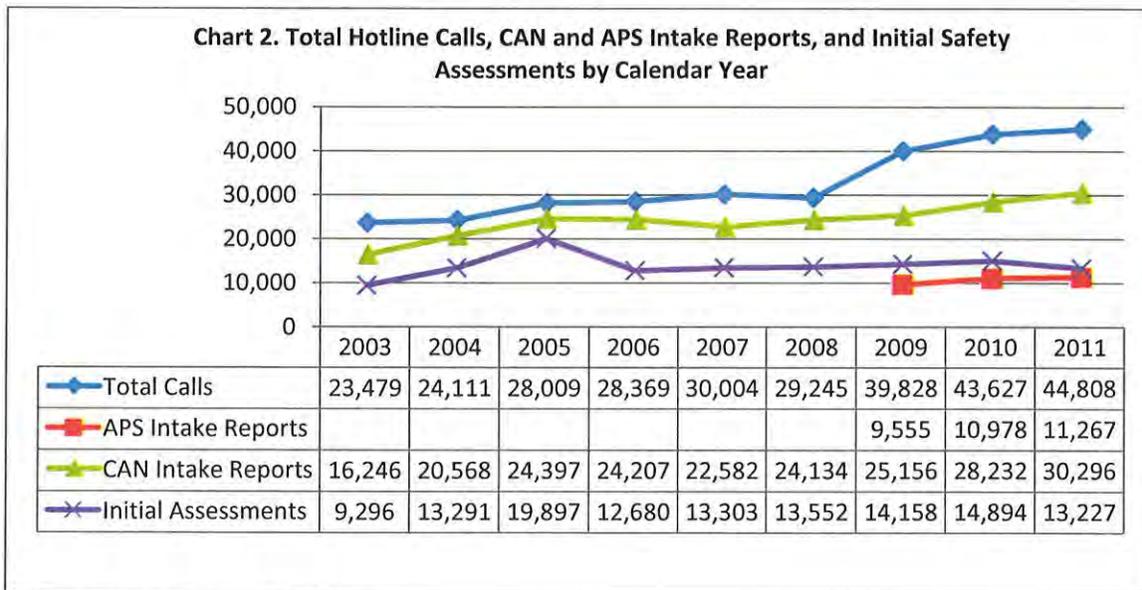
On September 30, 2010, DHHS and Boys and Girls Home announced a mutual agreement to end the contract effective October 15, 2010. DHHS assumed service coordination and contract for service delivery in the agency’s Western, Central and Northern Service Areas,

Data in this report is organized by agency and Service Area to determine caseloads at all levels. The work of the FPSs from the lead contractors and the CFSSs in the Central, Northern, and Western Service Areas are factored into these estimates.

**Child/Adult Abuse/Neglect Hotline:**

DHHS operates Nebraska’s Child Abuse/Neglect Hotline (Hotline). The Hotline receives calls alleging abuse and neglect (or intakes reports), informational inquiries, and other calls. Calls alleging abuse and neglect can be further categorized into child abuse and neglect (CAN) intakes and adult protective services (APS) intakes. CAN intakes can move on to be accepted for an initial safety assessment. APS intakes can move on to be investigated, although these investigations are not factored into this report. Once an APS intake is received and determined to need investigation, it is assigned to an APS worker. This report does not address the caseloads of APS workers, only the caseloads of child welfare and juvenile service workers.

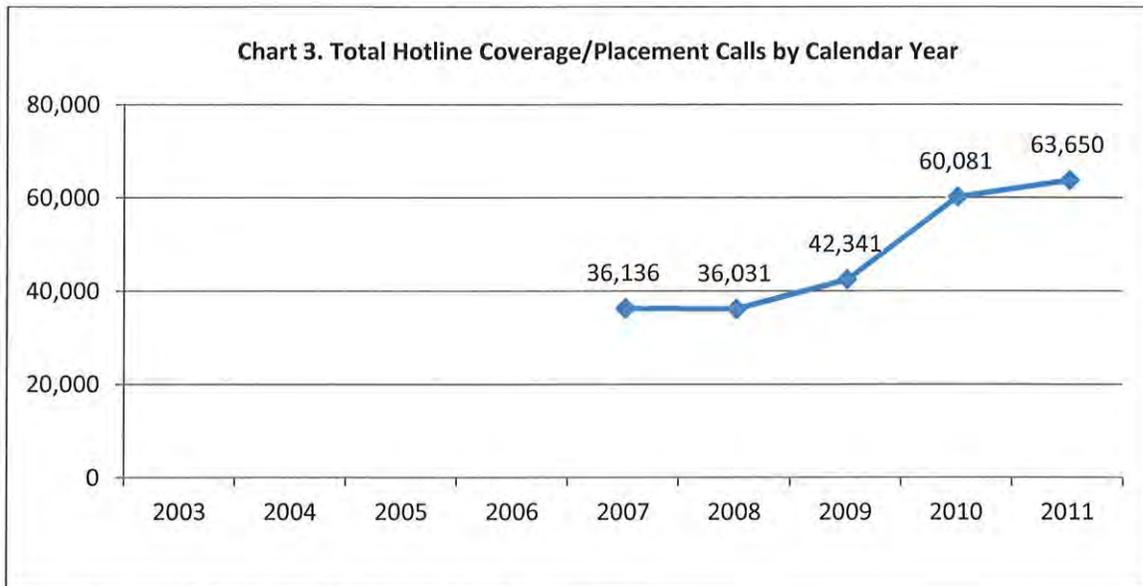
As in other years, hotline calls continued to increase in 2011. APS and CAN intake reports increased 2.6% and 7.3% respectively. Initial safety assessments, however, decreased by 11.2%.



Source. CFS Annual Report Safety – Intakes.

Please note that because these categories are subcategories of one another and show the progress of a call through an initial report to an initial safety assessment, the sum of the last three categories does not equal the first. Also, the Hotline receives other calls and informational inquiries not charted above (although they are factored into caseload estimates later in this report).

It should be noted that in addition to the Hotline calls charted above, Hotline staff in the Eastern Service Area handle a number of calls on placement and coverage issues (i.e., finding placements, securing transportation, looking up Medicaid numbers, processing background checks, etc.). In 2011, the Hotline handled 63,650 coverage and placement calls; a 5.9% increase from 60,081 in 2010. However, the length of time it took to handle and process these calls decreased, ultimately requiring fewer staff than last year (as indicated in Attachments A, B, and D).



Source. Automated Call Distribution ST – PS Group Status Report.

**Juvenile Services Assessments:**

In addition to initial safety assessments, CFS conducts the Youth Level of Service/Case Management Inventory (YLS/CMI) on youth receiving services as an Office of Juvenile Services (OJS) ward. The YLS/CMI is conducted at different stages of a youth’s commitment to determine the proper level of service they should receive. In 2011, CFS conducted 2,620 YLS/CMI assessments.<sup>5</sup> This is a 34.5% decrease from 3,999 assessments in 2010.

**Caseloads by Standard and Caseload Category for 2011:**

Table 3 displays statewide caseload levels for trained CFS and contract staff in the workforce as of December 31, 2011 (column 4), in comparison to the caseload levels recommended in the 1992 Nebraska (column 5) and 2003 CWLA standards (column 6). Attachments A and B provide additional tables displaying caseload levels for CFS and contract staff by agency, Service Area, and State.

As indicated in the table, national and state caseload standards are specific to different categories of work (e.g., child abuse and neglect intake reports, initial safety assessment, etc.). There are currently no standards, however, for receiving general Hotline calls or processing other types of calls such as placement or coverage calls. CWLA does not offer a standard for

<sup>5</sup> Source. Children and Family Services YLS Count Extract.

handling child abuse/neglect intake reports. The standard for this category was adopted from the 1986 ACTION for Protection report.

An example of a caseload standard specific to one category of work is that, according to CWLA standards, initial assessment workers should be assigned to work with no more than 12 families on average. To compare Nebraska's performance to this standard, DHHS must calculate the average number of cases per initial assessment worker. Because CFSSs perform duties in multiple categories, identifying the exact number of workers who currently perform duties within each category is not a straightforward process. DHHS cannot directly calculate the average number of cases per worker per category. DHHS estimated these figures for each category based on overall calculations across categories. The way in which these estimates were calculated is included in Attachment E.

Table 3. Caseloads per Standards as of December 31, 2011

Caseload Category (Column 1)	Monthly Workload (2)	Current Staff Allocation (3)	Average Caseload (4)	1992 Nebraska Standards (5)	Estimated FTEs Needed to Meet Ne. Standards (6)	2003 CWLA Standards (7)	Estimated FTEs Needed to Meet CWLA Standards (8)
Non-Child Abuse/Neglect Calls	270.42	0.35	772.67	No standard*	0.37	No standard*	0.37
Coverage and Placement Calls (Eastern Service Area)	3,612.17	29.40	122.88	No standard*	30.87	No standard*	30.87
Child Abuse/Neglect Intake Reports	1,422.42	14.94	95.19	97 families**	14.66	85 families***	16.73
Initial Safety Assessments	1,102.25	96.30	11.45	10 families	110.23	12 families	91.85
In-Home Services	2,278.00	141.41	16.11	14 families	162.71	17 families	134.00
Out-of-Home Placement With Reunification Plan	2,155.00	150.92	14.01	15 families	141.00	12 families	176.25
Out-of-Home Placement Long-term or Independent Living	1,033.00	63.68	16.22	18 children	57.39	12 to 15 children (13.5)	76.52
Total Workers Needed					517.23		526.59
Total Workers Currently In the Workforce					497.00		497.00
Total Workers in Training					60.00		60.00
Total Vacancies					119.50		119.50

Caseload Category (Column 1)	Monthly Workload (2)	Current Staff Allocation (3)	Average Caseload (4)	1992 Nebraska Standards (5)	Estimated FTEs Needed to Meet Ne. Standards (6)	2003 CWLA Standards (7)	Estimated FTEs Needed to Meet CWLA Standards (8)
Additional Workers Needed (excluding training and vacant positions)					20.23		29.59
Additional Workers Needed (if all workers were trained and vacant positions filled)					-159.27		-149.91

\* There are no standards for these categories. Guidelines from a 1986 ACTION for Protection report were used to calculate caseloads in these categories.

\*\* The Department of Social Services Joint Labor/Management Workload Study Committee recommended a standard of child abuse and neglect intake reports ranging from 82 to 112, with a median of 97.

\*\*\* The CWLA has not established a standard for Child Abuse/Neglect Intake Reports. The guideline being used in this report comes from a 1986 ACTION for Child Protection report.

These calculations provide the average caseload within each caseload category, and allow for a direct comparison between the current worker allocation (column 3) within each caseload category and the number of workers that are needed to meet state and national standards within each caseload category (columns 6 and 8).

Table 4 displays the number of workers needed to meet caseload standards, the number of CFSS and FPS staff that was actually available, and the average caseloads for these staff as a percent of the Nebraska and CWLA standards. As displayed in Table 4, statewide caseloads were at 104% of the 1992 Nebraska standards and 106% of the CWLA standards as of December 31, 2011. Attachment B provides this information by agency and Service Area.

Table 4. Caseloads per Standards as of December 31, 2011\*

<b>Nebraska Standards</b>	
Total Workers Needed	517.23
Total Workers Available	497.00
Total Workers in Training	60.00
Total Vacancies	119.50
Caseload as % of Standard	104%
<b>CWLA Standards</b>	
Total Workers Needed	526.59
Total Workers Available	497.00
Total Workers in Training	60.00
Total Vacancies	119.50
Caseload as % of Standard	106%

\*Excludes APS workers.

Table 5 provides the caseloads of staff by Service Area as a percent of the Nebraska and CWLA standards (also provided in Attachment B). The Northern Service Area fell below standards at 90%. The remaining areas were slightly above state and national standards (anywhere from 1% to 6% depending on the Service Area and standards applied). Caseloads in the Southeast Service Area are the highest at 115% per Nebraska standards and 114% CWLA standards.

Table 5. Caseloads per Standards by Service Area as of December 31, 2011

Service Area	Nebraska Standards	CWLA Standards
Central Service Area	103%	104%
Eastern Service Area	101%	106%
Northern Service Area	90%	90%
Southeast Service Area	115%	114%
Western Service Area	101%	101%

Table 6 provides the caseloads of staff in the Eastern and Southeast Service Areas by agency as a percent of the Nebraska and CWLA standards. In these areas, FPSs carry higher caseloads than CFSSs. While CFSSs operated within state and national standards in both areas, the FPSs were above both standards in both Service Areas.

It should be noted that CFSSs handle hotline calls, intake reports, and safety assessments while FPSs provide ongoing in-home and out-of-home services. The DHHS caseloads for the Eastern and Southeast Service Areas displayed in the table below are for initial assessment staff only and remain within state and national standards to ensure that child abuse and neglect intakes are thoroughly assessed. Caseloads for NFC and KVC in the Eastern and Southeast Service Area include ongoing services. Per contract, lead contractors are required to maintain a 1 worker to 16 family ratio.

Table 6. Eastern and Southeast Caseloads per Standards by Agency as of December 31, 2011

Service Area and Agency	Nebraska Standards	CWLA Standards
Eastern Service Area	101%	106%
DHHS	83%	77%
KVC-ESA	116%	131%
NFC	115%	130%
Southeast Service Area	115%	114%
DHHS	92%	80%
KVC-SESA	124%	127%

**Caseload Comparison for Previous Years:**

In 2003, caseloads for CFSS staff were at 129% of the level recommended by state standards. The following year, LB 1089 provided funding for DHHS to hire an additional 120 child welfare and juvenile service CFSS staff. After the allocation of the additional positions, caseloads began to decline to 119% per the Nebraska standards in 2004, 114% in 2005, and 96% in 2006.

In 2007, caseloads increased to 122% per state and national standards. Approximately 12% (per CWLA standards) to 15% (per Nebraska standards) of this increase was due to the implementation of a new safety assessment process, the Nebraska Safety Intervention System (NSIS). NSIS enabled CFS workers to work with families without court involvement to assure safety in the family home. The system increased tracking of these cases. Prior to the

implementation of NSIS, non-court involved cases comprised a small number of cases and those cases, and were not included in caseload calculations.

Caseloads decreased slightly to 119% per state standards and 118% per national standards in 2008 and increased to 142% per state standards and 143% per national standards in 2009. This increase was due to the termination of case management service contracts with five Integrated Care Coordination Units (ICCU) operated by Behavior Health Regions that were serving families with more complex needs and that required more intensive services. The termination of these contracts resulted in a 14% decrease in available staff statewide in 2009. Prior to this, ICCU staff comprised 20% of the available workforce throughout the state.

In 2010, caseloads continued to increase to 154% per state standards and 155% per national standards. In 2011, caseloads declined to 104% per state standards and 106% per national standards. The reasons for this decline were twofold: there was a 6.2% decrease in children from 2010 to 2011, and a 38.1% increase in available staff due to contract staff assuming full case management responsibilities under the Families Matter initiative.

Table 7. Caseloads per Standards by Calendar Year

Calendar Year	Nebraska Standards	CWLA Standards
2003	129%	---
2004	119%	---
2005	114%	---
2006	96%	104%
2007*	122%	122%
2008*	119%	118%
2009*	142%	143%
2010*	154%	155%
2011*	104%	106%

--- Data to compare the Nebraska and CWLA standards from 2003-2006 is not available.

\* Caseload calculations include non-court involved cases.

Table 8 displays a caseload comparison for the last four years by Service Area and calendar year. Caseloads in the Central, Eastern, and Western Service Areas decreased and caseloads in the Northern and Southeast Service Areas increased from 2007 to 2008. From 2008 to 2009, caseloads in all Service Areas except for the Northern Service Area increased. From 2009 to 2010, caseloads increased in the Central, Eastern, and Southeast Service Areas and decreased in the Northern and Western Service Areas.

In 2011, caseloads decreased in all five Service Areas. The Southeast Service Area experienced the largest decrease (84% decrease per state standards and 81% decrease per national standards), followed by the Eastern Service Area (50% decrease per both standards). The decline was large in the other Service Areas as well, ranging from 22% to 42% depending on the Service Area and standard applied.

Table 8. Caseloads per Standards by Service Area and Calendar Year

Service Area	2007		2008		2009		2010		2011	
	Nebraska Standards	CWLA Standards								
Central	115%	115%	106%	107%	129%	131%	138%	140%	103%	104%
Eastern	134%	137%	116%	118%	134%	139%	151%	156%	101%	106%
Northern	108%	106%	126%	121%	122%	119%	115%	112%	90%	90%
Southeast	115%	116%	126%	124%	168%	167%	199%	195%	115%	114%
Western	122%	121%	117%	115%	152%	146%	143%	140%	101%	101%
State	122%	122%	119%	118%	142%	143%	138%	140%	103%	104%

Attachment D provides more detailed information on the changes in caseload levels from 2010 to 2011 within each Service Area. It also displays changes in the number of hotline calls, intake reports, available staff, and children served, to provide a more meaningful context.

**Staff Resources:**

As of December 31, 2011, there were 497 CFSSs and FPSs available to carry out intake, assessment, and case management functions. During this time, there were 60 workers in training. Once these workers complete training and are available to carry out case management functions, staff numbers would increase to 557 available staff and caseloads would fall well within state and national standards (assuming other staff and workload variables remain constant). Additionally, there were 119.50 vacancies as of December 31, 2011. The bulk of these vacancies are in CFS (110.50), nearly two thirds (62.4%) in the Eastern Service Area.

**Staff Costs**

*DHHS Staff Costs:*

Table 9 displays the amount of fiscal resources that DHHS would need to maintain its active staff, staff in training, and filling vacant positions within DHHS. Lead contractor staff and costs for maintaining their staff is not included in these calculations as these costs fall under contract. For that reason, Table 9 displays only the amount of fiscal resources that DHHS would need to maintain its own staff as of December 31, 2011.

Table 9. DHHS Staff Costs as of December 31, 2011

Authorized Positions	Average Salary per Staff	Average Benefits per Staff	Indirect Cost per Staff*	Total Cost per Staff	Total Costs
280 (DHHS Available Staff)	\$35,069	\$10,287	\$14,471	\$53,581	\$15,002,680
23 (DHHS Trainees)	\$28,823	\$12,516	\$17,606	\$65,191	\$1,499,393
110.50 (DHHS Vacancies)	\$33,307	\$11,887	\$16,722	\$61,916	\$6,841,718
413.50 (Total Staff, Trainees, and Vacancies)					\$23,343,791

\* Per staff indirect costs based on approved federal indirect rate of 37% of salaries and benefits.

\*\*Vacancies if FTEs are fully funded.

Costs per staff obtained from the Department of Health and Human Services, Operations, Financial Services.

***DHHS and Contract Staff Costs:***

Table 10 displays the amount of fiscal resources needed to maintain a sufficient amount of staff throughout the state for DHHS and lead contractors combined to meet caseload standards. Please note that this table includes the number of staff needed throughout the state, regardless of employer. However, because the salary and benefit amount offered by the lead contractors varies, DHHS used DHHS salary and benefits amounts to calculate these costs for all CFS and contract staff.

Table 10. DHHS and Contract Staff Costs per Standards as of December 31, 2011

Authorized Positions	Total Staff Needed	Average Salary per Staff	Average Benefits per Staff	Indirect Cost per Staff*	Total Cost per Staff	Total Costs
Nebraska Standards	517.23	\$35,069	\$10,287	\$14,471	\$53,581	\$27,713,701
CWLA Standards	526.59	\$35,069	\$10,287	\$14,471	\$53,581	\$28,215,219

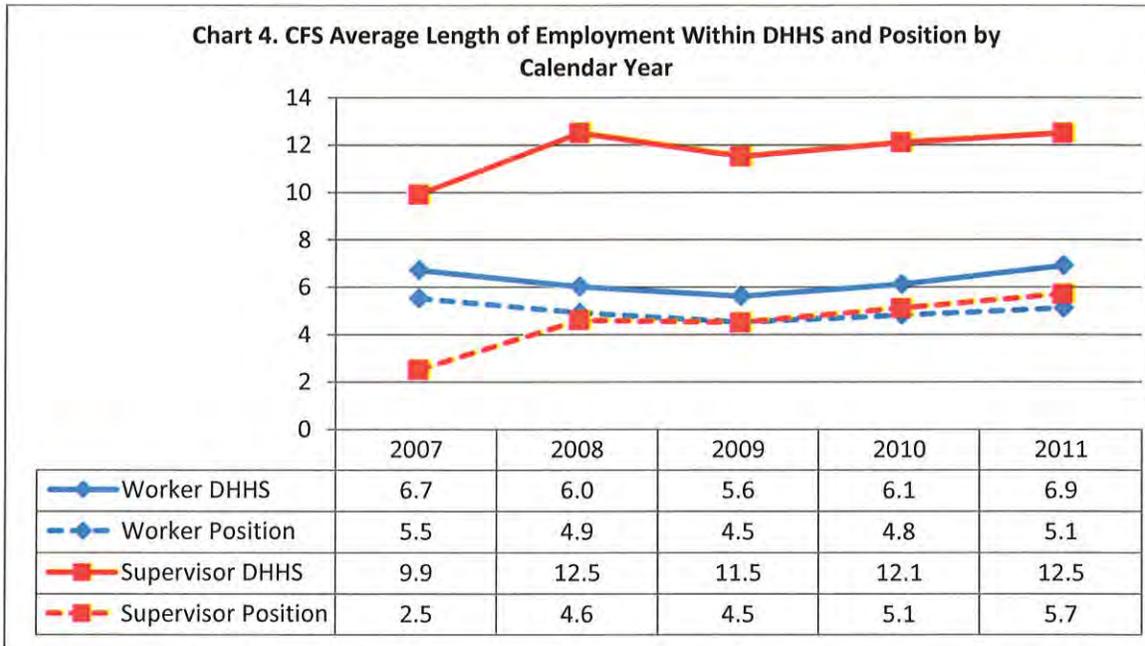
\* Per staff indirect costs based on approved federal indirect rate of 37% of DHHS salaries and benefits.

Costs per staff obtained from the Department of Health and Human Services, Operations, Financial Services.

***Length of Employment:***

***DHHS Length of Employment:***

To examine employment trends, DHHS maintains length of employment data by date of employment within the Department and by date of employment in the worker's current position. Chart 4 displays the average length of employment in years by position (i.e., worker or supervisor).



Source. Department of Health and Human Services, Operations, Human Resources and Development CFS Staff Report.

The average length of employment for CFS staff has increased across the board between calendar year 2010 and 2011. Workers' average length of employment within DHHS increased from 6.1 years in 2010 to 6.9 years in 2011. Workers' average length of employment within their position increased to a lesser extent, from 4.8 years in 2010 to 5.1 years in 2011. Supervisors' average length of employment within DHHS increased from 12.1 in 2010 to 12.5 in 2011. Supervisors' average length of employment within their position increased from 5.1 years in 2010 to 5.7 years in 2011.

**Lead Contractor Length of Employment:**

During calendar year 2011, lead contractors monitored length of employment data and reported this data using the same calculations and format as DHHS for this report. At the end of 2011, the average length of employment for FPSs within the agency ranged from 0.8 to 1.4 years and the average length of employment for FPSs within their position ranged from 0.8 to 1.1 years. The average length of employment for Family Permanency Specialist Supervisors (FPSSs) within the agency ranged from 1.5 to 1.7 years. The average length of employment for FPSSs within their position ranged from 0.6 to 1.3 years.

Table 11. Lead Contractors' Average Length of Employment for Calendar Year 2011

Position	KVC-ESA	KVC-SESA	NFC
Family Permanency Specialists			
Within the Agency	1.4	1.0	.8
Within the Position	1.1	0.9	.8
Family Permanency Specialist Supervisors			
Within the Agency	1.7	1.5	1.6
Within the Position	0.6	1.3	1.0

Source. KVC and Nebraska Families Collaborative.

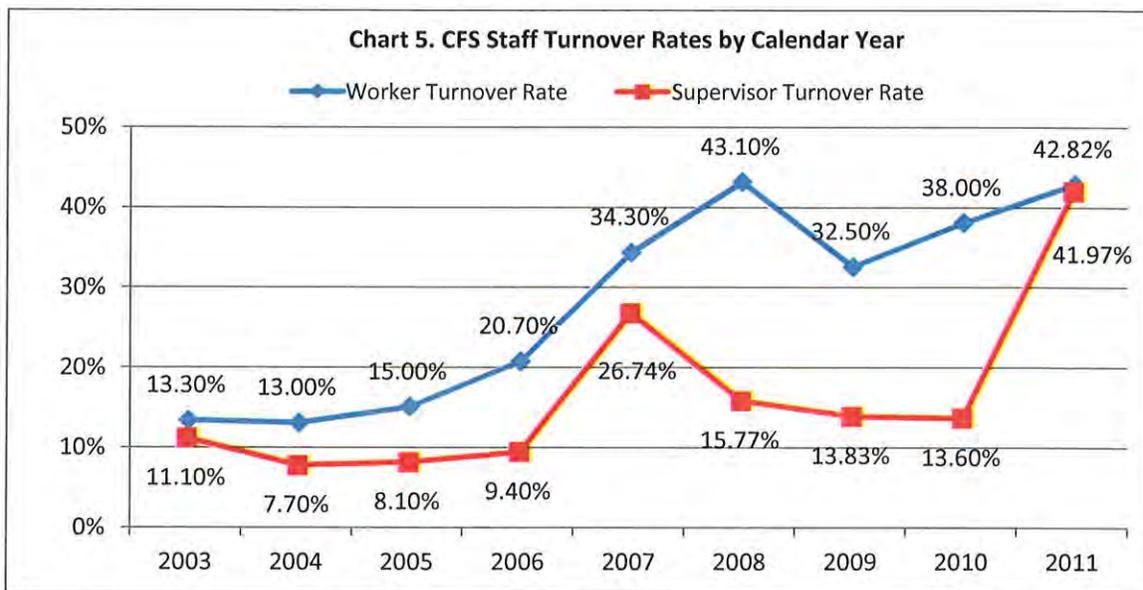
Turnover

CFS Turnover:

CFS monitors turnover rates among staff. To calculate turnover rates, CFS divides the number of employees who leave a position by the average number of employees who have held that position throughout the year. Excluded from this calculation are “internal transfers,” or staff who transfer to another position within the same Service Area. Trainees who successfully complete training and are promoted to a CFSS position are omitted as well.

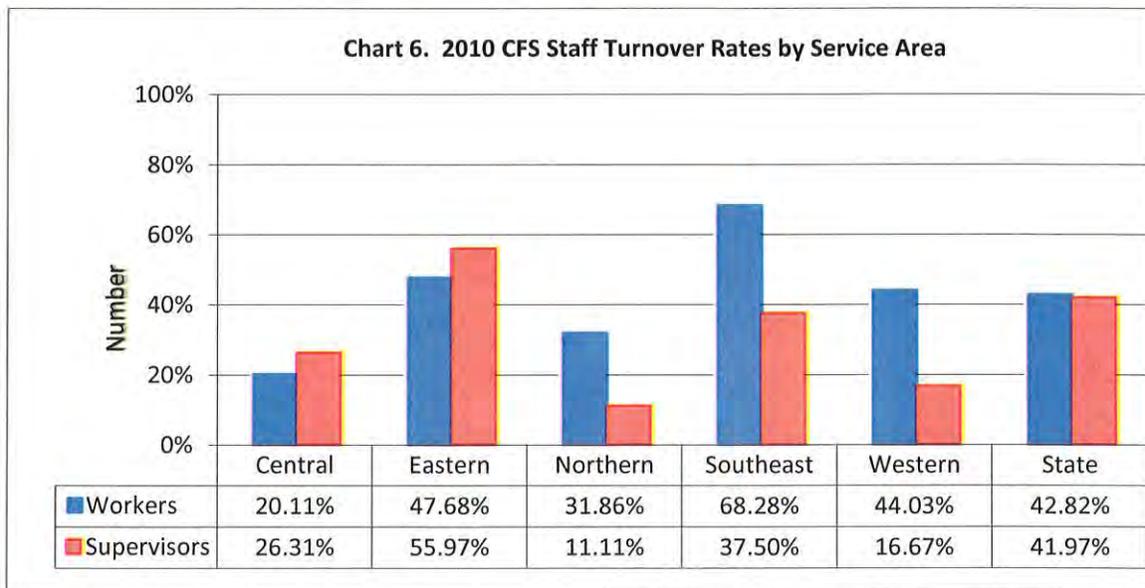
CFS staff turnover increased between 2010 and 2011. Turnover has increased in the last eight years, reaching its second highest peak (42.82%) in 2011, with the highest being in 2008 (43.10%). For the first time, turnover among supervisors has nearly equaled worker turnover at 41.97%. This is the highest turnover among supervisors in the last eight years.

It should be noted that some DHHS staff became employed by lead contractors at the time case management duties were transferred so they could continue to provide direct services to children and families. Likewise, staff returned to DHHS in some situations, particularly those coming from an agency with which contracts ended in 2010.



Source. Department of Health and Human Services, Operations, Human Resources and Development CFS Staff Report.

Staff turnover was highest in the two services areas in which Families Matter contracts were still in effect. The Southeast Service Area experienced 68.28% worker turnover and the Eastern Service Area experienced 47.68% worker turnover in 2011. Typically, these two Service Areas have experienced higher worker turnover rates than other areas in prior years as well. Turnover among supervisors was highest in the Eastern Service Area (55.97%), with the Southeast Service Area following at 37.50%.



Source. Department of Health and Human Services, Operations, Human Resources and Development CFS Staff Report.

**Lead Contractor Turnover:**

Lead contractors monitor turnover among staff using the same calculations as CFS. Turnover among one lead contractor is higher than CFS staff; turnover among the other lead contractor is lower, depending on the position at hand. Turnover among FPSs ranges from 43.60% to 88.80% depending on the agency and turnover among FPSSs ranges from 30.20% to 105.60% depending on the agency.

Table 12. Lead Contractors' Turnover Rates by Agency for Calendar Year 2011

Position	KVC-ESA	KVC-SESA	NFC
Family Permanency Specialists	78.00%	88.80%	43.60%
Family Permanency Specialist Supervisors	105.60%	83.88%	30.20%

Source. KVC and Nebraska Families Collaborative.

**Training Resources:**

Training for CFS and contract staff is provided through a variety of sources. DHHS contracts with the Center on Children, Families, and the Law (CCFL) at the University of Nebraska-Lincoln to provide new worker and in-service training to their staff. CFS staff receives additional training from DHHS Human Resources (HR) and external presenters.

Contract staff receive new worker training from CCFL as well, with in-service training provided by the lead contractors themselves or external presenters. Lead contractors provide ongoing training to their staff.

*Center on Children, Families, and the Law*

During 2011, CCFL delivered a total of 6,270.25 hours of new worker training and in-service training to CFS and contract staff. Training is designed to prepare CFS and contract staff to

provide child welfare and juvenile services in Nebraska and to support the ongoing refinement of skills and best practices needed to deliver these services.

*Children and Family Services New Worker Training:*

The Child Welfare and Juvenile Services Training Curriculum is provided to FPSs, CFSSs, and supervisors who are new to child welfare and juvenile services. This model of training consists of a combination of competency-based classroom lecture and discussions, labs, and on-the-job field training that are provided through core courses, specialized courses based on job assignment, and required in-service courses during the first year of employment.

The training model used in the classroom component addresses: general safety concepts; case management and supervision; safety assessments; case plans; service referrals; the placement of children and youth; case reviews; judicial determinations; data collection and reporting; adoption; and determination and re-determination of eligibility. Staff may also receive training on recognizing and intervening in child abuse and neglect and working with juvenile offenders, if relevant to their ultimate assignment.

The lab training component of the curriculum occurs individually or in small groups, and in a workplace environment or a community setting related to the workplace, in order to provide a realistic simulation of the subject matter. These lab experiences are facilitated by the CCFL Field Training Specialist.

On-the-job field training is a learning experience that takes place outside of the classroom. The on-the-job field training activities are always linked to classroom and lab training in order to maximize the learning environment. Field training allows trainees to apply the knowledge they acquire in the training classroom to on-the-job situations, through observation, simulation, shadowing, and supervised practice.

Three hundred eight (308) trainees were enrolled in the Children and Family Services New Worker Training program in 2011. Please note that staff participating in training cross over years, so some staff were hired in 2010 but continued training in 2011 and some staff hired in 2011 will continue training in 2012. Table 13 presents the total number of new worker trainees by agency and position.

Table 13. Children and Family Services New Worker Training Participants

Position and Agency	Number
Children and Family Services Specialists/Supervisors (CFS)	115
Family Permanency Specialists/Supervisors (KVC)	119
Family Permanency Specialists/Supervisors (NFC)	74
Total	308

Source. Center on Children, Families, and the Law.

Over half (58.2%) of the training took place in classroom or lab settings, although a sizable amount (41.8%) took place in the field. Table 14 presents the total number of new worker training hours delivered in 2011.

Table 14. Children and Family Services New Worker Training Hours by Setting

Setting	Hours
Classroom and Lab Sessions	3,527
On-the-Job Field Training	2,733
Total	6,060

Source. Center on Children, Families, and the Law.

*In-Service Training:*

CFSSs are required to participate in minimum of 24 hours of supervisor-approved training annually. The number of in-service training hours fluctuates annually and is based on the training needs identified by CFS administration. Input on their own perceived training needs is sought from individual staff, as well as management in the Service Areas. Table 15 displays the number of training hours delivered to staff by presenters in 2011.

Table 15. In-Service Training Hours by Training Delivery

Training Delivery	Hours
CCFL Staff	210.25
DHHS Staff	615.25
External Presenters	403.75
Total	1,229.25

Source. Center on Children, Families, and the Law.

CFS staff may also have obtained training through external entities, which sometimes is not reported to the central repository. For example, staff may participate in a two-hour webinar sponsored by a National Child Welfare Resource Center. These types of activities are considered training and many times go unreported.

*Training Cost:*

Table 16 displays DHHS' total cost of the training provided by CCFL and DHHS HR staff in State Fiscal Year (SFY) 2011. The information presented includes travel expenses, training site square footage, equipment, development time, materials, evaluation and assessment time, distance learning expenses, and presenters' salary. CCFL provides a 25% match required to access Federal Title IV-E funds for the training, as indicated in the table below.

Table 16. Financial Training Costs for SFY 2011

	Costs
DHHS Costs for CCFL Services	\$2,290,549
CCFL Contribution	\$844,339
CFS Staff Costs While in Training	\$3,784,736
Total Training Costs	\$6,919,624

Source. Department of Health and Human Services, Operations, Financial Services Foster Care Training Recap.

It should be noted that training for DHHS and lead contractors is funded by state funds as well as federal Title IV-E funds.

## KVC and NFC

For calendar year 2011, CCFL, DHHS, and lead contractors together identified and developed training plans for CFS and contract staff. Lead contractors borrowed and adapted training curricula, materials, and resources that CCFL uses to train CFS staff to train their own staff. Each lead contractor added to this material information relevant to their own agencies and staff. In addition to the CCFL training content, lead contractors trained their staff on topics such as the NSIS, Structured Decision Making, roles and responsibilities, court and legal issues, placement documentation, and general case management practices.

### *New Worker Training:*

Contract staff received 218 hours of initial training from their respective agencies.

Table 17. Initial Training Participants by Lead Contractor

Lead Contractor	Number
Family Permanency Specialists/Supervisors (KVC-ESA)	32
Family Permanency Specialists/Supervisors (KVC-SESA)	89
Family Permanency Specialists/Supervisors (NFC)	97
Total	218

Source. KVC and Nebraska Families Collaborative.

The data posted below suggests that the bulk of training occurred in classroom and lab settings, although this is not certain. NFC did not report on-the-job field training hours because they were not tracking those hours in 2011. The agency has since begun tracking these hours and DHHS will begin reporting them in the 2012 annual caseload report.

Table 18. Initial Training Participants by Lead Contractor

Setting	KVC-ESA Hours	KVC-SESA Hours	NFC Hours	Total Hours
Classroom and Lab Sessions	3,136	8,722	5,944	17,802
On-the-Job Field Training	1,984	5,518	N/A	7,502
Total	5,120	14,240	5,944	25,304

Source. KVC and Nebraska Families Collaborative.

### *In-Service Training:*

Contract staff received 155 hours of ongoing training from their employer.

Table 19. In-Service Training Participants by Lead Contractor

Lead Contractor	Number
Family Permanency Specialists/Supervisors (KVC-ESA)	26
Family Permanency Specialists/Supervisors (KVC-SESA)	80
Family Permanency Specialists/Supervisors (NFC)	49
Total	155

Source. KVC and Nebraska Families Collaborative.

The majority (80.0%) of training was presented by contract staff and the remainder by external presenters.

Table 20. In-Service Training Hours by Training Delivery

Setting	KVC-ESA Hours	KVC-SESA Hours	NFC Hours	Total Hours
Contract Staff	806	2,480	2,510.50	5,796.50
External Presenters	234	720	497.75	1,451.75
Total	1,040	3,200	3,008.25	7,248.25

Source. KVC and Nebraska Families Collaborative.

**Training Cost:**

Table 21 displays the lead contractors' total cost of training their staff. The information presented includes travel expenses, training site square footage, equipment, development time, materials, evaluation and assessment time, distance learning expenses, and presenters' salary.

Table 21. Financial Training Costs for SFY2011

Lead Contractor	Cost
KVC-ESA	\$117,949
KVC-SESA	\$326,771
NFC	\$207,424
Total	\$652,144

Source. KVC and Nebraska Families Collaborative.

**Department Outcomes:**

A primary goal of CFS' child welfare and juvenile services staff is to protect children and youth from abuse and neglect, to promote permanency and stability in their living situations to serve more children in their own homes, to reduce the number of children and youth coming into state custody, and to provide for community safety. In 2011, CFS discharged 4,175 children and youth from state care into some form of permanency with the majority (68.7%) being reunified with parents.

Table 22. Youth Exiting State Legal Custody During Calendar Year 2011 by Outcome

Setting	Reunification	Adoption	Guardianship	Independent Living	Other	Total
CSA	296	52	51	40	14	453
	65.3%	11.5%	11.3%	8.8%	3.1%	100.0%
ESA	1,244	183	74	147	87	1,735
	71.7%	10.5%	4.3%	8.5%	5.0%	100.0%
DHHS	245	32	21	37	25	360
	68.1%	8.9%	5.8%	10.3%	6.9%	100.0%
KVC	445	58	20	50	16	589
	75.6%	9.8%	3.4%	8.5%	2.7%	100.0%
NFC	554	93	33	60	46	486
	70.5%	11.8%	4.2%	7.6%	5.9%	100.0%
NSA	342	39	40	27	22	470
	72.8%	8.3%	8.5%	5.7%	4.7%	100.0%
SESA	591	160	42	133	21	947
	62.4%	16.9%	4.4%	14.0%	2.2%	100.0%
WSA	393	49	55	40	33	570
	68.9%	8.6%	9.6%	7.0%	5.8%	100.0%
Total	2,866	483	262	387	177	4,175
	68.6%	11.6%	6.3%	9.3%	4.2%	100.0%

\* Other reasons are transfer to another agency, runaway and death.

Source. Child and Family Services – Annual Report for Permanency and Wellbeing.

This concludes the Department's 2011 annual report on child welfare/juvenile services caseload levels. The Department appreciates the opportunity to share this information each year and welcomes continued review by the Legislature and the public.